

*The Coq Proof Assistant*  
*Reference Manual*  
*Version 6.1*

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Amokrane Saïbi, Benjamin Werner

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Thème 2 — Génie logiciel  
et calcul symbolique

Projet Coq

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**Abstract:** Coq is a proof assistant based on a higher-order logic allowing powerful definitions of functions. Coq V6.1 is available by anonymous ftp at <ftp.inria.fr:/INRIA/Projects/coq/V6.1> and <ftp.ens-lyon.fr:/pub/LIP/COQ/V6.1>

**Key-words:** Coq, Proof Assistant, Formal Proofs, Calculus of Inductives Constructions

*(Résumé : tsvp)*

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# Manuel de référence du système Coq

## version V6.1

**Résumé :** Coq est un système permettant le développement et la vérification de preuves formelles dans une logique d'ordre supérieure incluant un riche langage de définitions de fonctions. Ce document constitue le manuel de référence de la version V6.1 qui est distribuée par ftp anonyme aux adresses <ftp.inria.fr:/INRIA/Projects/coq/V6.1> et <ftp.ens-lyon.fr:/pub/LIP/COQ/V6.1>

**Mots-clé :** Coq, Système d'aide à la preuve, Preuves formelles, Calcul des Constructions Inductives

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## Credits

Coq is a proof assistant for higher-order logic, allowing the development of computer programs consistent with their formal specification. It is the result of about ten years of research of the Coq project. We shall briefly survey here three main aspects: the *logical language* in which we write our axiomatizations and specifications, the *proof assistant* which allows the development of verified mathematical proofs, and the *program extractor* which synthesizes computer programs obeying their formal specifications, written as logical assertions in the language.

The logical language used by Coq is a variety of type theory, called the *Calculus of Inductive Constructions*. Without going back to Leibniz and Boole, we can date the creation of what is now called mathematical logic to the work of Frege and Peano at the turn of the century. The discovery of antinomies in the free use of predicates or comprehension principles prompted Russell to restrict predicate calculus with a stratification of *types*. This effort culminated with *Principia Mathematica*, the first systematic attempt at a formal foundation of mathematics. A simplification of this system along the lines of simply typed  $\lambda$ -calculus occurred with Church's *Simple Theory of Types*. The  $\lambda$ -calculus notation, originally used for expressing functionality, could also be used as an encoding of natural deduction proofs. This Curry-Howard isomorphism was used by N. de Bruijn in the *Automath* project, the first full-scale attempt to develop and mechanically verify mathematical proofs. This effort culminated with Jutting's verification of Landau's *Grundlagen* in the 1970's. Exploiting this Curry-Howard isomorphism, notable achievements in proof theory saw the emergence of two type-theoretic frameworks; the first one, Martin-Löf's *Intuitionistic Theory of Types*, attempts a new foundation of mathematics on constructive principles. The second one, Girard's polymorphic  $\lambda$ -calculus  $F\omega$ , is a very strong functional system in which we may represent higher-order logic proof structures. Combining both systems in a higher-order extension of the Automath languages, T. Coquand presented in 1985 the first version of the *Calculus of Constructions*, CoC. This strong logical system allowed powerful axiomatizations, but direct inductive definitions were not possible, and inductive notions had to be defined indirectly through functional encodings, which introduced inefficiencies and awkwardness. The formalism was extended in 1989 by T. Coquand and C. Paulin with primitive inductive definitions, leading to the current *Calculus of Inductive Constructions*. This extended formalism is not rigorously defined here. Rather, numerous concrete examples are discussed. We refer the interested reader to relevant research papers for more information about the formalism, its meta-theoretic properties, and semantics. However, it should not be necessary to understand this theoretical material in order to write specifications. It is possible to understand the Calculus of Inductive Constructions at a higher level, as a mixture of predicate calculus, inductive predicate definitions presented as typed PROLOG, and recursive function definitions close to the language ML.

Automated theorem-proving was pioneered in the 1960's by Davis and Putnam in propositional calculus. A complete mechanization (in the sense of a semi-decision procedure) of classical first-order logic was proposed in 1965 by J.A. Robinson, with a single uniform inference rule called *resolution*. Resolution relies on solving equations in free algebras (i.e. term structures), using the *unification algorithm*. Many refinements of resolution were studied in the 1970's, but few convincing implementations were realized, except of course that PROLOG is in some sense issued from this effort. A less ambitious approach to proof development is computer-aided proof-checking. The most notable proof-checkers developed in the 1970's were LCF, designed by R. Milner and his colleagues at U. Edinburgh, specialized in proving properties about denotational semantics

recursion equations, and the Boyer and Moore theorem-prover, an automation of primitive recursion over inductive data types. While the Boyer-Moore theorem-prover attempted to synthesize proofs by a combination of automated methods, LCF constructed its proofs through the programming of *tactics*, written in a high-level functional meta-language, ML.

The salient feature which clearly distinguishes our proof assistant from say LCF or Boyer and Moore's, is its possibility to extract programs from the constructive contents of proofs. This computational interpretation of proof objects, in the tradition of Bishop's constructive mathematics, is based on a realizability interpretation, in the sense of Kleene, due to C. Paulin. The user must just mark his intention by separating in the logical statements the assertions stating the existence of a computational object from the logical assertions which specify its properties, but which may be considered as just comments in the corresponding program. Given this information, the system automatically extracts a functional term from a consistency proof of its specifications. This functional term may be in turn compiled into an actual computer program. This methodology of extracting programs from proofs is a revolutionary paradigm for software engineering. Program synthesis has long been a theme of research in artificial intelligence, pioneered by R. Waldinger. The Tablog system of Z. Manna and R. Waldinger allows the deductive synthesis of functional programs from proofs in tableau form of their specifications, written in a variety of first-order logic. Development of a systematic *programming logic*, based on extensions of Martin-Löf's type theory, was undertaken at Cornell U. by the Nuprl team, headed by R. Constable. The first actual program extractor, PX, was designed and implemented around 1985 by S. Hayashi from Kyoto University. It allows the extraction of a LISP program from a proof in a logical system inspired by the logical formalisms of S. Feferman. Interest in this methodology is growing in the theoretical computer science community. We can foresee the day when actual computer systems used in applications will contain certified modules, automatically generated from a consistency proof of their formal specifications. We are however still far from being able to use this methodology in a smooth interaction with the standard tools from software engineering, i.e. compilers, linkers, run-time systems taking advantage of special hardware, debuggers, and the like. We hope that Coq can be of use to researchers interested in experimenting with this new methodology.

A first implementation of CoC was started in 1984 by G. Huet and T. Coquand. Its implementation language was CAML, a functional programming language from the ML family designed at INRIA in Rocquencourt. The core of this system was a proof-checker for CoC seen as a typed  $\lambda$ -calculus, called the *Constructive Engine*. This engine was operated through a high-level notation permitting the declaration of axioms and parameters, the definition of mathematical types and objects, and the explicit construction of proof objects encoded as  $\lambda$ -terms. A section mechanism, designed and implemented by G. Dowek, allowed hierarchical developments of mathematical theories. This high-level language was called the *Mathematical Vernacular*. Furthermore, an interactive *Theorem Prover* permitted the incremental construction of proof trees in a top-down manner, subgoalng recursively and backtracking from dead-alleys. The theorem prover executed tactics written in CAML, in the LCF fashion. A basic set of tactics was predefined, which the user could extend by his own specific tactics. This system (Version 4.10) was released in 1989. Then, the system was extended to deal with the new calculus with inductive types by C. Paulin, with corresponding new tactics for proofs by induction. A new standard set of tactics was streamlined, and the vernacular extended for tactics execution. A package to compile programs extracted from proofs to actual computer programs in CAML or some other functional language was designed and implemented by B. Werner. A new user-interface, relying on a CAML-X interface by D. de Rauglaudre, was

designed and implemented by A. Felty. It allowed operation of the theorem-prover through the manipulation of windows, menus, mouse-sensitive buttons, and other widgets. This system (Version 5.6) was released in 1991.

Coq was ported to the new implementation Caml-light of X. Leroy and D. Doligez by D. de Rauglaudre (Version 5.7) in 1992. A new version of Coq was then coordinated by C. Murthy, with new tools designed by C. Parent to prove properties of ML programs (this methodology is dual to program extraction) and a new user-interaction loop. This system (Version 5.8) was released in May 1993. A Centaur interface CTCoq was then developed by Y. Bertot from the Croap project from INRIA-Sophia-Antipolis.

In parallel, G. Dowek and H. Herbelin developed a new proof engine, allowing the general manipulation of existential variables consistently with dependent types in an experimental version of Coq (V5.9).

The version V5.10 of Coq is based on a generic system for manipulating terms with binding operators due to Chet Murthy. A new proof engine allows the parallel development of partial proofs for independent subgoals. The structure of these proof trees is a mixed representation of derivation trees for the Calculus of Inductive Constructions with abstract syntax trees for the tactics scripts, allowing the navigation in a proof at various levels of details. The proof engine allows generic environment items managed in an object-oriented way. This new architecture, due to C. Murthy, supports several new facilities which make the system easier to extend and to scale up:

- User-programmable tactics are allowed
- It is possible to separately verify development modules, and to load their compiled images without verifying them again - a quick relocation process allows their fast loading
- A generic parsing scheme allows user-definable notations, with a symmetric table-driven pretty-printer
- Syntactic definitions allow convenient abbreviations
- A limited facility of meta-variables allows the automatic synthesis of certain type expressions, allowing generic notations for e.g. equality, pairing, and existential quantification.

In the Fall of 1994, C. Paulin-Mohring replaced the structure of inductively defined types and families by a new structure, allowing the mutually recursive definitions. P. Manoury implemented a translation of recursive definitions into the primitive recursive style imposed by the internal recursion operators, in the style of the ProPre system. C. Muñoz implemented a decision procedure for intuitionistic propositional logic, based on results of R. Dyckhoff. J.C. Filliâtre implemented a decision procedure for first-order logic without contraction, based on results of J. Ketonen and R. Weyhrauch. Finally C. Murthy implemented a library of inversion tactics, relieving the user from tedious definitions of “inversion predicates”.

Rocquencourt, Feb. 1st 1995  
G rard Huet

The present version V6.1 of Coq is based on the V5.10 architecture. It was ported to the new language Objective Caml by Bruno Barras. The underlying framework has slightly changed and allows more conversions between sorts.

The new version provides powerful tools for easier developments.

Cristina Cornes designed an extension of the Coq syntax to allow definition of terms using a powerful pattern-matching analysis in the style of ML programs.

Amokrane Saïbi wrote a mechanism to simulate inheritance between types families extending a proposal by Peter Aczel. He also developed a mechanism to automatically compute which arguments of a constant may be inferred by the system and consequently do not need to be explicitly written.

Yann Coscoy designed a command which explains a proof term using natural language. Pierre Crégut built a new tactic which solves problems in quantifier-free Presburger Arithmetic. Both functionalities have been integrated to the Coq system by Hugo Herbelin.

Samuel Boutin designed a tactic for simplification of commutative rings using a canonical set of rewriting rules and equality modulo associativity and commutativity.

Finally the organisation of the Coq distribution has been supervised by Jean-Christophe Filliâtre with the help of Judicaël Courant and Bruno Barras.

Lyon, Nov. 18th 1996  
Christine Paulin



# Chapter 1

## Introduction

This document is the Reference Manual of version V6.1 of the **Coq** proof assistant. A companion volume, the **Coq** Tutorial, is provided for the beginners. It is advised to read the Tutorial first. Additional documentation is described in chapter 17.

All services of the **Coq** proof assistant are accessible by interpretation of a command language. A command is a string ended with a period.

**Coq** has an interactive mode in which commands are interpreted as the user types them in from the keyboard and a compiled mode where commands are processed from a file. Other modes of interaction with **Coq** are possible, through an emacs shell window, or through a customized interface with the Centaur environment (CTCoq). These facilities are not documented here.

- The interactive mode may be used as a debugging mode in which the user can develop his theories and proofs step by step, backtracking if needed and so on. The interactive mode is run with the **coqtop** command from the operating system (which we shall assume to be some variety of UNIX in the rest of this document).
- The compiled mode acts as a proof checker taking a file containing a whole development in order to ensure its correctness. Moreover, **Coq**'s compiler provides an output file containing a compact representation of its input. The compiled mode is run with the **coqc** command from the operating system. Its use is documented in chapter 15.

**Coq** offers two kinds of services : logical services and operating services.

We divide the logical services in two main parts :

- a specification language in which the user axiomatizes his own theories. This specification language is known as **Gallina** which mainly provides declaration and definition mechanisms. It is documented in chapter 2.
- a proof editing mode providing tools for proof development. Proofs services are again of two kinds :
  - proofs pragmas such as switching on and off the proof editor, restarting a proof, etc ... They are documented in chapter 3.
  - tactics which are the implementation of logical reasoning steps. The whole chapter 4 is devoted to their documentation.

Chapter 6 is devoted to a more fundamental understanding of the logical framework. The so-called operating services are :

- a file system service including modules facilities
- displaying features
- user's syntax handling
- miscellaneous pragmas

They are documented in chapter 5.

**Notations** In the rest of this document, Coq's grammar terminals will be written in **typewriter** font. Non-terminals are

1. *Fwterm* which denotes an  $F_\omega$  term (see section 5.6.6).
2. *ident* which denotes an *identifier* in the usual sense. Characters such as `_` and `'` are allowed to appear in identifiers, besides alpha-numerical characters.
3. *num* which denotes a positive natural number (*e.g.* a sequence of digits with no blanks).
4. *ref* which is either an *ident* or a *num*.
5. *string* which denotes any sequence of characters enclosed between two `"`.
6. *tactic* which denotes any simple or composed tactic (see section 4.1).
7. *term* which denotes any CIC-term (see section 2.2).
8. *pgm* which denotes annotated programs (see section 14). special CIC-constants called a sort (see section 6.1.1).
9. *sort* which denotes one of the special CIC-constants called a sort (see section 6.1.1).

## Chapter 2

# The Gallina specification language

### 2.1 Lexical conventions

**Blanks** Space, newline and horizontal tabulation are considered as blanks. Blanks are ignored but they separate tokens.

**Comments** Comments in Coq are enclosed between `(*` and `*)`, and can be nested. Comments are treated as blanks.

**Identifiers** Identifiers are sequences of letters, digits, `_`, `$` and `'`, that do not start with a digit or `'`. That is, they are recognized by the following regular expression

$$ident ::= (a..z | A..Z | \_ | \$) \{ a..z | A..Z | 0..9 | \_ | \$ | ' \}^+$$

Identifiers can contain at most 80 characters, and all characters are meaningful.

**Integers** Integers are sequences of digits, optionally preceded by a minus sign, that is

$$integer ::= [-] \{ 0..9 \}^+$$

**Strings** Strings are delimited by `"` (double quote), and enclose a sequence of any characters different from `"` and `\`, or one of the following sequences

Sequence	Character denoted
<code>\\</code>	backslash ( <code>\</code> )
<code>\"</code>	double quote ( <code>"</code> )
<code>\n</code>	newline (LF)
<code>\r</code>	return (CR)
<code>\t</code>	horizontal tabulation (TAB)
<code>\b</code>	backspace (BS)
<code>\ddd</code>	the character with ASCII code <i>ddd</i> in decimal

Strings can be split on several lines using a backslash (`\`) at the end of each line, just before the newline. For instance,

```
Coq < AddPath "$COQTOP/\
Coq < contrib/Rocq/LAMBDA".
```

is correctly parsed, and equivalent to

```
Coq < AddPath "$COQTOP/contrib/Rocq/LAMBDA".
```

**Keywords** The following identifiers are reserved keywords, and cannot be employed otherwise:

as	AddPath	Definition	DelPath	Dependent
end	Grammar	Inductive	CoInductive	in
Load	LoadPath	of	Orelse	Proof
Qed	Quit	Remove	Reset	Restore
State	Syntax	with	using	

Although they are not considered as keywords, it is not advised to use words of the following list as identifiers:

Abort	Abstraction	All	Axiom	Begin
Cd	Chapter	Check	Guarded	CoFixpoint
Compute	Defined	Definition	Drop	End
Eval	Extraction	Fact	Fixpoint	Focus
Goal	Hint	Hypothesis	Immediate	Induction
Infix	Inspect	Lemma	Let	Local
Minimality	ML	Module	Modules	Mutual
Opaque	Parameter	Parameters	Print	Prop
Pwd	Remark	Require	Restart	Resume
Save	Scheme	Search	Section	Set
Show	Silent	States	Suspend	Syntactic
Theorem	Token	Transparent	Type	Undo
Unset	Unfocus	Variable	Variables	Write

**Other keywords and user's tokens** The following sequences of characters are also keywords:

```
| : := = > >> <>
<< < -> ; # * ,
? @ :: / <-
```

You can add new tokens with the command `Token` (see section 5.7.5). New tokens must be sequences, without blanks, of characters taken from the following list:

```
< > / \ - + = ; , | ! @ # % ^ & ? * : ~ $ _ a..z A..Z ' 0..9
```

that do not start with a character from

```
$ _ a..z A..Z ' 0..9
```

Lexical ambiguities are resolved according to the “longest match” rule: when a sequence of the previous characters can be decomposed into several different ways, then the first token is the longest possible one (among all tokens defined at this moment), and so on.

## 2.2 Syntax of terms

### 2.2.1 Core syntax

The basic set of terms form the *Calculus of Inductive Constructions* also called **CIC**. The formal presentation of **CIC** is given in chapter 6. We give here (an approximation of) the syntax available in **Coq**.

```

term      ::= ident
           | sort
           | ( binder ) term
           | [ binder ] term
           | ( terms )
           | < term >Case term of terms end
           | Fix ident { fixdecls }
           | CoFix ident { cofixdecls }
terms     ::= term
           | term terms
binder    ::= lident : term
sort      ::= Prop
           | Set
           | Type
fixdecls  ::= fixdecl
           | fixdecl with fixdecls
fixdecl   ::= ident / num : term
cofixdecls ::= cofixdecl
           | cofixdecl with cofixdecls
cofixdecl ::= ident : term := term

```

#### Remarks :

1. ( terms ) associates to the left. If there are several terms, as in (term<sub>0</sub> term<sub>1</sub> term<sub>2</sub> ... term<sub>n</sub>), the meaning is that of a function term<sub>0</sub> applied to the arguments term<sub>1</sub>, term<sub>2</sub> ... then term<sub>n</sub>.
2. The syntax [ lident : term<sub>T</sub> ] term builds a function by abstracting on the variables lident of type term<sub>T</sub> in term.
3. The syntax ( lident : term<sub>T</sub> ) term builds a product type (alternatively a quantified proposition) by abstracting on the variables lident of type term<sub>T</sub> in the type term. If the variables do not belong to term, the product is *non dependent* and builds in fact a functional type (alternatively a implicational proposition), see chapter 6.
4. The syntax < term >Case term of terms end does case analysis over a term in an inductive definition. The rules are explained in section 6.5.4.
5. The syntax **Fix** is used for the internal representation of fixpoints. It is intended to be used by the commands **Fixpoint** (see section 2.6.3) and **Scheme** (see section 8.5). A more precise description of terms built with **Fix** can be found in section 6.5.5.

6. The syntax **CoFix** is used for the internal representation of co-fixpoints. It is intended to be used by the command **CoFixpoint** (see chapter 10).

### 2.2.2 Extended core syntax

The following macros extend the syntax of terms.

```

term      ::=  [ lident ] term
            |  [ ident = term ] term
            |  Case term of terms end
            |  Cases term of ne_eqn_list end
            |  Match term with terms end
            |  let ( params ) = term in term
            |  let ( lident ) = term in term
            |  if term then term else term
            |  < term > Cases term of eqn_list end
            |  < term > Match term with terms end
            |  < term > let ( params ) = term in term
            |  < term > let ( lident ) = term in term
            |  < term > if term then term else term
lident    ::=  ident
            |  ident , lident
params    ::=  binder
            |  binder ; params

```

where *ne\_eqn\_list* and *eqn\_list* are defined in chapter 9.

#### Remarks :

1. The syntax `[ lident ] term` allows not to give types in abstractions.
2. The syntax `[ ident = term ] term` allows to define a  $\beta$ -redex (it simulates a **let** ... **in** ... operator).  
**Example** :  $[x=T_1]T_2$  is equivalent to  $([x]T_2 \ T_1)$ .
3. The syntax **Case** term of terms **end** is a variant of `< term >Case term of terms end` but the first argument is omitted. It only works in the case of an inductive type in a sort.
4. The syntax **Cases** terms of ne\_eqn\_list **end** allows to define functions by pattern-matching. It is documented in chapter 9. The variant with `< term >` in front allows to define more complex functions where the type of the result may depend of the matched argument.
5. The syntax **Match** term with terms **end** is a macro generating a combination of **Case** with **Fix** implementing a combinator for primitive recursion equivalent to the **Match** construction of Coq V5.8. It is provided only for sake of compatibility with Coq V5.8. It is not recommended to use it (see section 6.5.5).

As for **Case** and **Cases**, the variant with `< term >` in front allows to define more complex functions.

6. The syntax `let ( params ) = term in term` is a macro for a **Case** with one only case. In the variant `let ( lident ) = term in term`, the types of the variables are not explicited.
7. The syntax `if term then term else term` is a macro for a **Case** with only two cases.

## 2.3 Logic

This section informally describes the logic of Gallina. This logic is a fragment of the Calculus of Inductive Constructions which is described in chapter 6.

The logic of Gallina includes

- a multi-sorted higher order predicate calculus,
- arbitrary schemata of (co-)induction and (co-)recursion on ML-like (co-)inductive types,
- higher-order functions as in ML languages,
- Prolog-like definitions of predicates.

Gallina's objects are *terms*, *types* and *sorts*. All terms have a type. A typing judgment is written  $\tau : T$  and read “ $\tau$  is of type  $T$ ”. All terms belong to a *sort* which is, by convention, the type of their type. There are three sorts in Gallina: **Prop** is the type of *propositions* and the sort of *proofs*, **Set** is the type of *concrete sets* and the sort of *concrete objects*, **Type** is the type of *abstract sets* and the sort of *abstract objects*.

Variables are special terms of Gallina. They are represented by identifiers.

### 2.3.1 Set

The sort **Set** intends to be the type of concrete sets, such as booleans, natural numbers, lists, but also of functional sets. Terms typed by concrete sets are called concrete terms.

#### Constructive sets

**ML-like sets (or inductive sets)** New concrete sets can be defined by a list of constructors as in ML languages (see section 2.6). These sets may be enumerated types (for instance booleans), record types (see section 2.6.4) or recursive sets (for instance natural numbers). Mutual definition of inductive sets is available (see section 2.6.2) and infinite sets (typically streams) as well (see section 10).

**Function sets** If  $A$  and  $B$  are concrete sets (i.e.  $A : \mathbf{Set}$  and  $B : \mathbf{Set}$ ) then  $A \rightarrow B$  denotes the set of functions from  $A$  to  $B$ .

If  $A$  and  $B$  are concrete sets, the set of functions from  $A$  to  $B$  is more generally written  $(x : A).B$ . This is the case when  $B$  is parametrized by the argument in  $A$ .

This latter case is said *dependent*. On the opposite, the notation  $A \rightarrow B$  stands for the *non dependent* case.

**Systems  $F$  and  $F_\omega$**  Polymorphic sets, as in Girard-Reynolds' system  $F$  Girard's system  $F_\omega$  are also available. For more details, see for instance [46, 44].

**Annotated sets** All these sets may be annotated by parameters or logical properties. Typical examples are the set of lists annotated by their length, the set of even natural numbers, the set of sorted lists,...

**Constructive terms**

**Constructors** Constructors are elements of an inductive sets (see section 2.6).

**Variables** A variable  $x:A$  is a concrete term if  $A$  is a concrete set.

**Functions** Functions are build by *abstraction*, *case analysis* or *well-founded recursion*.

- If  $t$  is a concrete term of type  $B$  depending on a variable  $x:A$ , then  $[x:A]t$  is a concrete term of type  $A \rightarrow B$  (written  $(x:A)B$  in the dependent case).
- Let  $I$  be an inductive type with constructors  $\{c_1(x_{11}, \dots, x_{1n_1}), \dots, c_p(x_{p1}, \dots, x_{pn_p})\}$ . If  $t$  is a concrete term of type  $I$  then

```
Cases t of
  c1(x11, ..., x1n1) => t1
| c2(x21, ..., x2n2) => t2
  ...
| cp(xp1, ..., xpn) => tp
end
```

is a concrete term built by case analysis on  $t$ . This mechanism generalizes to mutually inductive types (see section 2.6.2), coinductive types (see section 10), ML-like pattern-matching (see section 9) and functions with dependent type result (the  $\langle \dots \rangle$  annotation described in chapters 6 or 9).

When printing a term, a stripped form of **Cases** is used. It is the **Case** construction (see chapter 6 or 9).

- Let  $I$  be an inductive type. Then **Fix**  $f \{f/n : A := t\}$  denotes a function built by fixpoint on the variable  $f$  of  $t$ . The term  $t$  must be typed by  $A$ . One of the argument of the fixpoint must be structurally strictly decreasing in the recursive call. The index  $n$  points out the decreasing argument.

This mechanism extends to mutually inductive types (see section 2.6.2) and coinductive types (see section 10).

Functions can be *applied*. If  $f$  is a concrete term of type  $A \rightarrow B$  (resp  $(x:A)B$ ) and  $t:A$  a concrete term, then  $(f \ t)$  denotes the application of  $f$  to  $t$ . It has the type  $B$  (resp  $B$  with  $x$  substituted by  $t$  in the dependent case).

Abstraction and application of sets are available to build functions of systems  $F$  and  $F_\omega$ .



### 2.3.2 Prop

The sort `Prop` is the type of propositions. Terms of type a proposition are proofs.

#### Propositions

**Atomic propositions** Atomic propositions are either propositional variables or inductively defined propositions (for instance the equality).

**Implication** If  $A$  and  $B$  are propositions (i.e.  $A:\text{Prop}$  and  $B:\text{Prop}$ ) then  $A \rightarrow B$  denotes the proposition “ $A$  implies  $B$ ”. Therefore, the notation  $\rightarrow$  stands both for non dependent function spaces and implicative proposition. This overloading witnesses the strong correspondence between function types and propositions. This is the so-called Curry-Howard correspondence.

**Universal quantification** If  $x$  is a variable in a concrete or abstract set  $S$  (i.e.  $x:S$  with  $S:\text{Set}$  or  $S:\text{Type}$ ) and  $B$  a proposition then  $(x:S)B$  denotes the proposition “for all  $x$  in  $S$ ,  $B$ ”.

#### Proofs

Proofs are objects of type a proposition. The typing judgement expresses the provability: if  $p:A$  then  $p$  is a proof of the proposition  $A$ .

Proofs are build by *abstraction*, *application*, *case analysis* or *well-founded induction*.

- If  $p$  is a proof of  $B$  (i.e  $p:A$ ) under the hypothesis  $h:A$  then  $[h:A]p$  is a proof of  $A \rightarrow B$ .  
If  $p$  is a proof of  $B$  parametrized by a variable  $x:S$  then  $[x:S]p$  is a proof of  $(x:S)B$ .
- If  $p$  is a proof of  $A \rightarrow B$  and  $q$  is a proof of  $A$  then  $(p \ q)$  is a proof of  $B$ .  
If  $p$  is a proof of  $(x:S)A$  and  $t$  is a term of type  $S$  then  $(p \ q)$  is a proof of  $A$  where  $x$  is substituted by  $t$ .
- Let  $I$  be an inductive type with constructors  $\{c_1(x_{11}, \dots, x_{1n_1}), \dots, c_p(x_{p1}, \dots, x_{pn_p})\}$ .  
If  $t$  is a concrete term of type  $I$  then

```
Cases t of
  c1(x11,...,x1n1) => p_1
| c2(x21,...,x2n2) => p_2
...
| cp(xp1,...,xpn) => p_p
end
```

is a proof built by case analysis on  $t$ .

Each  $p_i$  must prove the same proposition, but this proposition may depend on  $t$  (it is the case if you prove something like  $(x:I)(P \ x)$  by case analysis on  $x$ ). An annotated form of case analysis is then provided:

```

<[x:I] (P x)>Cases t of
  c1(x11,...,x1n1) => p_1
| c2(x21,...,x2n2) => p_2
  ...
| cp(xp1,...,xpn) => p_p
end

```

The case analysis mechanism generalizes to mutually inductive types (see section 2.6.2), coinductive types (see section 10) and ML-like pattern-matching (see section 9).

Case analysis on the structure of an inductively defined proposition is also possible (see examples in the standard library).

Proofs are usually built by applying tactics (see chapter 4). Thus, the **Cases** construction is hidden. However, this construction appears when printing a proof. But the stripped form **Case of Cases** is then used (see chapter 6 or 9).

- Let  $I$  be an inductive type. Then **Fix**  $h$  { $h/n : A := p$ } denotes a proof built by fixpoint on the variable  $h$  of  $p$ . The proof  $p$  must be a proof of the proposition  $A$ . One of the argument of the fixpoint must be structurally strictly decreasing in the induction. The index  $n$  points out the decreasing argument.

This mechanism extends to mutually inductive types (see section 2.6.2) and coinductive types (see section 10).

## Predicates

A predicate has a type of the form  $S_1 \rightarrow \dots \rightarrow S_n \rightarrow \text{Prop}$ . The  $S_i$  are the types of the arguments of the predicate.

Predicates are mostly built like functions. They are built by abstraction, case analysis and recursion. They can be applied too. The main difference is that once fully applied, they yield a proposition.

## Connectives

Connectives are a special kind of predicates. Typically, if  $n = 2$  and  $S_1 = S_2 = \text{Prop}$ , we get the type of binary connectives. If  $n = 1$  and  $S_1 = S \rightarrow \text{Prop}$ , we get the type of quantifiers on  $S$ .

### 2.3.3 Type

Predicates are not types. They are terms and their sort is **Type**. Types of type **Type** can be quantified on proposition. Most of the mechanisms to build concrete sets, propositions, functions and proofs are available to build types of type **Type** or terms of sort **Type**. See chapter 6 for more information and the libraries for examples.

## 2.4 Declarations

The declaration mechanism allows the user to specify his own basic objects. Declared objects play the role of axioms or parameters in mathematics. A declared object is an *ident* associated to a

*term*. A declaration is accepted by Coq iff this *term* is a well-typed specification in the current context of the declaration and *ident* was not previously defined in the same module. This *term* is considered to be the type, or specification, of the *ident*.

#### 2.4.1 Axiom *ident* : *term*.

This command links *term* to the name *ident* as its specification in the global context. The fact asserted by *term* is thus assumed as a postulate.

**Error message :**

1. Clash with previous constant *ident*

**Variants :**

1. **Parameter** *ident* : *term*.  
Is equivalent to **Axiom** *ident* : *term*
2. **Parameters** *lident* : *term*.  
Links *term* to the names comprising the list *lident*

#### 2.4.2 Variable *ident* : *term*.

This command links *term* to the name *ident* in the context of the current section. The name *ident* will be unknown when the current section will be closed. One says that the variable is *discharged*. Using the **Variable** command out of any section is equivalent to **Axiom**.

**Error message :**

1. Clash with previous constant *ident*

**Variants :**

1. **Variables** *lident* : *term*.  
Links *term* to the names comprising the list *lident*
2. **Hypothesis** *lident* : *term*.  
Is equivalent to **Variables** *lident* : *term*

**See also :** section 2.7

It is advised to use the keywords **Axiom** and **Hypothesis** for logical postulates (i.e. when the assertion *term* is of sort **Prop**), and to use the keywords **Parameter** and **Variable** in other cases (corresponding to the declaration of an abstract mathematical entity).

## 2.5 Definitions

Definitions differ from declarations since they allow to give a name to a term whereas declarations were just giving a type to a name. That is to say that the name of a defined object can be replaced at any time by its definition. This replacement is called  $\delta$ -conversion (see section 6.3). A defined object is accepted by the system iff the defining term is well-typed in the current context of the

definition. Then the type of the name is the type of term. The defined name is called a *constant* and one says that *the constant is added to the environment*.

A formal presentation of constants and environments is given in section 6.4.

### 2.5.1 Definition *ident* := *term*.

This command binds the value *term* to the name *ident* in the environment, provided that *term* is well-typed.

**Error message :**

1. Clash with previous constant *ident*

**Variants :**

1. Definition *ident* : *term*<sub>1</sub> := *term*<sub>2</sub>. It checks that the type of *term*<sub>2</sub> is definitionally equal to *term*<sub>1</sub>, and registers *ident* as being of type *term*<sub>1</sub>, and bound to value *term*<sub>2</sub>.

**Error message :**

1. In environment the term: *term*<sub>2</sub> does not have type *term*<sub>1</sub>. Actually, it has type *term*<sub>3</sub>.

**See also :** sections 5.6.2, 4.5.4

### 2.5.2 Local *ident* := *term*.

This command binds the value *term* to the name *ident* in the environment of the current section. The name *ident* will be unknown when the current section will be closed and all occurrences of *ident* in persistent objects (such as theorems) defined within the section will be replaced by *term*. One can say that the Local definition is a kind of *macro*.

**Error message :**

1. Clash with previous constant *ident*

**Variants :**

1. Local *ident* : *term*<sub>1</sub> := *term*<sub>2</sub>.  
Checks that the type of *term*<sub>2</sub> is definitionally equal to *term*<sub>1</sub>, and registers *ident* as being of type *term*<sub>1</sub>, and bound to value *term*<sub>2</sub>.

**See also :** sections 2.7, 5.6.2, 4.5.4

## 2.6 Inductive definitions

The underlying theory of inductive definitions is presented in section 6.5.

### 2.6.1 Inductive $ident : term := ident_1 : term_1 \mid \dots \mid ident_n : term_n$ .

This command is used to define inductive types and inductive families such as inductively defined relations. The name *ident* is the name of the inductively defined object and *term* is its type. The names  $ident_1, \dots, ident_n$  are the names of its constructors and  $term_1, \dots, term_n$  their respective types. The types of the constructors have to satisfy a *positivity condition* (see section 6.5.3) for *ident*. This condition ensures the well-foundedness of the inductive definition. If this is the case, the constants *ident*,  $ident_1, \dots, ident_n$  are added to the environment with their respective types. According to the arity of the aimed inductive type (e.g. the type of *term*), Coq provides a number of destructors for *ident*. Destructors are named *ident\_ind*, *ident\_rec* or *ident\_rect* which respectively correspond to elimination principles on **Prop**, **Set** and **Type**. Note that *ident\_ind* is always provided whereas *ident\_rec* and *ident\_rect* are not. The type of the destructors expresses structural induction/recursion principles over objects of *ident*. The inductive definitions are formally detailed in section 6.5. We give below two examples of the use of the **Inductive** definitions.

The set of natural numbers is defined as:

```
Coq < Inductive nat : Set := 0 : nat | S : nat -> nat.
```

The type **nat** is defined as the least **Set** containing 0 and closed by the **S** constructor. The constants **nat**, 0 and **S** are added to the environment.

Now let us have a look at the elimination principles. They are three : *nat\_ind*, *nat\_rec* and *nat\_rect*. The type of *nat\_ind* is:

```
Coq < Check nat_ind.
```

This is the well known structural induction principle over natural numbers, i.e. the second-order form of Peano's induction principle. It allows to prove some universal property of natural numbers  $((n:nat)(P\ n))$  by induction on **n**. Recall that  $(n:nat)(P\ n)$  is Gallina's syntax for the universal quantification  $\forall n : nat \cdot P(n)$ .

The types of *nat\_rec* and *nat\_rect* are similar, except that they pertain to  $(P:nat \rightarrow Set)$  and  $(P:nat \rightarrow Type)$  respectively. They correspond to primitive induction principles (allowing dependent types) respectively over sorts **Set** and **Type**.

As a second example, let us define the *even* predicate :

```
Coq < Inductive even : nat->Prop :=
Coq <   even_0   : (even 0)
Coq <   | even_SS : (n:nat)(even n)->(even (S (S n))).
```

The type  $nat \rightarrow Prop$  means that *even* is a unary predicate (inductively defined) over natural numbers. The type of its two constructors are the defining clauses of the predicate *even*. The type of *even\_ind* is:

```
Coq < Check even_ind.
```

From a mathematical point of view it asserts that the natural numbers satisfying the predicate *even* are just the naturals satisfying the clauses *even\_0* or *even\_SS*. This is why, when we want to prove any predicate *P* over elements of *even*, it is enough to prove it for 0 and to prove that if any natural number **n** satisfies *P* its double successor  $(S\ (S\ n))$  satisfies also *P*. This is indeed analogous to the structural induction principle we got for **nat**.

**Error message :**

1. Non positive Occurrence in  $term_i$
2. Type of Constructor not well-formed

**Variants :**

1. Inductive  $ident [ params ] : term := ident_1:term_1 \mid \dots \mid ident_n:term_n$ .  
Allows to define parameterized inductive types (see section 2.2 for the syntax of  $params$ ).  
For instance, one can define parameterized lists as:

```
Coq < Inductive list [X:Set] : Set :=
Coq < Nil : (list X) | Cons : X->(list X)->(list X).
```

Note that, in the type of Nil and Cons, we write (list X) and not just list.  
The constants Nil and Cons will have respectively types:

```
Coq < Check Nil.
```

and

```
Coq < Check Cons.
```

Types of destructors will be also quantified with (X:Set).

2. Inductive  $sort\ ident := ident_1:term_1 \mid \dots \mid ident_n:term_n$ .  
with  $sort$  being one of Prop, Type, Set, Typeset is equivalent to  
Inductive  $ident : sort := ident_1:term_1 \mid \dots \mid ident_n:term_n$ .
3. Inductive  $sort\ ident [ params ] := ident_1:term_1 \mid \dots \mid ident_n:term_n$ .  
Same as before but with parameters.

**See also :** sections 6.5, 4.7.1

### 2.6.2 Mutual Inductive

This command allows to define mutually recursive inductive types. Its syntax is :

```
Mutual Inductive ident_1 : term_1 :=
  ident_1^1 : term_1^1
| ..
| ident_{n_1}^1 : term_{n_1}^1
with
  ..
with ident_m : term_m :=
  ident_1^m : term_1^m
| ..
| ident_{n_m}^m : term_{n_m}^m .
```

It has the same semantics as the above Inductive definition for each  $ident_1, \dots, ident_m$ . All names  $ident_1, \dots, ident_m$  are simultaneously added to the environment. Then well-typing of constructors can be checked. Each one of the  $ident_1, \dots, ident_m$  can be used on its own.

It is also possible to parameterize these inductive definitions. However, one should remark that parameters correspond to a local context in which the whole set of inductive declarations is done. For this reason, the parameters are shared between all inductive types and this context syntactically appears between the **Mutual** and the **Inductive** keywords and not after the identifier as it is the case for a single inductive declaration. The syntax is thus:

```
Mutual [params ] Inductive ident1 : term1 :=
  ident11 : term11
  | ..
  | identn11 : termn11
with
  ..
with identm : termm :=
  ident1m : term1m
  | ..
  | identnmm : termnmm.
```

**Example :** The typical example of a mutual inductive data type is the one for trees and forests. We assume given two types  $A$  and  $B$  as variables. It can be declared the following way.

```
Coq < Variables A,B:Set.

Coq < Mutual Inductive tree : Set := node : A -> forest -> tree
Coq < with forest : Set := leaf : B -> forest
Coq < | cons : tree -> forest -> forest.
```

This declaration generates automatically six induction principles called respectively **tree\_rec**, **tree\_ind**, **tree\_rect**, **forest\_rec**, **forest\_ind**, **forest\_rect**. These ones are not the most general ones but are just the induction principles corresponding to each inductive part seen as a single inductive definition.

To illustrate this point on our example, we give the types of **tree\_rec** and **forest\_rec**.

```
Coq < Check tree_rec.

Coq < Check forest_rec.
```

Assume we want to parameterize our mutual inductive definitions with the two type variables  $A$  and  $B$ , the declaration should be done the following way:

```
Coq < Mutual [A,B:Set] Inductive
Coq < tree : Set := node : A -> (forest A B) -> (tree A B)
Coq < with forest : Set := leaf : B -> (forest A B)
Coq < | cons : (tree A B) -> (forest A B) -> (forest A B).
```

Assume we define an inductive definition inside a section. When the section is closed, the variables declared in the section and occurring free in the declaration are added as parameters to the inductive definition.

### 2.6.3 Fixpoint *ident* [ *ident*<sub>1</sub> : *term*<sub>1</sub> ] : *term*<sub>2</sub> := *term*<sub>3</sub>.

This command may be used to define inductive objects using a fixed point construction instead of the **Match** recursion operator. The meaning of this declaration is to define *ident* a recursive function with one argument *ident*<sub>1</sub> of type *term*<sub>1</sub> such that (*ident ident*<sub>1</sub>) has type *term*<sub>2</sub> and is equivalent to the expression *term*<sub>3</sub>. The type of the *ident* is consequently (*ident*<sub>1</sub> : *term*<sub>1</sub>)*term*<sub>2</sub> and the value is equivalent to [*ident*<sub>1</sub> : *term*<sub>1</sub>]*term*<sub>3</sub>. The argument *ident*<sub>1</sub> (of type *term*<sub>1</sub>) is called the *recursive variable* of *ident*. Its type should be an inductive definition.

To be accepted, a **Fixpoint** definition has to satisfy some syntactical constraints on this recursive variable. They are needed to ensure that the **Fixpoint** definition always terminates. For instance, one can define the addition function as :

```
Coq < Fixpoint add [n:nat] : nat->nat
Coq <      := [m:nat]Case n of m [p:nat](S (add p m)) end.
```

The **Case** operator matches a value (here *n*) with the various constructors of its (inductive) type. The remaining arguments give the respective values to be returned, as functions of the parameters of the corresponding constructor. Thus here when *n* equals 0 we return *m*, and when *n* equals (S *p*) we return (S (add *p m*)). The **Case** operator is described in detail in section 6.5.4. The system recognizes that in the inductive call (add *p m*) the first argument actually decreases because it is a *pattern variable* coming from **Case n of**.

**Variants :**

- **Fixpoint** *ident* [ *params* ] : *term*<sub>1</sub> := *term*<sub>2</sub>.  
See section 2.2 for a syntactic description of *params*. It declares a list of identifiers with their type usable in the type *term*<sub>1</sub> and the definition body *term*<sub>2</sub> and the last identifier in *ident*<sub>0</sub> is the recursion variable.
- **Fixpoint** *ident*<sub>1</sub> [ *params*<sub>1</sub> ] : *term*<sub>1</sub> := *term*'<sub>1</sub>  
with  
...  
with *ident*<sub>*m*</sub> [ *params*<sub>*m*</sub> ] : *term*<sub>*m*</sub> := *term*'<sub>*m*</sub>  
Allows to define simultaneously *ident*<sub>1</sub>, ..., *ident*<sub>*m*</sub>.

**Example :** The following definition is not correct and generates an error message:

```
Coq < Fixpoint wrongplus [n:nat] : nat->nat
Coq <      := [m:nat]Case m of n [p:nat](S (wrongplus n p)) end.
```

because the declared decreasing argument *n* actually does not decrease in the recursive call. The function computing the addition over the second argument should rather be written:

```
Coq < Fixpoint plus [n,m:nat] : nat
Coq <      := Case m of n [p:nat](S (plus n p)) end.
```

The ordinary match operation on natural numbers can be mimicked in the following way.

```
Coq < Fixpoint nat_match [C:Set;f0:C;fS:nat->C->C;n:nat] : C
Coq <      := Case n of f0 [p:nat](fS p (nat_match C f0 fS p)) end.
```



The recursive call may not only be on direct subterms of the recursive variable `n` but also on a deeper subterm and we can directly write the function `mod2` which gives the remainder modulo 2 of a natural number.

```
Coq < Fixpoint mod2 [n:nat] : nat
Coq <   := Case n of 0 [p:nat]Case p of (S 0) [q:nat](mod2 q) end end.
```

In order to keep the strong normalisation property, the fixed point reduction will only be performed when the argument in position of the recursive variable (whose type should be in an inductive definition) starts with a constructor.

The `Fixpoint` construction enjoys also the `with` extension to define functions over mutually defined inductive types or more generally any mutually recursive definitions.

**Example :** The size of trees and forests can be defined the following way:

```
Coq < Fixpoint tree_size [t:tree] : nat :=
Coq <   Case t of [a:A][f:forest](S (forest_size f)) end
Coq < with forest_size [f:forest] : nat :=
Coq <   Case f of [b:B](S 0)
Coq <       [t:tree][f':forest](plus (tree_size t) (forest_size f'))
Coq <       end.
```

A generic command `Scheme` is useful to build automatically various mutual induction principles. It is described in section 8.5.

## 2.6.4 The Record Macro

This version of Coq contains a macro called `Record` allowing the definition of records as is done in many programming languages. Its syntax is:

```
Record ident [ params ] : sort := ident0 {
  ident1 : term1;
  ...
  identn : termn }.
```

The identifier `ident` is the name of the defined record and `sort` is its type. The identifier `ident0` is the name of its constructor. The identifiers `ident1`, ..., `identn` are the names of its fields and `term1`, ..., `termn` their respective types. Note that the records may have parameters.

**Example :**

The set of rational numbers may be defined as:

```
Coq < Record Rat : Set := mkRat {
Coq <   top      : nat;
Coq <   bottom   : nat;
Coq <   Rat_cond : (gt bottom 0) }.
```

An important difference between our records and those of most programming languages is that a field may depend on other fields appearing before it. For instance in the above example, the field `Rat_cond` depends on the field `bottom`. Thus the order of the fields is important.

Let us now see the work done by the `Record` macro. First the macro generates a one-constructor inductive definition of the following form:

```
Inductive ident [ params ] : sort :=
  ident0 : (ident1:term1) .. (identn:termn)(ident params).
```

To build an object of type *ident*, one should provide the constructor *ident<sub>0</sub>* with *n* terms filling the fields of the record.

Let us define the rational 1/2. Following our definition, a rational number is defined by two natural numbers and a proof that the second is strictly positive. Thus we must prove that 2 is strictly positive. Let us just assume it as axiom. Try to prove it using tactics (see the chapter 3).

```
Coq < Axiom two_is_positive : (gt (S (S 0)) 0).
```

We have now all the ingredients to define 1/2 (we call it `half`).

```
Coq < Definition half := (mkRat (S 0) (S (S 0)) two_is_positive).
Coq < Check half.
```

The macro generates also, when it is possible, the projection functions for destructuring an object of type *ident* into its constituent fields. We give the field names to these projection functions.

For our example, these functions are `top`, `bottom` and `Rat_cond`. Let us show their behavior on `half`.

```
Coq < Compute (top half).
Coq < Compute (bottom half).
Coq < Compute (Rat_cond half).
```

In the case where the definition of a projection function *ident<sub>i</sub>* is impossible, a warning is printed.

#### Warning :

1. **Warning:** *ident<sub>i</sub>* cannot be defined.

This message is followed by an explanation of this impossibility.

There may be three reasons:

- (a) The name *ident<sub>i</sub>* already exists in the environment (see section 2.4.1).
- (b) The body of *ident<sub>i</sub>* uses a incorrect elimination for *ident* (see sections 2.6.3 and 6.5.4).
- (c) **The projections [ *idents* ] were not defined.**  
The body of *term<sub>i</sub>* uses the projections *idents* which are not defined for one of these three reasons listed here.

#### Error message :

1. **A record cannot be recursive**

The record name *ident* appears in the type of its fields.

During the definition of the one-constructor inductive definition, all the errors of inductive definitions, as described in section 2.6, may occur.

**Variants :**

1. **Record** *ident* [ *params* ] : *sort* := {  
    *ident*<sub>1</sub> : *term*<sub>1</sub>;  
    ...  
    *ident*<sub>*n*</sub> : *term*<sub>*n*</sub> }.

One can omit the constructor name in which case the system will use the name **Build\_***ident*.

### 2.6.5 CoInductive, Mutual CoInductive and CoFixpoint

*Co-inductive* types are inductive types whose elements may not be well-founded. Chapter 10 is devoted to their description.

## 2.7 Section mechanism

The sectioning mechanism allows to organize a proof in structured sections. Then local declarations become available (see section 2.5).

### 2.7.1 Section *ident*

This command is used to open a section named *ident*.

**Variants :**

1. **Chapter** *ident*  
    Same as **Section** *ident*

### 2.7.2 End *ident*

This command closes the section named *ident*. When a section is closed, all local declarations are discharged. This means that all global objects defined in the section are *closed* (in the sense of  $\lambda$ -calculus) with as many abstractions as there were local declarations in the section explicitly occurring in the term. A local object in the section is not exported and its value will be substituted in the other definitions.

Here is an example :

```
Coq < Section s1.  
Coq < Variables x,y : nat.  
Coq < Local y' := y.  
Coq < Definition x' := (S x).  
Coq < Print x'.  
Coq < End s1.  
Coq < Print x'.
```

Note the difference between the value of  $x'$  inside section `s1` and outside.

**Error message :**

1. Section *ident* does not exist (or is already closed)
2. Section *ident* is not the innermost section

**Remark :** Some commands such as `Hint ident` or `Syntactic Definition` which appear inside a section are cancelled when the section is closed.

## Chapter 3

# Proof handling

In Coq's proof editing mode all toplevel commands remain available and the user has access to specialized commands dealing with proof development pragmas documented in this section. He can also use some other specialized commands called *tactics*. They are the very tools allowing the user to deal with logical reasoning. They are documented in chapter 4.

When switching in editing proof mode, the prompt `Coq <` is changed into `ident <` where *ident* is the declared name of the theorem (or lemma, ...) one wants to prove.

At each stage of a proof development, one has a list of goals to prove. Initially, the list consists only in the theorem itself. After having applied some tactics, the list of goals contains the subgoals generated by the tactics. At each state of a proof development one has a number of available hypotheses we call the *local context* of the goal. Initially, the local context is empty. It is enriched by the use of certain tactics (see mainly section 4.3.2). Different local contexts may be associated to different subgoals (see, for instance, section 4.7.1).

When a proof is achieved the message `Subtree proved!` is displayed. One can then store this proof as a defined constant in the environment. Because there exists a correspondence between proofs and terms of  $\lambda$ -calculus, known as the *Curry-Howard isomorphism* [48, 7, 44, 53], Coq stores proofs as terms of CIC. One calls those terms : *proof terms*.

**Error message :** When one attempts to use a proof editing command out of the proof editing mode, Coq raises the error message : `No focused proof`.

### 3.1 Switching on/off the proof editing mode

#### 3.1.1 Goal *term*

This command switches Coq to editing proof mode and sets *term* as the original goal. It associates the name `Unnamed_thm` to the unnamed goal *term*.

**Error message :**

1. Proof objects can only be abstracted
2. A goal should be a type
3. repeated goal not permitted in refining mode

**See also :** section 3.1.3

### 3.1.2 Qed

This command is available in interactive editing proof mode when the proof is completed. Then **Qed** extracts a proof term from the proof script, switches back to **Coq** toplevel and attaches the extracted proof term to the declared name of the original goal. This name is added to the environment as an **Opaque** constant.

#### Error message :

1. **Attempt to save an incomplete proof**
2. **Clash with previous constant ...**  
The implicit name is already defined. You have then to provide explicitly a new name (see variant 2 below).
3. Sometimes an error occurs when building the proof term, because tactics do not enforce completely the term construction constraints.  
  
Also the user should be aware of the fact that since the proof term is completely rechecked at this point, one may have to wait a while when the proof is large. In some exceptional cases one may even incur a memory overflow fatal mistake.

#### Variants :

1. **Save**  
Is equivalent to **Qed**.
2. **Save *ident***  
Forces the name of the original goal to be *ident*.
3. **Save Theorem *ident***  
Is equivalent to **Save *ident***
4. **Save Remark *ident***  
Defines the proved term as a local constant that will not exist anymore after the end of the current section.
5. **Defined**  
Defines the proved term as a transparent constant.

### 3.1.3 Theorem *ident* : *term*.

This command switches to interactive editing proof mode and declares *ident* as being the name of the original goal *term*. When declared as a **Theorem**, the name *ident* is known at all section levels: **Theorem** is a *global* lemma.

#### Error message : (see section 3.1.1)

#### Variants :

1. **Lemma *ident* : *term***  
Is equivalent to **Theorem *ident* : *term***

2. **Remark** *ident* : *term*

Analogous to **Theorem** except that *ident* will be unknown after closing the current section.

3. **Fact** *ident* : *term*

Analogous to **Theorem** except that *ident* is known after closing the current section but will be unknown after closing the section which is above the current section.

4. **Definition** *ident* : *term*

Analogous to **Theorem**, intended to be used in conjunction with **Defined** (see chapter 5 in order to define a transparent constant).

## 3.2 Pragmas

### 3.2.1 Proof *term*

This command applies in proof editing mode. It is equivalent to **Exact** *term*; **Save**. That is, you have to give the full proof in one gulp, as a proof term (see section 4.2.1).

**Variants :**

1. **Proof.** is a noop which is useful to delimit the sequence of tactic commands which start a proof, after a **Theorem** command. It is a good practice to use **Proof.** as an opening parenthesis, closed in the script with a closing **Qed**.

### 3.2.2 Abort

This command cancels the current proof development, switching back to the previous proof development, or to the Coq toplevel if no other proof was edited.

**Error message :**

1. No focused proof (No proof-editing in progress)

**Variants :**

1. **Abort** *ident*  
Aborts the editing of the proof named *ident*.
2. **Abort All**  
Aborts all current goals, switching back to the Coq toplevel.

### 3.2.3 Suspend

This command applies in proof editing mode. It switches back to the Coq toplevel, but without cancelling the current proofs.

### 3.2.4 Resume

This command switches back to the editing of the last edited proof.

**Error message :**

1. No proof-editing in progress

**Variants :**

1. **Resume** *ident*  
Restarts the editing of the proof named *ident*.

**Error message :**

1. No such proof

### 3.2.5 Undo

This command cancels the effect of the last tactic command. Thus, it backtracks one step.

**Error message :**

1. No focused proof (No proof-editing in progress)
2. Undo stack would be exhausted

**Variants :**

1. **Undo** *num*  
Repeats Undo *num* times.

### 3.2.6 Set Undo *num*

This command changes the maximum number of Undo's that will be possible when doing a proof. It only affects proofs started after this command, such that if you want to change the current undo limit inside a proof, you should first restart this proof.

### 3.2.7 Unset Undo

This command resets the default number of possible undo which is currently 12).

### 3.2.8 Restart

This command restores the proof editing process to the original goal.

**Error message :**

1. No focused proof to restart



### 3.2.9 Focus

Will focus the attention on the first subgoal to prove, the remaining subgoals will no more be printed after the application of a tactic. This is useful when there are many current subgoals which clutter your screen.

### 3.2.10 Unfocus

Turns off the focus mode.

### 3.2.11 Show

This command displays the current goals.

**Variants :**

1. **Show *num***  
Displays only the *num*-th subgoal.  
**Error message : no such goal**

2. **Show Script**

It displays the whole list of tactics applied from the beginning of the current proof.

3. **Show Tree**

This command can be seen as a more structured way of displaying the state of the proof than that provided by **Show Script**. Instead of just giving the list of tactics that have been applied, it shows the derivation tree constructed by them. Each node of the tree contains the conclusion of the corresponding sub-derivation (i.e. a goal with its corresponding local context) and the tactic that has generated all the sub-derivations. The leaves of this tree are the goals which still remain to be proved.

4. **Show Proof**

It displays the proof term generated by the tactics that have been applied. If the proof is not completed, this term contain holes, which correspond to the sub-terms which are still to be constructed. These holes appear as a question mark indexed by an integer, and applied to the list of variables in the context, since it may depend on them. The types obtained by abstracting away the context from the type of each hole-placer are also printed.

5. **Show Conjectures**

It prints the list of the names of all the theorems that are currently being proved. As it is possible to start proving a previous lemma during the proof of a theorem, this list may contain several names.

### 3.2.12 Clear *ident*

This command erases the hypothesis named *ident* in the local context of the current goal. Then *ident* is no more displayed and no more usable in the proof development.

### Error message :

1. *ident* is not among the assumptions.

### 3.2.13 Set Hyps\_limit *num*

This command sets the maximum number of hypotheses displayed in goals after the application of a tactic. All the hypotheses remains usable in the proof development.

### 3.2.14 Unset Hyps\_limit

This command goes back to the default mode which is to print all available hypotheses.

## 3.3 The hints list

The hints list is a data base of tactics for automated proof search. It associates to a constant a list of tactics which may be tried when the head symbol of the goal to be solved is this constant.

The tactics that can be stored are mainly **Apply** *ident* (see section 4.4.1), **EApply** *ident* (see section 3), **Exact** *ident* (see section 4.2.1), or **Unfold** *ident* (see section 4.5.4).

Each tactic is stored with a numerical weight aiming to represent the "cost" of the application of this tactic in an automatic proof search. Tactics with a low cost are tried first.

**See also :** section 4.10

### 3.3.1 Hint *ident*

This command adds **Apply** *ident* to the hint list associated with the head symbol of the type of *ident*. The cost of *ident* is the number of subgoals generated by **Apply** *ident*.

In case the inferred type of *ident* does not start with a product the tactic added in the hint list is **Exact** *ident*. In case this type can be reduced to a type starting with a product, the tactic **Apply** *ident* is also stored in the hints list.

If the inferred type of *ident* does contain a dependent quantification on a predicate, it is added to the hint list of **EApply** instead of the hint list of **Apply**. In this case, the hint is only used by the tactic **EAuto** (see 4.10.3).

### Error message :

1. Bound head variable

The head symbol of the type of *ident* is a bound variable such that this tactic cannot be associated to a constant.

2. *ident* cannot be used as a hint

The type of *ident* contains products over variables which do not appear in the conclusion. A typical example is a transitivity axiom. In that case the **Apply** tactic fails, and thus is useless.

### Variants :

1. Hint *ident*<sub>1</sub> .. *ident*<sub>*n*</sub>

Is equivalent to Hint *ident*<sub>1</sub>. .. Hint *ident*<sub>*n*</sub>

### 3.3.2 Immediate *ident*

This command adds `Apply ident`; `Trivial` to the hint list associated with the head symbol of the type of *ident*. This tactic will fail if all the subgoals generated by `Apply ident` are not solved immediately by the `Trivial` tactic which only tries tactics with cost 0 in the hint list.

This command is useful for theorems such that the symmetry of equality or  $n + 1 = m + 1 \rightarrow n = m$  that we may like to introduce with a limited use in order to avoid useless proof-search.

The cost of this tactic (which never generates subgoals) is always 1, so that it is not used by `Trivial` itself.

**Error message :**

1. Bound head variable

**Variants :**

1. Immediate *ident*<sub>1</sub> .. *ident*<sub>n</sub>  
Is equivalent to Immediate *ident*<sub>1</sub>. .. Immediate *ident*<sub>n</sub>

### 3.3.3 Hint Unfold *ident*

This command adds the tactic `Unfold ident` to the hint list that will only be used when the head constant of the goal is *ident*. Its cost is 4.

**Variants :**

1. Hint Unfold *ident*<sub>1</sub> .. *ident*<sub>n</sub>  
Is equivalent to Hint Unfold *ident*<sub>1</sub>. .. Hint Unfold *ident*<sub>n</sub>

### 3.3.4 Print Hint

This command displays the currently available hints list. Note that if an axiom or theorem has been declared twice, it will appear only once.

**Variants :**

1. Print Hint *ident*  
This command displays only tactics associated with *ident* in the hints list.



# Chapter 4

## Tactics

A deduction rule is a link between some (unique) formula, we call the *conclusion* and (several) formulæ we call the *premisses*. Indeed, a deduction rule can be read in two ways. The first one has the shape : “*if I know this and this then I can deduce this*”. For instance, if I have a proof of  $A$  and a proof of  $B$  then I have a proof of  $A \wedge B$ . This is forward reasoning from premisses to conclusion. The other way says : “*to prove this I have to prove that and that*”. For instance, to prove  $A \wedge B$ , I have to prove  $A$  and I have to prove  $B$ . This is backward reasoning which proceeds from conclusion to premisses. We say that the conclusion is *the goal* to prove and premisses are *the subgoals*. The tactics implement *backward reasoning*. When applied to a goal, a tactic replaces this goal with the subgoals it generates. We say that a tactic reduces a goal to its subgoal(s).

Each (sub)goal is denoted with a number. The current goal is numbered 1. By default, a tactic is applied to the current goal, but one can address a particular goal in the list by writing *n:tac* which means “*apply tactic tac to goal number n*”.

Since not every rule applies to any statement, every tactic cannot be used to reduce any goal. In other words, before applying a tactic to a given goal, the system checks that some *preconditions* are satisfied. If it is not the case, the tactic raises an error message.

There are, at least, three levels of tactics. The simplest one implements basic rules of the logical framework (see for instance **Intro** in section 4.3.2). The second level is the one of *derived rules* which are built by combination of other tactics (see for instance **Generalize** in section 4.4.3). The third one implements heuristics or decision procedures to build a complete proof of a goal (see for instance **Auto** in section 4.10.1).

### 4.1 Syntax of tactics

Tactics are build from tacticals and atomic tactics. A tactic is applied as an ordinary command. If the tactic does not address the first subgoal, the command may be preceded by the wished subgoal number.

```

tactic ::= atomic_tactic
          | ( tactic )
          | tactic Orelse tactic
          | Try tactic
          | Repeat tactic
          | Do num tactic
          | tactic ; tactic
          | tactic ; [ tactic | ... | tactic ]
tactic_invocation ::= num : tactic .
                      | tactic .

```

**Remarks :**

1. The infix tacticals **Orelse** and “ ; ” associate to the right. The tactical **Orelse** binds more than the prefix tacticals **Try**, **Repeat** and **Do** which bind more than the postfix tactical “ ; [ ] ” which binds more than “ ; ”.

For instance **Try Repeat** *tactic*<sub>1</sub> **Orelse** *tactic*<sub>2</sub> ; *tactic*<sub>3</sub> ; [ *tactic*<sub>31</sub> | ... | *tactic*<sub>3n</sub> ] ; *tactic*<sub>4</sub> ) is understood like

(**Try** (**Repeat** (*tactic*<sub>1</sub> **Orelse** *tactic*<sub>2</sub>))) ; ((*tactic*<sub>3</sub> ; [ *tactic*<sub>31</sub> | ... | *tactic*<sub>3n</sub> ] ) ; *tactic*<sub>4</sub>).

2. An *atomic\_tactic* is any of the tactic listed below.

## 4.2 Brute force proofs

### 4.2.1 Exact *term*.

This tactic applies to any goal. It gives directly the exact proof term of the goal. Let T be our goal, let p be a term of type U then **Exact** p succeeds iff T and U are convertible.

**Error message :**

1. Not an exact proof

## 4.3 Basics

Tactics presented in this section implement the basic typing rules of CIC given in chapter 6.

### 4.3.1 Assumption.

This tactic applies to any goal. It implements the “Var” rule given in section 6.2. It looks in the local context for an hypothesis which type is equal to the goal. If it is the case, the proof is ended and the message **Subtree proved!** is displayed.

**Error message :**

1. No such assumption

### 4.3.2 Intro.

This tactic applies to a goal which is a product. It implements the “Lam” rule given in section 6.2. In fact, only one subgoal will be generated as the other one can be automatically checked.

If the current goal is a dependent product (say :  $(x:T)U$ ) and  $x$  is a name that does not exist in the current context, then **Intro** puts  $x:T$  in the local context. Otherwise, it puts  $xn:T$  where  $xn$  is a fresh name.

If the goal is a non dependent product (say :  $T \rightarrow U$ ) then it puts in the local context either  $Hn:T$  (if the type of  $T$  is **Set** or **Prop**) or  $Xn:T$  (if the type of  $T$  is **Typeset** or **Type**) where  $Hn$  and  $Xn$  are fresh identifiers.

In both cases the new subgoal is  $U$ .

**Remark :** In the case you have a non dependent product as a goal but you entered it under the form of a dependent one (say: your entered  $(x:T)U$  where  $x$  does not occur in  $U$ ) you will see the goal printed as  $T \rightarrow U$  but **Intro** will work as in the dependent case.

**Error message :**

1. **Intro needs a product**

**Variants :**

1. **Intros.**

Repeats **Intro** as often as it is possible. It is equivalent to the tactical **Repeat Intro**.

2. **Intro ident.**

Applies **Intro** but forces *ident* to be the name of the hypothesis.

**Error message :** name *ident* is already bound

**Remark :** **Intro** doesn't check the whole current context. Actually, identifiers declared or defined in required modules can be used as *ident* and, in this case, the old *ident* of the module is no more reachable.

3. **Intros ident<sub>1</sub> .. ident<sub>n</sub>.**

Is equivalent to the tactical **Intro ident<sub>1</sub>; .. ; Intro ident<sub>n</sub>.**

4. **Intros until ident.**

Repeats **Intro** until it meets a premiss of the goal having form (*ident* : *term*) discharges the variable named *ident* of the current goal.

**Error message :** No such hypothesis in current goal

### 4.3.3 Cut term.

This tactic applies to any goal. It implements the “App” rule given in section 6.2. It is used when one wants to prove the current goal (say :  $T$ ) as a consequence of a statement  $U$ . That is to say that **Cut**  $U$  transforms the current goal  $T$  into the two following subgoals :  $U \rightarrow T$  and  $U$ .

**Error message :**

1. **Not a proposition or a type**

Arises when the argument *term* is neither of type **Prop**, **Set**, **Type** nor **Typeset**.

#### 4.3.4 Change *term*.

This tactic applies to any goal. It implements the rule “Conv” given in section 6.3. **Change** U replaces the current goal (say : T) with a U providing that U is well-formed and that T and U are convertible.

**Error message :**

1. `convert-concl rule passed non-converting term`

**Variants :**

1. **Change *term* in *ident*.**  
Not yet installed.

### 4.4 Some derived rules

#### 4.4.1 Apply *term*.

This tactic applies to any goal. The argument *term* can be either an hypothesis of the proof context or a constant of the environment (axiom, theorem, ..) or an arbitrary well-formed term. The tactic **Apply** tries to match the current goal against the conclusion of the type of *term*. If it succeeds, then the tactic returns as many subgoals as the instantiations of the premisses of the type of *term*.

**Error message :**

1. **Impossible to unify ... with ...**  
Since higher order unification is undecidable, the **Apply** tactic may fail when you think it should work. In this case, if you know that the conclusion of *term* and the current goal are unifiable, you can help the **Apply** tactic by transforming your goal with the **Change** or **Pattern** tactics (see sections 4.5.5, 4.3.4).
2. **Cannot refine to conclusions with meta-variables**  
This occurs when some instantiations of premisses of *term* are not deducible from the unification. This is the case, for instance, when you want to apply a transitivity property. In this case, you have to use one of the variants below : **Apply .. with** or **EApply**.

**Variants :**

1. **Apply *term* with  $term_1 \dots term_n$ .**  
Provides **Apply** with explicit instantiations for all dependent premisses of the type of *term* which do not occur in the conclusion and consequently cannot be found by unification. Notice that  $term_1 \dots term_n$  must be given according to the order of premisses of the type of *term*.

**Error message :** Not the right number of missing arguments

2. **Apply *term* with  $ref_1 := term_1 \dots ref_n := term_n$ .**  
Provides also **Apply** with values for instantiating premisses by associating explicitly variables (or non dependent products) with their intended instance (see syntax in the section 4.4.7).



### 3. EApply term.

The tactic `EApply` behaves as `Apply` but does not fail when no instantiation are deducible for some variables in the premises. Rather, it turns these variables into so-called existential variables which are variables still to instantiate. An existential variable is identified by a name of the form `?n` where `n` is a number. The instantiation is intended to be found later in the proof.

**Example :** Assume we have a relation on `nat` which is transitive:

```
Coq < Variable R:nat->nat->Prop.
```

```
Coq < Hypothesis Rtrans : (x,y,z:nat)(R x y)->(R y z)->(R x z).
```

```
Coq < Variables n,m,p:nat.
```

```
Coq < Hypothesis Rnm:(R n m).
```

```
Coq < Hypothesis Rmp:(R m p).
```

Consider the goal `(R n p)` provable using the transitivity of `R`:

```
Coq < Goal (R n p).
```

The direct application of `Rtrans` with `Apply` fails because no value for `y` in `Rtrans` is found by `Apply`:

```
Coq < Apply Rtrans.
```

A solution is to rather apply `(Rtrans n m p)`.

```
Coq < Apply (Rtrans n m p).
```

More elegantly, `Apply Rtrans with y:=m` allows to only mention the unknown `m`:

```
Coq < Apply Rtrans with y:=m.
```

Another solution is to mention the proof of `(R x y)` in `Rtrans`...

```
Coq < Apply Rtrans with 1:=Rnm.
```

... or the proof of `(R y z)`:

```
Coq < Apply Rtrans with 2:=Rmp.
```

On the opposite, one can use `EApply` which postpone the problem of finding `m`. Then one can apply the hypotheses `Rnm` and `Rmp`. This instantiates the existential variable and completes the proof.

```
Coq < EApply Rtrans.
```

```
Coq < Apply Rnm.
```

```
Coq < Apply Rmp.
```

#### 4.4.2 LApply term.

This tactic applies to any goal  $G$ . The argument *term* has to be well-formed in the current context name, its type being reducible to a non-dependent product  $A \rightarrow B$  with  $B$  possibly containing products. It then generates two subgoals  $B \rightarrow G$  and  $A$ . Applying `LApply H` (where  $H$  has type  $A \rightarrow B$  and  $B$  does not start with a product) does the same as giving the sequence `Cut B. 2:Apply H`.

**Example :** Suppose we have the following goal :

```
Coq < Show.
```

```
Coq < LApply H.
```

Be careful, when *term* contains more than one non dependent product the `LApply` tactic only takes into account the first product.

**Example :** Suppose we have the following goal :

```
Coq < Show.
```

```
Coq < LApply H.
```

#### 4.4.3 Generalize term.

This tactic applies to any goal. Its main use is to strengthen the current goal with a quantification. In this case, *term* must be the name of a variable of the local context on which depends the current goal. Assume that our current goal is some  $(P \ x)$  and that the local context contains  $x:T$ , then `Generalize x` transforms the current goal into  $(x:T)(P \ x)$ .

**Remark :** If *term* is not the name of a variable of the local context then `Generalize t` is equivalent to the tactical `Cut T; 2: Exact t` where  $T$  is the type of  $t$ .

**Variants :**

1. `Generalize ident1 .. identn`.

Is equivalent to `Generalize identn; .. ; Generalize ident1`. Note that the *ident<sub>i</sub>*'s are processed from  $n$  to 1.

#### 4.4.4 Specialize term.

The argument *term* should be a well-typed term of type  $T$ . It is equivalent to `Cut T. 2:Exact term`.

**Variants :**

1. `Specialize term with ref1 := term1 .. refn := termn`.

It is to provide the tactic with some explicit values to instantiate premisses of *term* (see section 4.4.7). Some other premisses are inferred using type information and unification. The resulting well-formed term being  $(term \ term'_1 .. term'_k)$  this tactic behaves as is used as `Specialize (term term'_1 .. term'_k)`

**Error message :** Metavariable wasn't in the metamap

Arises when the informations provided in the bindings list is not enough.

2. **Specialize** *num term* with  $ref_1 := term_1 \dots ref_n := term_n$ .

The behavior is the same as before but only *num* premisses of *term* will be kept.

#### 4.4.5 Absurd *term*.

This tactic applies to any goal. The argument *term* is any proposition *P* of type **Prop**. This tactic applies **False** elimination, that is it deduces *P* from **False**, assuming that the current context is inconsistent. It generates as subgoals  $\sim P$  and *P*. It is very useful in proofs by cases, where certain cases are impossible. Typically, when an hypothesis *H* assuming *P* is such that  $\sim P$  may be deduced from the rest of the context, **Absurd** *P*; **Assumption** will leave you with this sole proof obligation, independently of the current goal.

#### 4.4.6 Contradiction.

This tactic applies to any goal. The **Contradiction** tactic attempts to find in the current context (after all **Intros**) one which is equivalent to **False**. It permits to prune irrelevant cases. This tactic is a macro for the tactics sequence **Intros**; **ElimType False**; **Assumption**.

**Error message :**

1. **No such assumption** : when there is no assumption in the context that is equivalent to **False**.

#### 4.4.7 Binding list

A bindings list is generally used after the **with** keyword in tactics. The general shape of a bindings list is  $ref_1 := term_1 \dots ref_n := term_n$  where *ref* is either an *ident* or a *num*. It is used to provide a tactic with a list of values ( $term_1, \dots, term_n$ ) that have to be substituted respectively to  $ref_1, \dots, ref_n$ . For all  $i \in [1..n]$ , if  $ref_i$  is  $ident_i$  then it references the dependent product  $ident_i : T$  (for some type *T*); if  $ref_i$  is  $num_i$  then it references the  $num_i$ th non dependent premiss.

A *bindingslist* can also be a simple list of terms  $term_1 \ term_2 \ \dots term_n$ . In that case the references to which these terms correspond are determined by the tactic. In case of **Elim term** the terms should correspond to all the dependent products in the type of *term* while in the case of **Apply term** only the dependent products which are not bound in the conclusion of the type are given.

### 4.5 Conversion tactics

This set of tactics implements different restricted usages of the “Conv” rule given in section 6.3.

#### 4.5.1 Red.

This tactic applies to a goal which have form  $(x:T_1) \dots (x_k:T_k)(c \ t_1 \ \dots \ t_n)$  where *c* is a constant. If *c* is transparent then it replaces *c* with its definition (say *t*) and then reduces  $(t \ t_1 \ \dots \ t_n)$  according to  $\beta\iota$ -reduction rules.

**Error message :**

1. Term not reducible

**Variants :**

1. Red in *ident*.  
Applies Red to the hypothesis named *ident*.

#### 4.5.2 Hnf.

This tactic applies to any goal. It replaces the current goal with its head normal form according to the  $\beta\delta\iota$ -reduction rules. Hnf does not produce a real head normal form but either a product or an applicative term in head normal form or a variable.

**Example :** The term `(n:nat)(plus (S n) (S n))` is not reduced by Hnf.

**Remark :** The  $\delta$  rule will only be applied to transparent constants (i.e. which have not been frozen with an `Opaque` command; see section 5.6.1).

#### 4.5.3 Simpl.

This tactic applies to any goal. Let T be our current goal. The tactic `Simpl` first applies  $\beta\iota$ -reduction rule to transform T into, say, T'. Then it expands transparent constants and tries to reduce T' according, once more, to  $\beta\iota$  rules. But when the  $\iota$  rule is not applicable then possible  $\delta$ -reductions are not applied. For instance trying to use `Simpl` on `(plus n 0)=n` will change nothing.

**Variants :**

1. Simpl in *ident*.  
Applies Simpl to the hypothesis named *ident*.

#### 4.5.4 Unfold *ident*.

This tactic applies to any goal. The argument *ident* must be the name of a defined transparent constant (see section 2.5). The tactic `Unfold` applies the  $\delta$  rule to each occurrence of *ident* in the current goal and then replaces it with its  $\beta\iota$ -normal form.

**Error message :**

1. Constant is opaque
2. *ident* does not occur

**Variants :**

1. Unfold *ident*<sub>1</sub> .. *ident*<sub>n</sub>.  
Replaces *simultaneously* *ident*<sub>1</sub>, .., *ident*<sub>n</sub> with their definitions and replaces the current goal with its  $\beta\iota$  normal form.
2. Unfold *num*<sub>1</sub><sup>1</sup> .. *num*<sub>i</sub><sup>1</sup> *ident*<sub>1</sub> .. *num*<sub>1</sub><sup>n</sup> .. *num*<sub>j</sub><sup>n</sup> *ident*<sub>n</sub>.  
The lists *num*<sub>1</sub><sup>1</sup>, .., *num*<sub>i</sub><sup>1</sup> and *num*<sub>1</sub><sup>n</sup>, .., *num*<sub>j</sub><sup>n</sup> are to specify the occurrences of *ident*<sub>1</sub>, .., *ident*<sub>n</sub> to be unfolded. Occurrences are located from left to right in the linear notation of terms.  
**Error message :** bad occurrence numbers of *ident*<sub>i</sub>

3. **Unfold**  $ident_1 \dots ident_n$  **in**  $ident$ .  
 $ident$  should refer to an hypothesis of the current goal, same as **Unfold**  $ident_1 \dots ident_n$  but acts on the type of  $ident$ .
4. **Unfold**  $num_1^1 \dots num_i^1 ident_1 \dots num_1^n \dots num_j^n ident_n$  **in**  $ident$ .  
 $ident$  should refer to an hypothesis of the current goal, same as **Unfold**  $num_1^1 \dots num_i^1 ident_1 \dots num_1^n \dots num_j^n ident_n$  but acts on the type of  $ident$ .  
**Error message** : bad occurrence numbers of  $ident_i$

#### 4.5.5 Pattern term.

This command applies to any goal. The argument *term* must be a free subterm of the current goal. The command **Pattern** performs  $\beta$ -expansion of the current goal (say T) by

1. replacing all occurrences of *term* in T with a fresh variable
2. abstracting this variable
3. applying *term* to the abstracted goal

For instance, if T is (P  $\tau$ ) when  $\tau$  does not occur in P then **Pattern**  $\tau$  transforms it into ( $[x:A]$  (P  $x$ )  $\tau$ ). This command has to be used, for instance, when an **Apply** command fails on matching.

**Variants** :

1. **Pattern**  $num_1 \dots num_n$  *term*.  
Only the occurrences  $num_1 \dots num_n$  of *term* will be considered for  $\beta$ -expansion. Occurrences are located from left to right.
2. **Pattern**  $num_1^1 \dots num_i^1 term_1 \dots num_1^m \dots num_j^m term_m$ .  
Will process occurrences  $num_1^1, \dots, num_i^1$  of  $term_1, \dots, num_1^m, \dots, num_j^m$  of  $term_m$  starting from  $term_m$ . Starting from a goal (P  $\tau_1 \dots \tau_m$ ) with the  $\tau_i$  which do not occur in P, the tactic **Pattern**  $\tau_1 \dots \tau_m$  generates the equivalent goal ( $[x_1:A_1] \dots [x_m:A_m]$  (P  $x_1 \dots x_m$ )  $\tau_1 \dots \tau_m$ ).  
If  $t_i$  occurs in one of the generated types  $A_j$  these occurrences will also be considered and possibly abstracted.

## 4.6 Introductions

Introduction tactics address goals which are inductive constants. They are used when one guesses that the goal can be obtained with one of its constructors' type.

### 4.6.1 Constructor num.

This tactic applies to a goal such that the head of its conclusion is an inductive constant (say I). The argument *num* must be less or equal to the numbers of constructor(s) of I. Let *ci* be the *i*-th constructor of I, then **Constructor** *i* is equivalent to **Intros**; **Apply** *ci*.

**Error message** :

1. Not an inductive product
2. Not enough Constructors

**Variants :**

1. Constructor *num* with *bindingslist*

Let *ci* be the *i*-th constructor of *I*, then `Constructor i with largs` is equivalent to `Intros; Apply ci with bindingslist`.

**Warning :** the terms in the *bindingslist* are checked in the context where `Constructor` is executed and not in the context where `Apply` is executed (the introductions are not taken into account).

2. `Split`.

Applies if *I* has only one constructor, typically in the case of conjunction  $A \wedge B$ . It is equivalent to `Constructor 1`.

3. `Exists bindingslist`.

Applies if *I* has only one constructor, for instance in the case of existential quantification  $\exists x \cdot P(x)$ . It is equivalent to `Intros; Constructor 1 with bindingslist`. **Warning :** `Exists` does not have the same semantics than in versions anterior to V5.8 anymore. All the introductions are ever done but the argument *term* is no more evaluated in the context containing these introductions but in the initial context (before the introductions).

4. `Left, Right`.

Apply if *I* has two constructors, for instance in the case of disjunction  $A \vee B$ . They are respectively equivalent to `Constructor 1` and `Constructor 2`.

5. `Left bindingslist, Right bindingslist, Split bindingslist`.

Are equivalent to the corresponding `Constructor i with bindingslist`.

## 4.7 Eliminations (Induction and Case Analysis)

Elimination tactics are useful to prove statements by induction or case analysis. Indeed, they make use of the elimination (or induction) principles generated with inductive definitions (see section 6.5).

### 4.7.1 `Elim term`.

This tactic applies to any goal. Basically, the type of the argument *term* must be an inductive constant. Then according to the type of the goal, the tactic `Elim` chooses the right destructor and applies it (as in the case of the `Apply` tactic). For instance, assume that our proof context contains `n:nat`, assume that our current goal is *T*, with *T* of type `Prop`, then `Elim n` is equivalent to `Apply nat_ind with n:=n`.

**Error message :**

1. Not an inductive product

2. Cannot refine to conclusions with meta-variables

As `Elim` uses `Apply`, see section 4.4.1 and the variant `Elim .. with ..` below.

**Variants :**

1. `Elim term` also works when the type of *term* starts with products and the head symbol is an inductive definition. In that case the tactic tries both to find an object in the inductive definition and to use this inductive definition for elimination. In case of non-dependent products in the type, subgoals are generated corresponding to the hypotheses. In the case of dependent products, the tactic will try to find an instance for which the elimination lemma applies.
2. `Elim term with term1 .. termn.`  
Allows the user to give explicitly the values for dependent premisses of the elimination schema. All arguments must be given.  
**Error message :** Not the right number of dependent arguments
3. `Elim term with ref1 := term1 .. refn := termn.`  
Provides also `Elim` with values for instantiating premisses by associating explicitly variables (or non dependent products) with their intended instance.
4. `Elim term1 using term2`  
Allows the user to give explicitly an elimination predicate *term<sub>2</sub>* which is not the standard one for the underlying inductive type of *term<sub>1</sub>*. Each of the *term<sub>1</sub>* and *term<sub>2</sub>* is either a simple term or a term with a bindings list (see 4.4.7).
5. `ElimType term.`  
The argument *term* must be inductively defined. `ElimType I` is equivalent to `Cut I. Intro Hn; Elim Hn; Clear Hn.` But the hypothesis *Hn* will not appear in the context(s) of the subgoal(s).  
Conversely, if *t* is a term of (inductive) type *I* and which does not occur in the goal then `Elim t` is equivalent to `ElimType I; 2: Exact t.`  
**Error message :** Impossible to unify ... with ... Arises when *term* needs to be applied to parameters.
6. `Induction ident.`  
Is equivalent to `Intros until ident; Pattern ident; Elim ident.`
7. `Induction num.`  
Is analogous to `Induction ident` but for the *num*-th non-dependent premiss of the goal.

#### 4.7.2 Case term.

The tactic `Case` is used to perform case analysis without recursion. The type of *term* must be inductively defined.

**Variants :**

1. `Case term with term1 .. termn.`  
Analogous to `Elim .. with` above.

2. **Destruct** *ident*.

Is equivalent to the tactical `Intros Until ident; Case ident`.

3. **Destruct** *num*.

Is equivalent to **Destruct** *ident* but for the *num*-th non dependent premiss of the goal.

### 4.7.3 Double Induction *num<sub>1</sub> num<sub>2</sub>*

This tactic applies to any goal. If the *num<sub>1</sub>*th and *num<sub>2</sub>*th premisses of the goal have an inductive type, then this tactic performs double induction on these premisses. For instance, if the current goal is  $(n,m:\text{nat})(P\ n\ m)$  then, **Double Induction** 1 2 yields the four cases with their respective inductive hypothesis. In particular the case for  $(P\ (S\ n)\ (S\ m))$  with the inductive hypothesis about both *n* and *m*.

**Remark :** This tactic is not automatically loaded, it is available by doing **Require Double**.

## 4.8 Equality

These tactics use the equality `eq: (A:Set)A->A->Prop` defined in file `Logic.v` and the equality `eqT: (A:Type)A->A->Prop` defined in file `Logic_Type.v` (see section 7.1.1). They are simply written `t=u` and `t==u`, respectively. In the following, the notation `t=u` will represent either one of these two equalities.

### 4.8.1 Rewrite *term*.

This tactic applies to any goal. The conclusion of the type of *term* must have the conclusion  $term_1=term_2$ . Then **Rewrite** *term* replaces every occurrence of *term<sub>1</sub>* by *term<sub>2</sub>* in the goal.

**Remark :** In case the type of *term<sub>1</sub>* contains occurrences of variables bound in the type of *term*, the tactic tries first to find a subterm of the goal which matches this term in order to find a closed instance *term'<sub>1</sub>* of *term<sub>1</sub>* then all instances of *term'<sub>1</sub>* will be replaced.

**Error message :**

1. No equality here

2. Failed to progress

This happens if *term<sub>1</sub>* does not occur in the goal and the rewriting does nothing.

**Variants :**

1. **Rewrite**  $\rightarrow$  *term*.

Is equivalent to **Rewrite** *term*

2. **Rewrite**  $\leftarrow$  *term*.

Uses the equality  $term_1=term_2$  from right to left

3. **Rewrite** *term* in *ident*.

Analogous to **Rewrite** *term* but rewriting is done in the hypothesis named *ident*.



4. `Rewrite -> term in ident.`  
Behaves as `Rewrite term in ident.`
5. `Rewrite <- term in ident.`  
Uses the equality  $term_1 = term_2$  from right to left to rewrite in the hypothesis named *ident*.

#### 4.8.2 Replace $term_1$ with $term_2$ .

This tactic applies to any goal. It replaces all free occurrences of  $term_1$  in the current goal with  $term_2$  and generates the equality  $term_2 = term_1$  as a subgoal. It is equivalent to `Cut term1=term2; Intro Hn; Rewrite Hn. Clear Hn.`

#### 4.8.3 Reflexivity.

This tactic applies to a goal which has the form  $t = u$ . It checks that  $t$  and  $u$  are convertible. It is equivalent to `Apply refl_equal.`

**Error message :**

1. Not a predefined equality
2. Impossible to unify ... With ...

#### 4.8.4 Symmetry.

This tactic applies to a goal which have form  $t = u$  and changes it into  $u = t$ .

#### 4.8.5 Transitivity *term*.

This tactic applies to a goal which have form  $t = u$  and transforms it into the two subgoals  $t = term$  and  $term = u$ .

## 4.9 Equality and inductive sets

We describe in this section some special purpose tactics dealing with equality and inductive sets or types. These tactics use the equalities `eq: (A:Set) A->A->Prop` defined in file `Logic.v` and `eqT : (A:Type) A->A->Prop` defined in file `Logic_Type.v` (see section 7.1.1). They are written  $t = u$  and  $t == u$ , respectively. In the following, unless it is stated otherwise, the notation  $t = u$  will represent either one of these two equalities.

#### 4.9.1 Discriminate *ident*

This tactic proves any goal from an absurd hypothesis stating that, two structurally different terms of an inductive set are equal. For example, from the hypothesis  $(S (S 0)) = (S 0)$  we can derive by absurdity any proposition. Let *ident* be a hypothesis of type  $term_1 = term_2$  in the local context,  $term_1$  and  $term_2$  are elements of an inductive set. To build the proof, the tactic traverses the normal forms\* of  $term_1$  and  $term_2$  looking for a couple of subterms  $u$  and  $w$  ( $u$  subterm of the

---

\*Recall: opaque constants will not be expanded by  $\delta$  reductions

normal form of  $term_1$  and  $w$  subterm of the normal form of  $term_2$ ), placed respectively in the same positions and, whose head symbols are different constructors. If such a couple of subterms exists, then the proof of the current goal is completed, otherwise the tactic fails raising an error message.

**Error message :**

1. *id Not a discriminable equality* occurs when the type of the specified hypothesis is an equation but does not verify the expected preconditions.
2. *id Not an equation* occurs when the type of the specified hypothesis is not an equation.

**Variants :**

1. **Discriminate.**

It applies to a goal of the form  $\sim term_1 = term_2$  and its semantics is equivalent to the sequence :  
 Unfold not; Intro *ident* ; Discriminate *ident*.

**Error message :**

- (a) goal does not satisfy the expected preconditions.

2. **Simple Discriminate.**

This tactic applies to a goal which has the form  $\sim term_1 = term_2$  where  $term_1$  and  $term_2$  belong to an inductive set and  $=$  denotes the equality **eq**. This tactic proves trivial disequalities such as  $\sim 0 = (S\ n)$ . It checks that the head symbols of the head normal forms of  $term_1$  and  $term_2$  are not the same constructor. When this is the case, the current goal is solved.

**Error message :**

- (a) Simple Discriminate should be applied to a pair of terms built with different constructors

#### 4.9.2 Injection *ident*

The **Injection** tactic is based on the fact that constructors of inductive sets are injections. That means that if  $c$  is a constructor of an inductive set, and  $(c\ \vec{t}_1)$  and  $(c\ \vec{t}_2)$  are two terms that are equal then  $\vec{t}_1$  and  $\vec{t}_2$  are equal too.

If *ident* is an hypothesis of type  $term_1 = term_2$ , then **Injection** behaves as applying injection as deep as possible to derive the equality of all the subterms of  $term_1$  and  $term_2$  placed in the same positions. For example, from the hypothesis  $(S\ (S\ n)) = (S\ (S\ (S\ m)))$  we may derive  $n = (S\ m)$ . To use this tactic  $term_1$  and  $term_2$  should be elements of an inductive set and they should be neither explicitly equal, nor structurally different. We mean by this that, if  $n_1$  and  $n_2$  are their respective normal forms, then :

- $n_1$  and  $n_2$  should not be syntactically equal,
- there must not exist any couple of subterms  $u$  and  $w$ ,  $u$  subterm of  $n_1$  and  $w$  subterm of  $n_2$  , placed in the same positions and having different constructors as head symbols.

If these conditions are satisfied, then, the tactic derives the equality of all the subterms of  $term_1$  and  $term_2$  placed in the same positions and puts them as antecedents of the current goal.

**Example :** Consider the type of dependent lists, the variable  $P$  and the following goal :

```
Coq < Inductive list : Set :=
Coq <      nil: list | cons: nat-> list -> list.
Coq < Variable P : list -> Prop.

Coq < Show.
Coq < Injection H0.
```

Beware that **Injection** yields always an equality in a sigma type whenever the injected object has a dependent type.

**Error message :**

1. *ident* is not a projectable equality occurs when the type of the hypothesis *id* does not verify the preconditions.
2. *ident* Not an equation occurs when the type of the hypothesis *id* is not an equation.

**Variants :**

1. **Injection.**  
If the current goal is of the form  $\sim term_1 = term_2$ , the tactic computes the head normal form of the goal and then behaves as the sequence: **Unfold not**; **Intro ident**; **Injection ident**.

**Error message :** goal does not satisfy the expected preconditions

2. **Injection ident num<sub>1</sub> .. num<sub>n</sub>.**  
This tactic applies to a goal which has the form  $term_1 = term_2$  where  $=$  denotes the equality **eq**. The terms  $term_1$  and  $term_2$  must belong to an inductive type. The name *ident* must be the name of an hypothesis whose type is some  $\tau = u$ . The sequence  $num_1 .. num_n$  is a *position* (or a *path*) in  $\tau$  and  $u$ .

Then, the tactic **Injection** checks that the normal forms of  $term_1$  and  $term_2$  are subterms of position  $num_1 .. num_n$  in the normal form of (respectively)  $\tau$  and  $u$ , then it checks that the path from the roots of  $\tau$  and  $u$  to (respectively)  $term_1$  and  $term_2$ , always meets the same constructor.

For instance, under the hypothesis  $H: (S\ n) = (S\ m)$ , the tactic **Injection H 1** will prove the goal  $n = m$ .

**Error message :**

- (a) **not an equality**
- (b) **incorrect path**  
Arises when the given path  $num_1 .. num_n$  exceeds the depth of the current goal

- (c) **can not perform injection in the specified hypothesis**  
Arises when the specified hypothesis does not have the expected type
- (d) **the result of the injection does not correspond to the current subgoal**  
Arises when the equality resulting from the injection is not convertible with the current goal

### 4.9.3 Simplify\_eq ident

Let *ident* be the name of a hypothesis of type  $term_1 = term_2$  in the local context. If  $term_1$  and  $term_2$  are structurally different (in the sense described for the tactic `Discriminate`), then, `Simplify_eq` behaves as `Discriminate ident` otherwise it behaves as `Injection ident`.

**Variants :**

1. `Simplify_eq`. This tactic is defined on top of the previous one. If the current goal is of the form  $t_1 = t_2$ , then this tactic calculates the head normal form of the goal (like with the tactic `Hnf`) and then behaves as the sequence `Intro ident; Simplify_eq ident`.

### 4.9.4 Dependent Rewrite -> ident

This tactic applies to any goal. If *ident* has type  $(\text{existS } A \ B \ a \ b) = (\text{existS } A \ B \ a' \ b')$  in the local context (i.e. each term of the equality has a sigma type  $\{a : A \ \& \ (B \ a)\}$ ) this tactic rewrites *a* into *a'* and *b* into *b'* in the current goal. This tactic works even if *B* is also a sigma type. This kind of equalities between dependent pairs may be derived by the injection and inversion tactics.

**Variants :**

1. `Dependent Rewrite <- ident`  
Analogous to `Dependent Rewrite ->` but uses the equality from right to left to rewrite.

## 4.10 Automatizing

### 4.10.1 Auto.

This tactic implements a Prolog-like resolution procedure to solve the current goal. It first tries to solve the goal using the `Assumption` tactic, then it reduces the goal to an atomic one using `Intros` and introducing the newly generated hypotheses as hints. Then it looks at the list of tactics associated to the head symbol of the goal and tries to apply one of them (starting from the tactics with lower cost). This process is recursively applied to the generated subgoals. The maximal search depth is 5 by default.

**Variants :**

1. `Auto num`  
Forces the search depth to be *num*.

**Remark :** `Auto` either solves the goal or else acts as `Idtac` and does not change the goal.

**See also :** section 3.3

#### 4.10.2 Trivial.

This tactic is a restriction of `Auto` for doing hypotheses and hints of cost 0. Typically it solves goals such as trivial equalities  $X = X$ .

**See also :** section 3.3

#### 4.10.3 EAuto.

This tactic generalises `Auto`. In contrast with the latter, `EAuto` uses unification of the goal against the hints rather than pattern-matching (otherwise said, it uses `EApply` instead of `Apply`). As a consequence, `EAuto` can solve such a goal:

```
Coq < Hint ex_intro.  
Coq < Goal (P:nat->Prop)(P 0)->(Ex [n:nat](P n)).  
Coq < EAuto.
```

Note that `ex_intro` should be declared as an hint.

**See also :** section 3.3

#### 4.10.4 Prolog [ $term_1 \dots term_n$ ] $num$ .

This tactic, implemented by Chet Murthy, is based upon the concept of existential variables of Gilles Dowek, stating that resolution is a kind of unification. It tries to solve the current goal using the `Assumption` tactic, the `Intro` tactic, and applying hypotheses of the local context and terms of the given list [  $term_1 \dots term_n$  ]. It is more powerful than `Auto` since it may apply to any theorem, even those of the form  $(x:A)(P\ x) \rightarrow Q$  where  $x$  does not appear free in  $Q$ . The maximal search depth is  $num$ .

**Error message :**

1. Prolog failed  
The Prolog tactic was not able to prove the subgoal.

#### 4.10.5 Tauto.

This tactic, due to César Muñoz [70], implements a decision procedure for intuitionistic propositional calculus based on the contraction-free sequent calculi  $LJT^*$  of R. Dyckhoff [37]. Note that `Tauto` succeeds on any instance of an intuitionistic tautological proposition. For instance it succeeds on  $(x:nat)(P:nat \rightarrow Prop)x=0(P\ x) \rightarrow x=0 \rightarrow (P\ x)$  while `Auto` fails.

#### 4.10.6 Intuition.

The tactic `Intuition` takes advantage of the search-tree builded by the decision procedure involved in the tactic `Tauto`. It uses this information to generate a set of subgoals equivalent to the original one (but simpler than it) and applies the tactic `Auto` to them [70]. At the end, `Intuition` performs `Intros`.

For instance, the tactic `Intuition` applied to the goal

$((x:\text{nat})(P\ x)) \wedge B \rightarrow ((y:\text{nat})(P\ y)) \wedge (P\ 0) \wedge B \wedge (P\ 0)$

internally replaces it by the equivalent one:

$((x:\text{nat})(P\ x) \rightarrow B \rightarrow (P\ 0))$

and then uses `Auto` which completes the proof.

#### 4.10.7 Linear.

The tactic `Linear`, due to Jean-Christophe Filliâtre [38], implements a decision procedure for *Direct Predicate Calculus*, that is first-order Gentzen's Sequent Calculus without contraction rules [57, 10]. Intuitively, a first-order goal is provable in Direct Predicate Calculus if it can be proved using each hypothesis at most once.

Unlike the previous tactics, the `Linear` tactic does not belong to the initial state of the system, and it must be loaded explicitly with the command

```
Coq < Cd "$COQTOP/tactics/contrib/linear".
```

```
Coq < Require Linear.
```

For instance, assuming that `even` and `odd` are two predicates on natural numbers, and `a` of type `nat`, the tactic `Linear` solves the following goal

```
Coq < Lemma example : (even a)
Coq <           -> ((x:nat)((even x)->(odd (S x))))
Coq <           -> (Ex [y:nat](odd y)).
```

You can find examples of the use of `Linear` in `theories/DEMOS/DemoLinear.v`.

#### Variants :

1. `Linear` with  $\text{ident}_1 \dots \text{ident}_n$ .

Is equivalent to apply first `Generalize`  $\text{ident}_1 \dots \text{ident}_n$  (see section 4.4.3) then the `Linear` tactic. So one can use axioms, lemmas or hypotheses of the local context with `Linear` in this way.

#### Error message :

1. Not provable in Direct Predicate Calculus
2. Found  $n$  classical proof(s) but no intuitionistic one !  
The decision procedure looks actually for classical proofs of the goals, and then checks that they are intuitionistic. In that case, classical proofs have been found, which do not correspond to intuitionistic ones.

## 4.11 Developing certified program

This section is devoted to powerful tools that `Coq` provides to develop certified programs. We just mention below the main features of those tools and refer the reader to chapter 14 and references [72, 73] for more details and examples.

#### 4.11.1 Realizer *Fwterm*.

This command associates the term *Fwterm* to the current goal. The *Fwterm*'s syntax is described in the chapter 14. It is an extension of the basic syntax for Coq's terms. The **Realizer** is used as a hint by the **Program** tactic described below. The term *Fwterm* intends to be the program extracted from the proof we want to develop.

**See also :** chapter 14, section 5.6.6

#### 4.11.2 Program.

This tactic tries to make a one step inference according to the structure of the **Realizer** associated to the current goal.

**Variants :**

1. **Program\_all**.

Is equivalent to **Repeat (Program Orelse Auto)** (see section 4.12).

**See also :** chapter 14

### 4.12 Tacticals

We describe in this section how to combine the tactics provided by the system to write synthetic proof scripts called *tacticals*. The tacticals are built using tactic operators we present below.

#### 4.12.1 Idtac

The constant **Idtac** is used as a “*pseudo tactic*” which leaves any goal unchanged.

#### 4.12.2 Do *num tactic*

This tactic operator repeats *num* times the tactic *tactic*. It fails when it is not possible to repeat *num* times the tactic.

#### 4.12.3 *tactic*<sub>1</sub> **Orelse** *tactic*<sub>2</sub>

The tactical *tactic*<sub>1</sub> **Orelse** *tactic*<sub>2</sub> tries to apply *tactic*<sub>1</sub> and, in case of a failure, applies *tactic*<sub>2</sub>. It associates to the left.

#### 4.12.4 Repeat *tactic*

This tactic operator repeats *tactic* as long as it does not fail.

#### 4.12.5 *tactic*<sub>1</sub> ; *tactic*<sub>2</sub>

This tactic operator is a generalized composition for sequencing. The tactical *tactic*<sub>1</sub> ; *tactic*<sub>2</sub> applies *tactic*<sub>2</sub> to all the subgoals generated by *tactic*<sub>1</sub>. ; associates to the left.

#### 4.12.6 $tactic_0; [ tactic_1 \mid \dots \mid tactic_n ]$

This tactic operator is a generalization of the precedent tactics operator. The tactical  $tactic_0 ; [ tactic_1 \mid \dots \mid tactic_n ]$  applies  $tactic_i$  to the  $i$ -th subgoal generated by  $tactic_0$ . It fails if  $n$  is not the exact number of remaining subgoals.

#### 4.12.7 Try $tactic$

This tactic operator applies tactic  $tactic$ , and catches the possible failure of  $tactic$ , it never fails.



# Chapter 5

## Other commands

### 5.1 Loadpath

There are currently two loadpaths in Coq. A loadpath where seeking Coq files (extensions `.v`, `.vo` or `.vi`) and one where seeking Objective Caml files.

#### 5.1.1 Pwd.

This command calls the `pwd` UNIX command. It displays the current path.

#### 5.1.2 Cd *string*.

This command calls the UNIX `cd` command. It changes the current directory according to *string* which can be any UNIX valid path.

**Variants :**

1. Cd.  
Is equivalent to Cd "\$COQTOP"

#### 5.1.3 AddPath *string*.

This command adds the path *string* to the current Coq loadpath.

#### 5.1.4 DelPath *string*.

This command removes the path *string* from the current Coq loadpath.

#### 5.1.5 Print LoadPath.

This command displays the current Coq loadpath.

#### 5.1.6 Add ML Path *string*.

This command adds the path *string* to the current Objective Caml loadpath (see the command `Declare ML Module` in the section 5.3).

### 5.1.7 Print ML Path *string*.

This command displays the current Objective Caml loadpath. This command makes sense only under `coqtop`, not under `coq` (see the command `Declare ML Module` in the section 5.3).

## 5.2 Loading files

When making a large development, one wants to divide it into several separate files. Then `Coq` offers the possibility of loading different parts of a whole development stored in separate files. Their contents will be loaded as if they were entered from the keyboard. This means that the loaded files are ASCII files containing sequences of commands for `Coq`'s toplevel. This kind of file is called a *script* for `Coq`. The standard (and default) extension of `Coq`'s script files is `.v`.

### 5.2.1 Load *ident*.

This command loads the file named *ident.v*, searching successively in each of the directories specified in the *loadpath*.

#### Variants :

1. Load *string*.  
Loads the file denoted by the string *string*, where *string* is any complete filename in the UNIX sense. Then the `~` and `..` abbreviations are allowed as well as shell variables. If no extension is specified, `Coq` will use the default extension `.v`
2. Load Verbose *ident.*, Load Verbose *string*  
Display, while loading, the answers of `Coq` to each command (including tactics) contained in the loaded file  
**See also :** section 5.8.3

#### Error message :

1. Can't find file *ident* on loadpath

**See also :** section 5.1

## 5.3 Compiled files

This feature allows to build files for a quick loading. When loaded, the commands contained in a compiled file will not be *replayed*. In particular, proofs will not be replayed. This avoids a useless waste of time.

**Remark :** A module containing an open section cannot be compiled.

### 5.3.1 Compile Module *ident*.

This command loads the file *ident.v* and plays the script it contains. Declarations, definitions and proofs it contains are "*packaged*" in a compiled form : the *module* named *ident*. A file *ident.vo* is

then created. The file *ident.v* is searched according to the current loadpath. The *ident.vo* is then written in the directory where *ident.v* was found.

**Variants :**

1. **Compile Module *ident* *string*.**

Uses the file *string.v* or *string* if the previous one does not exist to build the module *ident*. In this case, *string* is any string giving a filename in the UNIX sense (see chapter 1).

2. **Compile Module Specification *ident*.**

Builds a specification module : only the types of terms are stored in the module. The bodies (the proofs) are *not* written in the module. In that case, the file created is *ident.vi*. This is only useful when proof terms take too much place in memory and are not necessary.

3. **Compile Verbose Module *ident*.**

Verbose version of Compile : shows the contents of the file being compiled.

These different variants can be combined.

**Error message :**

1. **You cannot open a module when there are things other than Modules and Imports in the context.**

The only commands allowed before a Compile Module command are **Require**, **Read Module** and **Import**. The useful way to compile modules is in fact by the **coqc** command.

**See also :** sections 5.6.1, 5.1, chapter 15

### 5.3.2 Read Module *ident*.

Loads the module stored in the file *ident*, but does not open it : its contents is invisible to the user. The implementation file (*ident.vo*) is searched first, then the specification file (*ident.vi*) in case of failure.

### 5.3.3 Import *ident*.

Opens the module *ident*. The module *ident* must have been previously loaded (through the **Read Module** command or embedded **Require** commands. See the description of the **Require** command below).

### 5.3.4 Require *ident*.

This command loads and opens (imports) the module stored in the file *ident*. The implementation file (*ident.vo*) is searched first, then the specification file (*ident.vi*) in case of failure. If the module required has already been loaded, **Coq** simply opens it (as **Import *ident*** would do it). If the module required is already loaded and open, **Coq** displays the following warning : *ident* already imported.

If a module *A* contains a command **Require *B*** then the command **Require *A*** loads the module *B* but does not open it (See the **Require Export** variant below).

**Variants :**

1. **Require Export** *ident*.

This command acts as **Require** *ident*. When it appears in another module *ident*<sub>0</sub>, it specifies that the names defined by *ident* will be exported by *ident*<sub>0</sub> and consequently visible after the command **Require** *ident*<sub>0</sub>.

2. **Require [Implementation|Specification]** *ident*.

Is the same as **Require**, but specifying explicitly the implementation (*.vo* file) or the specification (*.vi* file).

3. **Require** *ident string*.

Specifies the file to load as being *string*, instead of *ident*. The opened module is still *ident* and therefore must have been loaded.

These different variants can be combined.

**Error message :**

1. Can't find module *toto* on loadpath

The command did not find the UNIX file *toto.vo*. Either *toto.v* exists but is not compiled or *toto.vo* is in a directory which is not in your *LoadPath*.

**See also :** chapter 15

### 5.3.5 Print Modules.

This command shows the currently loaded and currently opened (imported) modules.

### 5.3.6 Declare ML Module *string*<sub>1</sub> .. *string*<sub>n</sub>.

This commands loads the Objective Caml compiled files *string*<sub>1</sub> ... *string*<sub>n</sub> (dynamic link). It is mainly used to load tactics dynamically (see chapter 13). The files are searched into the current Objective Caml loadpath (see the command **Add ML Path** in the section 5.1). Loading of Objective Caml files is only possible under *coqtop* (not under *coq*).

## 5.4 States and Reset

### 5.4.1 Reset *ident*.

This command removes all the objects in the environment since *ident* was introduced, including *ident*. *ident* may be the name of a defined or declared object as well as the name of a section. One cannot reset over the name of a module or of an object inside a module.

**Error message :**

1. cannot reset to a nonexistent object

### 5.4.2 Save State *ident*.

Saves the current state of the development (mainly the defined objects) such that one can go back at this point if necessary.

#### Variants :

1. **Save State *ident string*.**

Associates to the state of name *ident* the string *string* as a comment.

### 5.4.3 Print States.

Prints the names of the currently saved states with the associated comment. A state **Initial** is automatically built by the system.

### 5.4.4 Restore State *ident*.

Restores the set of known objects in the state *ident*.

#### Variants :

1. **Reset Initial.**

Is equivalent to **Restore State Initial** and goes back to the initial state (like after the command **coqtop**).

### 5.4.5 Remove State *ident*.

Remove the state *ident* from the states list.

### 5.4.6 Write States *string*.

Writes the current list of states into a UNIX file *string.coq* for use in a further session. This file can be given as the **inputstate** argument of the commands **coqtop** and **coqc**. A command **Restore State *ident*** is necessary afterwards to choose explicitly which state to use (the default is to use **Initial**).

## 5.5 Displaying

### 5.5.1 Print *ident*.

This command displays on the screen informations about the declared or defined object *ident*.

#### Error message :

1. *ident* not declared

#### Variants :

1. **Print Proof *ident*.** In case *ident* corresponds to an opaque theorem defined in a section, it is stored on a special unprintable form and displayed as **<recipe>**. **Print Proof** forces the printable form of *ident* to be computed and displays it.

### 5.5.2 Print All.

This command displays informations about the current state of the environment, including sections and modules. **Variants :**

1. **Inspect** *num*.  
This command displays the *num* last objects of the current environment, including sections and modules.
2. **Print Section** *ident*.  
should correspond to a currently open section, this command displays the objects defined since the beginning of this section.
3. **Print**.  
This command displays the axioms and variables declarations in the environment as well as the constants defined since the last variable was introduced.

## 5.6 Requests to the environment

### 5.6.1 Opaque *ident*.

This command forbids the unfolding of the defined object *ident* by tactics using  $\delta$ -conversion. By default, **Theorem** and its alternatives are stamped as **Opaque**. This is to keep with the usual mathematical practice of *proof irrelevance*: what matters in a mathematical development is the sequence of lemma statements, not their actual proofs. This distinguishes lemmas from the usual defined constants, whose actual values are of course relevant in general.

**See also :** sections 4.5, 4.10, 3.1.3

### 5.6.2 Transparent *ident*.

This command is the converse of **Opaque**. By default, **Definition** and **Local** declare objects as **Transparent**.

**Error message :**

1. Can not set transparent.  
It is a constant from a required module or a parameter.

**See also :** sections 4.5, 4.10, 3.1.3

### 5.6.3 Check *ident*.

This command displays the type of *ident*.

**Variants :**

1. **Check** *term*.  
Displays the type of *term*.

#### 5.6.4 Eval *term*.

This command gives the  $\beta$ -normal form of *term*.

#### 5.6.5 Compute *term*.

This displays the  $\beta\delta\iota$ -normal form of *term*.

#### 5.6.6 Extraction *ident*.

This command displays the  $F\omega$ -term extracted from *ident*. The name *ident* must refer to a defined constant or a theorem. The  $F\omega$ -term is extracted from the term defining *ident* when *ident* is a defined constant, or from the proof-term when *ident* is a theorem. The extraction is processed according to the distinction between **Set** and **Prop**; that is to say, between logical and computational content (see section 6.1.1).

**Error message :**

- Non informative term

**See also :** chapter 14

#### 5.6.7 Search *ident*.

This command displays the name and type of all theorems of the current context whose statement's conclusion has the form (*ident* **t1** .. **tn**). This command is very useful to remind the user of the name of library lemmas.

### 5.7 User's syntax facilities

We present in this section some syntactic facilities. We will only sketch them here and refer the interested reader to chapter 11 for more details and examples.

#### 5.7.1 Implicit Arguments On. and Implicit Arguments Off.

These commands sets and unsets the implicit argument mode. This mode forces not explicitly give some arguments (typically type arguments) which are deductible from the other arguments.

**See also :** chapter 11

#### 5.7.2 Syntactic Definition *ident* := *term*.

This command defines *ident* as an abbreviation with implicit arguments. Implicit arguments are denoted in *term* by ? and they will have to be synthesized by the system.

**Remark :** Since it may contain don't care variables ?, the argument *term* of the **Syntactic Definition** cannot be typechecked at definition time. But each of its subsequent usages will be.

**See also :** chapter 11

### 5.7.3 Syntax *ident<sub>1</sub> ident<sub>2</sub> << grammar-pattern >>*.

This command addresses the extensible grammar mechanism of Coq. It allows *ident<sub>2</sub>* to be pretty-printed as specified in *grammar-pattern*. Many examples of the **Syntax** command usage may be found in the `PreludeSyntax` file (see directory `$COQTOP/theories/INIT`).

**See also :** chapters 11, 12

### 5.7.4 Grammar *ident<sub>1</sub> ident<sub>2</sub> := grammar-rule*.

This command allows to give explicitly new grammar rules for parsing the user's own notation. It may be used instead of the **Syntactic Definition** pragma. It can also be used by an advanced Coq's user who programs his own tactics.

**See also :** chapters 11, 12, 4

### 5.7.5 Token *string*.

This command allows the user to define a new token *string*, for instance to define new grammar rules through the commands **Grammar** or **Infix**. Lexical ambiguities are resolved according to the "longest match" rule. See the section 2.1 for more details.

### 5.7.6 Infix *num string ident*.

This command declares a prefix operator *ident* as infix, with the syntax *term string term*. *num* is the precedence associated to the operator; it must lie between 6 and 9. The infix operator *string* associates to the right. *string* must be a legal token. Both grammar and pretty-print rules are automatically generated for *string*.

## 5.8 Miscellaneous

### 5.8.1 Quit.

This command permits to quit Coq.

### 5.8.2 Drop.

This command permits to leave Coq temporarily and enter the Objective Caml toplevel. The Objective Caml command `Coqtoplevel.go();;` will allow subsequently to return to Coq's toplevel in the same state. This is used mostly as a debug facility by Coq's implementors and does not concern the casual user.

**Warning** It only works if Coq was invoked using the `coqtop` command (not with the simpler `coq` command)

### 5.8.3 Begin Silent.

This command turns off the normal displaying.



#### 5.8.4 End Silent.

This command turns the normal display on.



## Chapter 6

# The Calculus of Inductive Constructions

The underlying formal language of Coq is the *Calculus of Inductive Constructions* (CIC in short). It is a formulation of type theory including the possibility of inductive constructions.

One important feature of type theories is that they manipulate two sorts of objects, namely terms and types. Types describe classes to which terms can belong. Any object handled in the formalism must *explicitly* belong to a type. For instance, the statement “for all  $x$ ,  $P$ ” is not allowed in type theory; you must say instead : “for all  $x$  belonging to  $T$ ,  $P$ ”. The expression “ $x$  belonging to  $T$ ” is written “ $x:T$ ”. One also says : “ $x$  is of type  $T$ ”.

The purpose of this part is to precisely present the typing rules of the system and introduce various theoretical notions that must be understood in order to use the Coq commands.

An introduction to various related typed lambda-calculi can be found in [7]. A formal study of the Calculus of Inductive Constructions can be found in [91].

### 6.1 The terms

In most type theories, one usually makes a syntactic distinction between types and terms. This is not the case for CIC which defines both types and terms in the same syntactical structure. This is because the type-theory itself forces terms and types to be defined in a mutual recursive way and also because similar constructions can be applied to both terms and types and consequently can share the same syntactic structure.

For instance the type of functions will have several meanings. Assume  $\text{nat}$  is the type of natural numbers then  $\text{nat} \rightarrow \text{nat}$  is the type of functions from  $\text{nat}$  to  $\text{nat}$ ,  $\text{nat} \rightarrow \text{Prop}$  is the type of unary predicates over the natural numbers. For instance  $[x : \text{nat}](x = x)$  will represent a predicate  $P$ , informally written in mathematics  $P(x) \equiv x = x$ . If  $P$  has type  $\text{nat} \rightarrow \text{Prop}$ ,  $(P\ x)$  is a proposition, furthermore  $(x : \text{nat})(P\ x)$  will represent the type of functions which associate to each natural number  $n$  an object of type  $(P\ n)$  and consequently represent proofs of the formula “ $\forall x.P(x)$ ”.

#### 6.1.1 Sorts

Types are seen as terms of the language and then should belong to another type. The type of a type is always a constant of the language called a sort.

The two basic sorts in the language of CIC are **Set** and **Prop**.

The sort **Prop** intends to be the type of logical propositions. If  $M$  is a logical proposition then it denotes a class, namely the class of terms representing proofs of  $M$ . An object  $m$  belonging to  $M$  witnesses the fact that  $M$  is true. An object of type **Prop** is called a *proposition*.

The sort **Set** intends to be the type of usual sets such as booleans, naturals, lists etc. Objects of type **Set** are said to be *concrete objects*.

These sorts themselves can be manipulated as ordinary terms. Consequently sorts also should be given a type. Because assuming simply that **Set** has type **Set** leads to an inconsistent theory, we have infinitely many sorts in the language of CIC. These are, in addition to **Set** and **Prop** a hierarchy of universes  $\text{Type}(i)$  for any integer  $i$ . We call  $\mathcal{S}$  the set of sorts which is defined by :

$$\mathcal{S} \equiv \{\text{Prop}, \text{Set}, \text{Type}(i) | i \in \mathbb{N}\}$$

The sorts enjoy the following properties :  $\text{Prop}:\text{Type}(0)$  and  $\text{Type}(i):\text{Type}(i+1)$ .

The user will never mention explicitly the index  $i$  when referring to the universe  $\text{Type}(i)$ . One only writes **Type**. The system itself generates for each instance of **Type** a new index for the universe and checks that the constraints between these indexes can be solved. From the user point of view we consequently have  $\text{Type}:\text{Type}$ .

We shall make precise in the typing rules the constraints between the indexes.

**Remark.** The extraction mechanism is not compatible with this universe hierarchy. It is supposed to work only on terms which are explicitly typed in the Calculus of Constructions without universes and with Inductive Definitions at the **Set** level and only a small elimination. In other cases, extraction may generate a dummy answer and sometimes failed. To avoid failure when developing proofs, an error while extracting the computational contents of a proof will not stop the proof but only give a warning.

### 6.1.2 Constants

Besides the sorts, the language also contains constants denoting objects in the environment. These constants may denote previously defined objects but also objects related to inductive definitions (either the type itself or one of its constructors or destructors).

**Remark.** In other presentations of CIC, the inductive objects are not seen as external declarations but as first-class terms. Usually the definitions are also completely ignored. This is a nice theoretical point of view but not so practical. An inductive definition is specified by a possibly huge set of declarations, clearly we want to share this specification among the various inductive objects and not to duplicate it. So the specification should exist somewhere and the various objects should refer to it. We choose one more level of indirection where the objects are just represented as constants and the environment gives the information on the kind of object the constant refers to.

Our inductive objects will be manipulated as constants declared in the environment. This roughly corresponds to the way they are actually implemented in the Coq system. It is simple to map this presentation in a theory where inductive objects are represented by terms.

### 6.1.3 Language

**Types.** Roughly speaking types can be separated into atomic and composed types.

An atomic type of the *Calculus of Inductive Constructions* is either a sort or is built from a type variable or an inductive definition applied to some terms.

A composed type will be a product  $(x : T)U$  with  $T$  and  $U$  two types.

**Terms.** A term is either a type or a term variable or a term constant of the environment.

As usual in  $\lambda$ -calculus, we combine objects using abstraction and application.

More precisely the language of the *Calculus of Inductive Constructions* is built with the following rules :

1. the sorts **Set**, **Prop**, **Type** are terms.
2. constants of the environment are terms.
3. variables are terms.
4. if  $x$  is a variable and  $T, U$  are terms then  $(x : T)U$  is a term. If  $x$  occurs in  $U$ ,  $(x : T)U$  reads as “for all  $x$  of type  $T$ ,  $U$ ”. As  $U$  depends on  $x$ , one says that  $(x : T)U$  is a *dependent product*. If  $x$  doesn't occurs in  $U$  then  $(x : T)U$  reads as “if  $T$  then  $U$ ”. A non dependent product can be written :  $T \rightarrow U$ .
5. if  $x$  is a variable and  $T, U$  are terms then  $[x : T]U$  is a term. This is a notation for the  $\lambda$ -abstraction of  $\lambda$ -calculus [5]. The term  $[x : T]U$  is a function which maps elements of  $T$  to  $U$ .
6. if  $T$  and  $U$  are terms then  $(T U)$  is a term. The term  $(T U)$  reads as “ $T$  applied to  $U$ ”.

**Notations.** Application associates to the left such that  $(t \ t_1 \dots t_n)$  represents  $(\dots (t \ t_1) \dots t_n)$ . The products and arrows associate to the right such that  $(x : A)B \rightarrow C \rightarrow D$  represents  $(x : A)(B \rightarrow (C \rightarrow D))$ . One uses sometimes  $(x, y : A)B$  or  $[x, y : A]B$  to denote the abstraction or product of several variables of the same type. The equivalent formulation is  $(x : A)(y : A)B$  or  $[x : A][y : A]B$

**Free variables.** The notion of free variables is defined as usual. In the expressions  $[x : T]U$  and  $(x : T)U$  the occurrences of  $x$  in  $U$  are bound. They are represented by de Bruijn indexes in the internal structure of terms.

**Substitution.** The notion of substituting a term  $T$  to free occurrences of a variable  $x$  in a term  $U$  is defined as usual. The resulting term will be written  $U\{x/T\}$ .

## 6.2 Typed terms

As objects of type theory, terms are subjected to *type discipline*. The well typing of a term depends on a set of declarations of variables we call a *context*. A context  $\Gamma$  is written  $[x_1 : T_1; \dots; x_n : T_n]$  where the  $x_i$ 's are distinct variables and the  $T_i$ 's are terms. If  $\Gamma$  contains some  $x : T$ , we write

$(x : T) \in \Gamma$  and also  $x \in \Gamma$ . Contexts must be themselves *well formed*. The notation  $\Gamma :: (y : T)$  denotes the context  $[x_1 : T_1; \dots; x_n : T_n; y : T]$ . The notation  $[]$  denotes the empty context.

We define the inclusion of two contexts  $\Gamma$  and  $\Delta$  (written as  $\Gamma \subset \Delta$ ) as the property, for all variable  $x$  and type  $T$ , if  $(x : T) \in \Gamma$  then  $(x : T) \in \Delta$ . We write  $|\Delta|$  for the length of the context  $\Delta$  which is  $n$  if  $\Delta$  is  $[x_1 : T_1; \dots; x_n : T_n]$ .

A variable  $x$  is said to be free in  $\Gamma$  if  $\Gamma$  contains a declaration  $y : T$  such that  $x$  is free in  $T$ .

**Environment.** Because we are manipulating constants, we also need to consider an environment  $E$ . We shall give afterwards the rules for introducing new objects in the environment. For the typing relation of terms, it is enough to introduce two notions. One which says if a name is defined in the environment we shall write  $c \in E$  and the other one which gives the type of this constant in  $E$ . We shall write  $(c : T) \in E$ .

In the following, we assume  $E$  is a valid environment. We define simultaneously two judgments. The first one  $E[\Gamma] \vdash t : T$  means the term  $t$  is well-typed and has type  $T$  in the environment  $E$  and context  $\Gamma$ . The second judgment  $\mathcal{WF}(E)[\Gamma]$  means that the environment  $E$  is well-formed and the context  $\Gamma$  is a valid context in this environment. It also means a third property which makes sure that any constant in  $E$  was defined in an environment which is included in  $\Gamma^*$ .

A term  $t$  is well typed in an environment  $E$  iff there exists a context  $\Gamma$  and a term  $T$  such that the judgment  $E[\Gamma] \vdash t : T$  can be derived from the following rules.

W-E	$\mathcal{WF}([]) [[]]$
W-s	$\frac{E[\Gamma] \vdash T : s \quad s \in \mathcal{S} \quad x \notin \Gamma \cup E}{\mathcal{WF}(E)[\Gamma :: (x : T)]}$
Ax	$\frac{\mathcal{WF}(E)[\Gamma]}{E[\Gamma] \vdash \text{Prop} : \text{Type}(p)} \quad \frac{\mathcal{WF}(E)[\Gamma]}{E[\Gamma] \vdash \text{Set} : \text{Type}(q)}$
Var	$\frac{\mathcal{WF}(E)[\Gamma] \quad i < j}{E[\Gamma] \vdash \text{Type}(i) : \text{Type}(j)}$
Const	$\frac{\mathcal{WF}(E)[\Gamma] \quad (c : T) \in E}{E[\Gamma] \vdash c : T}$
Prod	$\frac{E[\Gamma] \vdash T : s_1 \quad E[\Gamma :: (x : T)] \vdash U : s_2 \quad s_1 \in \{\text{Prop}, \text{Set}\} \text{ or } s_2 \in \{\text{Prop}, \text{Set}\}}{E[\Gamma] \vdash (x : T)U : s_2}$
	$\frac{E[\Gamma] \vdash T : \text{Type}(i) \quad E[\Gamma :: (x : T)] \vdash U : \text{Type}(j) \quad i \leq k \quad j \leq k}{E[\Gamma] \vdash (x : T)U : \text{Type}(k)}$
Lam	$\frac{E[\Gamma] \vdash (x : T)U : s \quad E[\Gamma :: (x : T)] \vdash t : U}{E[\Gamma] \vdash [x : T]t : (x : T)U}$

---

\*This requirement could be relaxed if we instead introduced an explicit mechanism for instantiating constants. At the external level, the Coq engine works accordingly to this view that all the definitions in the environment were built in a sub-context of the current context.

App

$$\frac{E[\Gamma] \vdash t : (x : U)T \quad E[\Gamma] \vdash u : U}{E[\Gamma] \vdash (t \ u) : T\{x/u\}}$$

### 6.3 Conversion rules

**$\beta$ -reduction.** We want to be able to identify some terms as we can identify the application of a function to a given argument with its result. For instance the identity function over a given type  $T$  can be written  $[x : T]x$ . We want to identify any object  $a$  (of type  $T$ ) with the application  $([x : T]x \ a)$ . We define for this a *reduction* (or a *conversion*) rule we call  $\beta$  :

$$([x : T]t \ u) \triangleright_{\beta} t\{x/u\}$$

We say that  $t\{x/u\}$  is the  $\beta$ -*contraction* of  $([x : T]t \ u)$  and, conversely, that  $([x : T]t \ u)$  is the  $\beta$ -*expansion* of  $t\{x/u\}$ .

According to  $\beta$ -reduction, terms of the *Calculus of Inductive Constructions* enjoy some fundamental properties such as confluence, strong normalization, subject reduction. These results are theoretically of great importance but we will not detail them here and refer the interested reader to [19].

**$\iota$ -reduction.** A specific conversion rule is associated to the inductive objects in the environment. We shall give later on (section 6.5.4) the precise rules but it just says that a destructor applied to an object built from a constructor behaves as expected. This reduction is called  $\iota$ -reduction and is more precisely studied in [80, 91].

**$\delta$ -reduction.** In the environment we also have constants representing abbreviations for terms. It is legal to identify a constant with its value. This reduction will be precised in section 6.4.1 where we define well-formed environments. This reduction will be called  $\delta$ -reduction.

**Convertibility.** Let us write  $t \triangleright u$  for the relation  $t$  reduces to  $u$  with one of the previous reduction  $\beta$ ,  $\iota$  or  $\delta$ .

We say that two terms  $t_1$  and  $t_2$  are *convertible* (or *equivalent*) iff there exists a term  $u$  such that  $t_1 \triangleright \dots \triangleright u$  and  $t_2 \triangleright \dots \triangleright u$ . We note  $t_1 =_{\beta\delta\iota} t_2$ .

The convertibility relation allows to introduce a new typing rule which says that two convertible well-formed types have the same inhabitants.

At the moment, we did not take into account one rule between universes which says that any term in a universe of index  $i$  is also a term in the universe of index  $i + 1$ . This property is included into the conversion rule by extending the equivalence relation of convertibility into an order inductively defined by :

1. if  $M =_{\beta\delta\iota} N$  then  $M \leq_{\beta\delta\iota} N$ ,
2. if  $i \leq j$  then  $\text{Type}(i) \leq_{\beta\delta\iota} \text{Type}(j)$ ,
3. if  $T =_{\beta\delta\iota} U$  and  $M \leq_{\beta\delta\iota} N$  then  $(x : T)M \leq_{\beta\delta\iota} (x : U)N$ .

The conversion rule is now exactly :

$$\text{Conv} \quad \frac{E[\Gamma] \vdash U : S \quad E[\Gamma] \vdash t : T \quad T \leq_{\beta\delta\iota} U}{E[\Gamma] \vdash t : U}$$

**$\eta$ -conversion.** An other important rule is the  $\eta$ -conversion. It is to identify terms over a dummy abstraction of a variable followed by an application of this variable. Let  $T$  be a type,  $t$  be a term in which the variable  $x$  doesn't occurs free. We have

$$[x : T](t \ x) \triangleright t$$

Indeed, as  $x$  doesn't occurs free in  $t$ , for any  $u$  one applies to  $[x : T](t \ x)$ , it  $\beta$ -reduces to  $(t \ u)$ . So  $[x : T](t \ x)$  and  $t$  can be identified.

**Remark :** The  $\eta$ -reduction is not taken into account in the convertibility rule of Coq.

**Normal form.** A term which cannot be any more reduced is said to be in *normal form*. There are several ways (or strategies) to apply the reduction rule. Among them, we have to mention the *head reduction* which will play an important role (see chapter 4). Any term can be written as  $[x_1 : T_1] \dots [x_k : T_k](t_0 \ t_1 \dots t_n)$  where  $t_0$  is not an application. We say then that  $t_0$  is the *head of  $t$* . If we assume that  $t_0$  is  $[x : T]u_0$  then one step of  $\beta$ -head reduction of  $t$  is :

$$[x_1 : T_1] \dots [x_k : T_k]([x : T]u_0 \ t_1 \dots t_n) \triangleright [x_1 : T_1] \dots [x_k : T_k](u_0\{x/t_1\} \ t_2 \dots t_n)$$

Iterating the process of head reduction until the head of the reduced term is no more an abstraction leads to the  *$\beta$ -head normal form* of  $t$  :

$$t \triangleright \dots \triangleright [x_1 : T_1] \dots [x_k : T_k](v \ u_1 \dots u_m)$$

where  $v$  is not an abstraction (nor an application). Note that the head normal form must not be confused with the normal form since some  $u_i$  can be reducible.

Similar notions of head-normal forms involving  $\delta$  and  $\iota$  reductions or any combination of those can also be defined.

## 6.4 Definitions in environments

We now give the rules for manipulating objects in the environment. Because a constant can depend on previously introduced constants, the environment will be an ordered list of declarations. When specifying an inductive definition, several objects will be introduced at the same time. So any object in the environment will define one or more constants.

In this presentation we introduce two different sorts of objects in the environment. The first one is ordinary definitions which give a name to a particular well-formed term, the second one is inductive definitions which introduce new inductive objects.

### 6.4.1 Rules for definitions

**Adding a new definition.** The simplest objects in the environment are definitions which can be seen as one possible mechanism for abbreviation.

A definition will be represented in the environment as  $\text{Def}(\Gamma)(c := t : T)$  which means that  $c$  is a constant which is valid in the context  $\Gamma$  whose value is  $t$  and type is  $T$ .



**$\delta$ -reduction.** If  $\text{Def}(\Gamma)(c := t : T)$  is in the environment  $E$  then in this environment the  $\delta$ -reduction  $c \triangleright_\delta t$  is introduced.

The rule for adding a new definition is simple :

$$\text{Def} \quad \frac{E[\Gamma] \vdash t : T \quad c \notin E \cup \Gamma}{\mathcal{WF}(E; \text{Def}(\Gamma)(c := t : T))[\Gamma]}$$

### 6.4.2 Derived rules

From the original rules of the type system, one can derive new rules which change the context of definition of objects in the environment. Because these rules correspond to elementary operations in the Coq engine used in the discharge mechanism at the end of a section, we state them explicitly.

**Mechanism of substitution.** One rule which can be proved valid, is to replace a term  $c$  by its value in the environment. As we defined the substitution of a term for a variable in a term, one can define the substitution of a term for a constant. One easily extends this substitution to contexts and environments.

$$\text{Substitution Property :} \quad \frac{\mathcal{WF}(E; \text{Def}(\Gamma)(c := t : T); F)[\Delta]}{\mathcal{WF}(E; F\{c/t\})[\Delta\{c/t\}]} .$$

**Abstraction.** One can modify the context of definition of a constant  $c$  by abstracting a constant with respect to the last variable  $x$  of its defining context. For doing that, we need to check that the constants appearing in the body of the declaration do not depend on  $x$ , we need also to modify the reference to the constant  $c$  in the environment and context by explicitly applying this constant to the variable  $x$ . Because of the rules for building environments and terms we know the variable  $x$  is available at each stage where  $c$  is mentioned.

$$\text{Abstracting property :} \quad \frac{\mathcal{WF}(E; \text{Def}(\Gamma :: (x : U))(c := t : T); F)[\Delta] \quad \mathcal{WF}(E)[\Gamma]}{\mathcal{WF}(E; \text{Def}(\Gamma)(c := [x : U]t : (x : U)T); F\{c/(c x)\})[\Delta\{c/(c x)\}]}$$

**Pruning the context.** We said the judgment  $\mathcal{WF}(E)[\Gamma]$  means that the defining contexts of constants in  $E$  are included in  $\Gamma$ . If one abstracts or substitutes the constants with the above rules then it may happen that the context  $\Gamma$  is now bigger than the one needed for defining the constants in  $E$ . Because defining contexts are growing in  $E$ , the minimum context needed for defining the constants in  $E$  is the same as the one for the last constant. One can consequently derive the following property.

$$\text{Pruning property :} \quad \frac{\mathcal{WF}(E; \text{Def}(\Delta)(c := t : T))[\Gamma]}{\mathcal{WF}(E; \text{Def}(\Delta)(c := t : T))[\Delta]} .$$

## 6.5 Inductive Definitions

A (possibly mutual) inductive definition is specified by giving the names and the type of the inductive sets or families to be defined and the names and types of the constructors of the inductive

predicates. An inductive declaration in the environment can consequently be represented with two contexts (one for inductive definitions, one for constructors).

Stating the rules for inductive definitions in their general form needs quite tedious definitions. We shall try to give a concrete understanding of the rules by precisising them on running examples. We take as examples the type of natural numbers, the type of parameterized lists over a type  $A$ , the relation which state that a list has some given length and the mutual inductive definition of trees and forests.

### 6.5.1 Representing an inductive definition

#### Inductive definitions without parameters

As for constants, inductive definitions can be defined in a non-empty context.

We write  $\text{Ind}(\Gamma)(\Gamma_I := \Gamma_C)$  an inductive definition valid in a context  $\Gamma$ , a context of definitions  $\Gamma_I$  and a context of constructors  $\Gamma_C$ .

**Examples.** The inductive declaration for the type of natural numbers will be :

$$\text{Ind}()(\text{nat} : \text{Set} := \text{O} : \text{nat}, \text{S} : \text{nat} \rightarrow \text{nat})$$

In a context with a variable  $A : \text{Set}$ , the lists of elements in  $A$  is represented by:

$$\text{Ind}(A : \text{Set})(\text{list} : \text{Set} := \text{nil} : \text{list}, \text{cons} : A \rightarrow \text{list} \rightarrow \text{list})$$

Assuming  $\Gamma_I$  is  $[I_1 : A_1; \dots; I_k : A_k]$ , and  $\Gamma_C$  is  $[c_1 : C_1; \dots; c_n : C_n]$ , the general typing rules are :

$$\frac{\text{Ind}(\Gamma)(\Gamma_I := \Gamma_C) \in E \quad j = 1 \dots k}{(I_j : A_j) \in E}$$

$$\frac{\text{Ind}(\Gamma)(\Gamma_I := \Gamma_C) \in E \quad i = 1..n}{(c_i : C_i\{I_j/I_j\}_{j=1\dots k}) \in E}$$

#### Inductive definitions with parameters

We have to slightly complicate the representation above in order to handle the delicate problem of parameters. Let us explain that on the example of list. As they were defined above, the type list can only be used in an environment where we have a variable  $A : \text{Set}$ . Generally one want to consider lists of elements in different types. For constants this is easily done by abstracting the value over the parameter. In the case of inductive definitions we have to handle the abstraction over several objects.

One possible way to do that would be to define the type list inductively as being an inductive family of type  $\text{Set} \rightarrow \text{Set}$  :

$$\text{Ind}()(\text{list} : \text{Set} \rightarrow \text{Set} := \text{nil} : (A : \text{Set})(\text{list } A), \text{cons} : (A : \text{Set})A \rightarrow (\text{list } A) \rightarrow (\text{list } A))$$

There are drawbacks to this point of view. The information which says that  $(\text{list nat})$  is an inductively defined Set has been lost.

In the system, we keep track in the syntax of the context of parameters. The idea of these parameters is that they can be instantiated and still we have an inductive definition for which we know the specification.

Formally the representation of an inductive declaration will be  $\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C)$  for an inductive definition valid in a context  $\Gamma$  with parameters  $\Gamma_P$ , a context of definitions  $\Gamma_I$  and a context of constructors  $\Gamma_C$ . The occurrences of the variables of  $\Gamma_P$  in the contexts  $\Gamma_I$  and  $\Gamma_C$  are bound.

The definition  $\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C)$  will be well-formed exactly when  $\text{Ind}(\Gamma, \Gamma_P)(\Gamma_I := \Gamma_C)$  is. If  $\Gamma_P$  is  $[p_1 : P_1; \dots; p_r : P_r]$ , an object in  $\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C)$  applied to  $q_1, \dots, q_r$  will behave as the corresponding object of  $\text{Ind}(\Gamma)(\Gamma_I\{(p_i/q_i)_{i=1..r}\} := \Gamma_C\{(p_i/q_i)_{i=1..r}\})$ .

**Examples.** The declaration for parameterized lists is :

$$\text{Ind}()[A : \text{Set}](\text{list} : \text{Set} := \text{nil} : \text{list}, \text{cons} : A \rightarrow \text{list} \rightarrow \text{list})$$

The declaration for the length of lists is :

$$\begin{aligned} \text{Ind}()[A : \text{Set}](\text{Length} : (\text{list } A) \rightarrow \text{nat} \rightarrow \text{Prop} := & \text{Lnil} : (\text{Length } (\text{nil } A) \text{ } 0) \\ & | \text{Lcons} : (a : A)(l : (\text{list } A))(n : \text{nat})(\text{Length } l \text{ } n) \rightarrow (\text{Length } (\text{cons } A \text{ } a \text{ } l) \text{ } (S \text{ } n))) \end{aligned}$$

The declaration for a mutual inductive definition of forests and trees is :

$$\text{Ind}([\ ])(\text{tree} : \text{Set}, \text{forest} : \text{Set} := \text{node} : \text{forest} \rightarrow \text{tree}, \text{emptyf} : \text{forest}, \text{consf} : \text{tree} \rightarrow \text{forest} \rightarrow \text{forest})$$

These representations are the ones obtained as the result of the Coq declaration :

```
Coq < Inductive Set nat := 0 : nat | S : nat -> nat.

Coq < Inductive list [A : Set] : Set :=
Coq <      nil : (list A) | cons : A -> (list A) -> (list A).

Coq < Inductive Length [A:Set] : (list A) -> nat -> Prop :=
Coq <      Lnil : (Length A (nil A) 0)
Coq <      | Lcons : (a:A)(l:(list A))(n:nat)
Coq <          (Length A l n)->(Length A (cons A a l) (S n)).

Coq < Mutual Inductive tree : Set := node : forest -> tree
Coq < with forest : Set := emptyf : forest | consf : tree -> forest -> forest.
```

The inductive declaration in Coq is slightly different from the one we described theoretically. The difference is that in the type of constructors the inductive definition is explicitly applied to the parameters variables. The Coq type-checker verifies that all parameters are applied in the correct manner in each recursive call. In particular, the following definition will not be accepted because there is an occurrence of list which is not applied to the parameter variable:

```
Coq < Inductive list [A : Set] : Set :=
Coq <      nil : (list A) | cons : A -> (list A->A) -> (list A).
```

### 6.5.2 Types of inductive objects

We have to give the type of constants in an environment  $E$  which contains an inductive declaration.

**Ind-Const** Assuming  $\Gamma_P$  is  $[p_1 : P_1; \dots; p_r : P_r]$ ,  $\Gamma_I$  is  $[I_1 : A_1; \dots; I_k : A_k]$ , and  $\Gamma_C$  is  $[c_1 : C_1; \dots; c_n : C_n]$ ,

$$\frac{\frac{\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C) \in E \quad j = 1 \dots k}{(I_j : (p_1 : P_1) \dots (p_r : P_r) A_j) \in E} \quad \text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C) \in E \quad i = 1 \dots n}{(c_i : (p_1 : P_1) \dots (p_r : P_r) C_i \{I_j / (I_j p_1 \dots p_r)\}_{j=1 \dots k}) \in E}$$

**Example.** We have  $(\text{list} : \text{Set} \rightarrow \text{Set})$ ,  $(\text{cons} : (A : \text{Set}) A \rightarrow (\text{list } A) \rightarrow (\text{list } A))$ ,  $(\text{Length} : (A : \text{Set})(\text{list } A) \rightarrow \text{nat} \rightarrow \text{Prop})$ ,  $\text{tree} : \text{Set}$  and  $\text{forest} : \text{Set}$ .

From now on, we write  $\text{list}_A$  instead of  $(\text{list } A)$  and  $\text{Length}_A$  for  $(\text{Length } A)$ .

### 6.5.3 Well-formed inductive definitions

We cannot accept any inductive declaration because some of them lead to inconsistent systems. We restrict ourselves to definitions which satisfy a syntactic criterion of positivity. Before giving the formal rules, we need a few definitions :

**Definitions** A type  $T$  is an *arity of sort  $s$*  if it is the sort  $s$  or a product  $(x : T)U$  with  $U$  an arity of sort  $s$ . (For instance  $A \rightarrow \text{Set}$  or  $(A : \text{Prop})A \rightarrow \text{Prop}$  are arities of sort respectively  $\text{Set}$  and  $\text{Prop}$ ).

A *type of constructor of  $I$*  is either a term  $(I t_1 \dots t_n)$  or  $(x : T)C$  with  $C$  a *type of constructor of  $I$* . It will be said to *satisfy the positivity condition* with respect to a constant  $X$  if  $X$  does not occur in  $t_i$  and occurs only strictly positively in each domain of product  $T$ .

The constant  $X$  *occurs strictly positively* in  $(X t_1 \dots t_n)$  if it does not occur in  $t_i$  and occurs strictly positively in  $(x : T)U$  if it does not occur in  $T$  and occurs strictly positively in  $U$ .

**Example** For instance  $X$  occurs strictly positively in  $A \rightarrow X$  but not in  $X \rightarrow A$  or  $(X \rightarrow A) \rightarrow A$  or  $X * A$  or  $(\text{list } X)$  assuming the notion of product and lists were already defined. In the last two cases it is easy to define an equivalent (possibly mutual inductive) definition which enjoys the positivity condition.

**Correctness rules.** We shall now describe the rules allowing the introduction of a new inductive definition.

**W-Ind** Let  $E$  be an environment and  $\Gamma, \Gamma_P, \Gamma_I, \Gamma_C$  are contexts such that  $\Gamma_I$  is  $[I_1 : A_1; \dots; I_k : A_k]$  and  $\Gamma_C$  is  $[c_1 : C_1; \dots; c_n : C_n]$ .

$$\frac{(E[\Gamma; \Gamma_P] \vdash A_j : s'_j)_{j=1 \dots k} \quad (E[\Gamma; \Gamma_P; \Gamma_I] \vdash C_i : s_{p_i})_{i=1 \dots n}}{\mathcal{WF}(E; \text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C))[\Gamma]}$$

providing the following side conditions hold :

- $k > 0$ ,  $I_j$ ,  $c_i$  are different names for  $j = 1 \dots k$  and  $i = 1 \dots n$ ,
- for  $j = 1 \dots k$  we have  $A_j$  is an arity of sort  $s_j$  and  $I_j \notin \Gamma \cup E$ ,
- for  $i = 1 \dots n$  we have  $C_i$  is a type of constructor of  $I_{p_i}$  which satisfies the positivity condition for  $I_1 \dots I_k$  and  $c_i \notin \Gamma \cup E$ .

One can remark that there is a constraint between the sort of the arity of the inductive type and the sort of the type of its constructors which will always be satisfied for impredicative sorts (**Prop** or **Set**) but may generate constraints between universes.

#### 6.5.4 Destructors

The specification of inductive definitions with arities and constructors is quite natural. But we still have to say how to use an object in an inductive type.

This problem is rather delicate. There are actually several different ways to do that. Some of them are logically equivalent but not always equivalent from the computational point of view or from the user point of view.

From the computational point of view, we want to be able to define a function whose domain is an inductively defined type by using a combination of case analysis over the possible constructors of the object and recursion.

Because we need to keep a consistent theory and also we prefer to keep a strongly normalising reduction, we cannot accept any sort of recursion (even terminating). So the basic idea is to restrict ourselves to primitive recursive functions and functionals.

For instance, assuming a parameter  $A : \text{Set}$  exists in the context, we want to build a function  $\text{lgth}$  of type  $\text{list}_A \rightarrow \text{nat}$  which computes the length of the list, so such that  $(\text{lgth } \text{nil}) = 0$  and  $(\text{lgth } (\text{cons } A \ a \ l)) = (S (\text{lgth } l))$ . We want these equalities to be recognized implicitly and taken into account in the conversion rule.

From the logical point of view, we have built a type family by giving a set of constructors. We want to capture the fact that we do not have any other way to build an object in this type. So when trying to prove a property  $(P \ m)$  for  $m$  in an inductive definition it is enough to enumerate all the cases where  $m$  starts with a different constructor.

In case the inductive definition is effectively a recursive one, we want to capture the extra property that we have built the smallest fixed point of this recursive equation. This says that we are only manipulating finite objects. This analysis provides induction principles.

For instance, in order to prove  $(l : \text{list}_A)(\text{Length}_A \ l \ (\text{lgth } l))$  it is enough to prove :

$(\text{Length}_A \ \text{nil} \ (\text{lgth } \text{nil}))$  and

$(a : A)(l : \text{list}_A)(\text{Length}_A \ l \ (\text{lgth } l)) \rightarrow (\text{Length}_A \ (\text{cons } A \ a \ l) \ (\text{lgth } (\text{cons } A \ a \ l)))$ .

which given the conversion equalities satisfied by  $\text{lgth}$  is the same as proving :  $(\text{Length}_A \ \text{nil} \ 0)$  and

$(a : A)(l : \text{list}_A)(\text{Length}_A \ l \ (\text{lgth } l)) \rightarrow (\text{Length}_A \ (\text{cons } A \ a \ l) \ (S (\text{lgth } l)))$ .

One conceptually simple way to do that, following the basic scheme proposed by Martin-Löf in his Intuitionistic Type Theory, is to introduce for each inductive definition an elimination operator. At the logical level it is a proof of the usual induction principle and at the computational level it implements a generic operator for doing primitive recursion over the structure.

But this operator is rather tedious to implement and use. We choose in this version of Coq to factorize the operator for primitive recursion into two more primitive operations as was first

suggested by Th. Coquand in [22]. One is the definition by case analysis. The second one is a definition by guarded fixpoints.

### The Case...of ...end construction.

The basic idea of this destructor operation is that we have an object  $m$  in an inductive type  $I$  and we want to prove a property  $(P\ m)$  which in general depends on  $m$ . For this, it is enough to prove the property for  $m = (c_i\ u_1 \dots u_p)$  for each constructor of  $I$ .

This proof will be denoted by a generic term :

$$<P>\text{Case } m \text{ of } f_1 \dots f_n \text{ end}$$

In this expression, if  $m$  is a term built from a constructor  $(c_i\ u_1 \dots u_p)$  then the expression will behave as it is specified with  $i$ -th branch and will reduce to  $(f_i\ u_1 \dots u_p)$  according to the  $\iota$ -reduction.

This is the basic idea which is generalized to the case where  $I$  is an inductively defined  $n$ -ary relation (in which case the property  $P$  to be proved will be a  $n + 1$ -ary relation).

**Non-dependent elimination.** When defining a function by case analysis, we build an object of type  $I \rightarrow C$  and the minimality principle on an inductively defined logical predicate of type  $A \rightarrow \text{Prop}$  is often used to prove a property  $(x : A)(I\ x) \rightarrow (C\ x)$ . This is a particular case of the dependent principle that we stated before with a predicate which does not depend explicitly on the object in the inductive definition.

For instance, a function testing whether a list is empty can be defined as :

$$[l : \text{list}_A] <[H : \text{list}_A] \text{bool}> \text{Case } l \text{ of true } [a : A][m : \text{list}_A] \text{false end}$$

**Remark.** In the system Coq the expression above, can be written without mentioning the dummy abstraction:  $<\text{bool}> \text{Case } l \text{ of true } [a : A][m : \text{list}_A] \text{false end}$

**Allowed elimination sorts.** An important question for building the typing rule for **Case** is what can be the type of  $P$  with respect to the type of the inductive definitions.

Remembering that the elimination builds an object in  $(P\ m)$  from an object in  $m$  in type  $I$  it is clear that we cannot allow any combination.

For instance we cannot in general have  $I$  has type  $\text{Prop}$  and  $P$  has type  $I \rightarrow \text{Set}$ , because it will mean to build an informative proof of type  $(P\ m)$  doing a case analysis over a non-computational object that will disappear in the extracted program. But the other way is safe with respect to our interpretation we can have  $I$  a computational object and  $P$  a non-computational one, it just corresponds to proving a logical property of a computational object.

Also if  $I$  is in one of the sorts  $\{\text{Prop}, \text{Set}\}$ , one cannot in general allow an elimination over a bigger sort such as  $\text{Type}$ . But this operation is safe whenever  $I$  is a *small inductive* type, which means that all the types of constructors of  $I$  are small with the following definition :

$(I\ t_1 \dots t_s)$  is a *small type of constructor* and  $(x : T)C$  is a small type of constructor if  $C$  is and if  $T$  has type  $\text{Prop}$  or  $\text{Set}$ .

We call this particular elimination which gives the possibility to compute a type by induction on the structure of a term, a *strong elimination*.

We define now a relation  $[I : A|B]$  between an inductive definition  $I$  of type  $A$ , an arity  $B$  which says that an object in the inductive definition  $I$  can be eliminated for proving a property  $P$  of type  $B$ .

The  $[I : A|B]$  is defined as the smallest relation satisfying the following rules :

<b>Prod</b>	$\frac{[(I\ x) : A' B']}{[I : (x : A)A' (x : A)B']}$
<b>Prop</b>	$[I : \text{Prop} I \rightarrow \text{Prop}] \quad \frac{I \text{ is a singleton definition}}{[I : \text{Prop} I \rightarrow \text{Set}]}$
<b>Set</b>	$\frac{s \in \{\text{Prop}, \text{Set}\}}{[I : \text{Set} I \rightarrow s]} \quad \frac{I \text{ is a small inductive definition} \quad s \in \{\text{Type}(i)\}}{[I : \text{Set} I \rightarrow s]}$
<b>Type</b>	$\frac{s \in \{\text{Prop}, \text{Set}, \text{Type}(j)\}}{[I : \text{Type}(i) I \rightarrow s]}$

**Notations.** We write  $[I|B]$  for  $[I : A|B]$  where  $A$  is the type of  $I$ .

**Singleton elimination** A *singleton definition* has always an informative content, even if it is a proposition.

A *singleton definition* has only one constructor and all the argument of this constructor are non informative. In that case, there is a canonical way to interpret the informative extraction on an object in that type, such that the elimination on sort  $s$  is legal. Typical examples are the conjunction of non-informative propositions and the equality. In that case, the term **eq\_rec** which was defined as an axiom, is now a term of the calculus.

`Coq < Print eq_rec.`

`Coq < Extraction eq_rec.`

**Type of branches.** Let  $c$  be a term of type  $C$ , we assume  $C$  is a type of constructor for an inductive definition  $I$ . Let  $P$  be a term that represents the property to be proved. We assume  $r$  is the number of parameters.

We define a new type  $\{c : C\}^P$  which represents the type of the branch corresponding to the  $c : C$  constructor.

$$\begin{aligned} \{c : (I_i\ p_1 \dots p_r\ t_1 \dots t_p)\}^P &\equiv (P\ t_1 \dots t_p\ c) \\ \{c : (x : T)C\}^P &\equiv (x : T)\{(c\ x) : C\}^P \end{aligned}$$

We write  $\{c\}^P$  for  $\{c : C\}^P$  with  $C$  the type of  $c$ .

**Examples.** For  $\text{list}_A$  the type of  $P$  will be  $\text{list}_A \rightarrow s$  for  $s \in \{\text{Prop}, \text{Set}, \text{Type}(i)\}$ .

$\{(\text{cons } A)\}^P \equiv (a : A)(l : \text{list}_A)(P\ (\text{cons } A\ a\ l))$ .

For  $\text{Length}_A$ , the type of  $P$  will be  $(l : \text{list}_A)(n : \text{nat})(\text{Length}_A\ l\ n) \rightarrow \text{Prop}$  and the expression  $\{(\text{Lcons } A)\}^P$  is defined as :

$(a : A)(l : \text{list}_A)(n : \text{nat})(h : (\text{Length}_A\ l\ n))(P\ (\text{cons } A\ a\ l)\ (S\ n)\ (\text{Lcons } A\ a\ l\ n\ l))$ .

If  $P$  does not depend on its third argument, we find the more natural expression :

$(a : A)(l : \text{list}_A)(n : \text{nat})(\text{Length}_A\ l\ n) \rightarrow (P\ (\text{cons } A\ a\ l)\ (S\ n))$ .

**Typing rule.** Our very general destructor for inductive definition enjoys the following typing rule :

$$\text{Case} \frac{E[\Gamma] \vdash c : (I \ q_1 \dots q_r \ t_1 \dots t_s) \quad E[\Gamma] \vdash P : B \quad [(I \ q_1 \dots q_r) | B] \quad (E[\Gamma] \vdash f_i : \{(c_{p_i} \ q_1 \dots q_r)\}^P)_{i=1 \dots l}}{E[\Gamma] \vdash <P> \text{Case } c \text{ of } f_1 \dots f_l \text{ end} : (P \ t_1 \dots t_s \ c)}$$

provided  $I$  is an inductive type in a declaration  $\text{Ind}(\Delta)[\Gamma_P](\Gamma_I := \Gamma_C)$  with  $|\Gamma_P| = r$ ,  $\Gamma_C = [c_1 : C_1; \dots; c_n : C_n]$  and  $c_{p_1} \dots c_{p_l}$  are the only constructors of  $I$ .

**Example.** For `list` and `Length` the typing rules for the `Case` expression are (writing just  $t : M$  instead of  $E[\Gamma] \vdash t : M$ , the environment and context being the same in all the judgments).

$$\frac{l : \text{list}_A \quad P : \text{list}_A \rightarrow s \quad f_1 : (P \ (\text{nil } A)) \quad f_2 : (a : A)(l : \text{list}_A)(P \ (\text{cons } A \ a \ l))}{<P> \text{Case } l \text{ of } f_1 \ f_2 \text{ end} : (P \ l)}$$

$$\frac{\begin{array}{c} H : (\text{Length}_A \ L \ N) \\ P : (l : \text{list}_A)(n : \text{nat})(\text{Length}_A \ l \ n) \rightarrow \text{Prop} \\ f_1 : (P \ (\text{nil } A) \ 0 \ \text{Lnil}) \\ f_2 : (a : A)(l : \text{list}_A)(n : \text{nat})(h : (\text{Length}_A \ l \ n))(P \ (\text{cons } A \ a \ n) \ (\text{S } n) \ (\text{Lcons } A \ a \ l \ n \ h)) \end{array}}{<P> \text{Case } H \text{ of } f_1 \ f_2 \text{ end} : (P \ L \ N \ H)}$$

**Definition of  $\iota$ -reduction.** We still have to define the  $\iota$ -reduction in the general case.

A  $\iota$ -redex is a term of the following form :

$$<P> \text{Case } (c_{p_i} \ q_1 \dots q_r \ a_1 \dots a_m) \text{ of } f_1 \dots f_l \text{ end}$$

with  $c_{p_i}$  the  $i$ -th constructor of the inductive type  $I$  with  $r$  parameters.

The  $\iota$ -contraction of this term is  $(f_i \ a_1 \dots a_m)$  leading to the general reduction rule :

$$<P> \text{Case } (c_{p_i} \ q_1 \dots q_r \ a_1 \dots a_m) \text{ of } f_1 \dots f_n \text{ end} \triangleright_{\iota} (f_i \ a_1 \dots a_m)$$

### 6.5.5 Fixpoint definitions

The second operator for elimination is fixpoint definition. This fixpoint may involve several mutually recursive definitions. The basic syntax for a recursive set of declarations is

$$\text{Fix } \{f_1 : A_1 := t_1 \dots f_n : A_n := t_n\}$$

The terms are obtained by projections from this set of declarations and are written  $\text{Fix } f_i \{f_1 : A_1 := t_1 \dots f_n : A_n := t_n\}$

#### Typing rule

The typing rule is the expected one for a fixpoint.

$$\text{Fix} \frac{(E[\Gamma] \vdash A_i : s_i)_{i=1 \dots n} \quad (E[\Gamma, f_1 : A_1, \dots, f_n : A_n] \vdash t_i : A_i)_{i=1 \dots n}}{E[\Gamma] \vdash \text{Fix } f_i \{f_1 : A_1 := t_1 \dots f_n : A_n := t_n\} : A_i}$$



Any fixpoint definition cannot be accepted because non-normalizing terms will lead to proofs of absurdity.

The basic scheme of recursion that should be allowed is the one needed for defining primitive recursive functionals. In that case the fixpoint enjoys special syntactic restriction, namely one of the arguments belongs to an inductive type, the function starts with a case analysis and recursive calls are done on variables coming from patterns and representing subterms.

For instance in the case of natural numbers, a proof of the induction principle of type

$$(P : \text{nat} \rightarrow \text{Prop})(P \text{ O}) \rightarrow ((n : \text{nat})(P \ n) \rightarrow (P \ (S \ n))) \rightarrow (n : \text{nat})(P \ n)$$

can be represented by the term:

$$\begin{aligned} & [P : \text{nat} \rightarrow \text{Prop}][f : (P \text{ O})][g : (n : \text{nat})(P \ n) \rightarrow (P \ (S \ n))] \\ & \text{Fix } h\{h : (n : \text{nat})(P \ n) := [n : \text{nat}] <P> \text{Case } n \text{ of } f \ [p : \text{nat}](g \ p \ (h \ p)) \text{ end}\} \end{aligned}$$

Before accepting a fixpoint definition as being correctly typed, we check that the definition is “guarded”. A precise analysis of this notion can be found in [42].

The first stage is to precise on which argument the fixpoint will be decreasing. The type of this argument should be an inductive definition.

For doing this the syntax of fixpoints is extended and becomes

$$\text{Fix } f_i\{f_1/k_1 : A_1 := t_1 \dots f_n/k_n : A_n := t_n\}$$

where  $k_i$  are positive integers. Each  $A_i$  should be a type (reducible to a term) starting with at least  $k_i$  products  $(y_1 : B_1) \dots (y_{k_i} : B_{k_i})A'_i$  and  $B_{k_i}$  being an instance of an inductive definition.

Now in the definition  $t_i$ , if  $f_j$  occurs then it should be applied to at least  $k_j$  arguments and the  $k_j$ -th argument should be syntactically recognized as structurally smaller than  $y_{k_i}$ .

The definition of being structurally smaller is a bit technical. One needs first to define the notion of *recursive arguments of a constructor*. For an inductive definition  $\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C)$ , the type of a constructor  $c$  will have the shape:  $(p_1 : P_1) \dots (p_r : P_r)(x_1 : T_1) \dots (x_r : T_r)(I_j \ p_1 \dots p_r \ t_1 \dots t_s)$  the recursive arguments will correspond to  $T_i$  in which one of the  $I_l$  occurs.

The main rules for being structurally smaller are the following:

Given a variable  $y$  of type an inductive definition in a declaration  $\text{Ind}(\Gamma)[\Gamma_P](\Gamma_I := \Gamma_C)$  where  $\Gamma_I$  is  $[I_1 : A_1; \dots; I_k : A_k]$ , and  $\Gamma_C$  is  $[c_1 : C_1; \dots; c_n : C_n]$ . The terms structurally smaller than  $y$  are :

- $(t \ u), [x : u]t$  when  $t$  is structurally smaller than  $y$ .

- $<P> \text{Case } c \text{ of } f_1 \dots f_n \text{ end}$  when each  $f_i$  is structurally smaller than  $y$ .

If  $c$  is  $y$  or is structurally smaller than  $y$ , its type is an inductive definition  $I_p$  part of the inductive declaration corresponding to  $y$ . Each  $f_i$  corresponds to a type of constructor  $C_q \equiv (y_1 : B_1) \dots (y_k : B_k)(I \ a_1 \dots a_k)$  and can consequently be written  $[y_1 : B'_1] \dots [y_k : B'_k]g_i$ . ( $B'_i$  is obtained from  $B_i$  by substituting parameters variables) the variables  $y_j$  occurring in  $g_i$  corresponding to recursive arguments  $B_i$  (the ones in which one of the  $I_l$  occurs) are structurally smaller than  $y$ .

The following definitions are correct, we enter them using the **Fixpoint** command as described in section 2.6.3 and show the internal representation.

```

Coq < Fixpoint plus [n:nat] : nat -> nat :=
Coq < [m:nat]Case n of m [p:nat](S (plus p m)) end.

Coq < Print plus.

Coq < Fixpoint lgth [A:Set;l:(list A)] : nat :=
Coq < Case l of 0 [a:A][l':(list A)](S (lgth A l')) end.

Coq < Print lgth.

Coq < Fixpoint sizet [t:tree] : nat
Coq < := Case t of [f:forest](S (sizef f)) end
Coq < with sizef [f:forest] : nat
Coq < := Case f of 0 [t:tree][f:forest](plus (sizet t) (sizef f)) end.

Coq < Print sizet.

```

### Reduction rule

Let  $F$  be the set of declarations :  $f_1/k_1 : A_1 := t_1 \dots f_n/k_n : A_n := t_n$ . The reduction for fixpoints is :

$$(\text{Fix } f_i\{F\} \ a_1 \dots a_{k_i}) \triangleright_i t_i\{(f_k/\text{Fix } f_k\{F\})_{k=1\dots n}\}$$

when  $a_{k_i}$  starts with a constructor. This last restriction is needed in order to keep strong normalization and corresponds to the reduction for primitive recursive operators.

We can illustrate this behavior on examples.

```

Coq < Goal (n,m:nat)(plus (S n) m)=(S (plus n m)).
Coq < Reflexivity.
Coq < Abort.

Coq < Goal (f:forest)(sizet (node f))=(S (sizef f)).
Coq < Reflexivity.
Coq < Abort.

```

But assuming the definition of a son function from tree to forest:

```

Coq < Definition sont : tree -> forest := [t]Case t of [f]f end.

```

The following is not a conversion but can be proved after a case analysis.

```

Coq < Goal (t:tree)(sizet t)=(S (sizef (sont t))).
Coq < (* this one fails *)
Coq < Reflexivity.
Coq < Destruct t.
Coq < Reflexivity.

```

### The Cases ...of ...end expression

This construction deals with complex case analysis by pattern-matching. It makes the definition simpler and more readable. It is documented in chapter 9.

### The Match ...with ...end expression

The **Match** operator which was a primitive notion in older presentations of the Calculus of Inductive Constructions is now just a macro definition which generates the good combination of **Case** and **Fix** operators in order to generate an operator for primitive recursive definitions. It always considers an inductive definition as a single inductive definition.

The following examples illustrates this feature.

```
Coq < Definition nat_pr : (C:Set)C->(nat->C->C)->nat->C
Coq <   :=[C,x,g,n]Match n with x g end.
Coq < Print nat_pr.
```

```
Coq < Definition forest_pr
Coq <   : (C:Set)C->(tree->forest->C->C)->forest->C
Coq <   := [C,x,g,n]Match n with x g end.
```

The principles of mutual induction can be automatically generated using the **Scheme** command described in section 8.5.

## 6.6 Coinductive types

The implementation contains also coinductive definitions, which are types inhabited by infinite objects. They are described in chapter 10.



## Chapter 7

# Theories Library

This chapter describes the `Coq` library. This library is structured into three parts:

- **INIT**: the initial library of `Coq`. This library contains elementary logical and mathematical notions and constitutes the basic state of the system. It is automatically loaded when running `Coq`;
- **The standard library**: general-purpose libraries containing various developments of `Coq` axiomatizations about sets, lists, sorting, arithmetic, etc. This library comes with the system and its modules are directly accessible through the `Require` command (see chapter 5);
- **User contributions**: Other specification and proof developments coming from the `Coq` users's community. These libraries are no longer distributed with the system. They are available by anonymous FTP (see below).

This chapter briefly reviews these libraries.

### 7.1 INIT

This area concerns the basic axiomatizations which are available in the standard `Coq` system. They are loaded when the system is built, in order to initialize the global context. They are the ones listed in the `Prelude` module: `Logic`, `Datatypes`, `Specif`, `Peano`, and `Wf`, plus the module `Logic_Type`.

#### 7.1.1 Logic

The `Logic` module starts with the definition of the standard (intuitionistic) logical connectives, explained as inductive constructions. Their usual infix syntax can be found in the module `Logic-Syntax`.

#### Propositional Connectives

First, we find propositional calculus connectives:

```
Coq < Inductive True : Prop := I : True.
```

```
Coq < Inductive False : Prop := .
```

```

Coq < Definition not := [A:Prop] A->False.
Coq < Inductive and [A,B:Prop] : Prop := conj : A -> B -> A/\B.
Coq < Section Projections.
Coq < Variables A,B : Prop.
Coq < Theorem proj1 : A/\B -> A.
Coq < Theorem proj2 : A/\B -> B.

Coq < End Projections.

Coq < Inductive or [A,B:Prop] : Prop
Coq <      := or_introl : A -> A\/B
Coq <      | or_intror : B -> A\/B.
Coq < Definition iff := [P,Q:Prop] (P->Q) /\ (Q->P).
Coq < Definition IF := [P,Q,R:Prop] (P/\Q) \/ (~P/\R).
Coq < Hint I conj or_introl or_intror.

```

## Quantifiers

Then we find first-order quantifiers:

```

Coq < Definition all := [A:Set] [P:A->Prop] (x:A) (P x).
Coq < Syntactic Definition All := (all ?).
Coq < Inductive ex [A:Set;P:A->Prop] : Prop
Coq <      := ex_intro : (x:A) (P x)->(ex A P).
Coq < Syntactic Definition Ex := (ex ?).
Coq < Inductive ex2 [A:Set;P,Q:A->Prop] : Prop
Coq <      := ex_intro2 : (x:A) (P x)->(Q x)->(ex2 A P Q).
Coq < Syntactic Definition Ex2 := (ex2 ?).

```

## Equality

Then, we find equality, defined as an inductive relation. That is, given a **Set**  $A$  and an  $x$  of type  $A$ , the predicate  $(eq\ A\ x)$  is the smallest which contains  $x$ . This definition, due to Christine Paulin-Mohring, is equivalent to define  $eq$  as the smallest reflexive relation, and it is also equivalent to Leibniz' equality.

```

Coq < Inductive eq [A:Set;x:A] : A->Prop
Coq <      := refl_equal : (eq A x x).
Coq < Hint refl_equal.

```

It is possible to write  $x=y$  for  $(eq\ ?\ x\ y)$ . The type of the arguments  $x$  and  $y$  is automatically synthesized (look at the `LogicSyntax.v` file, for more details).

## Lemmas

Finally, a few easy lemmas are provided.

```
Coq < Theorem absurd : (A:Prop)(C:Prop) A -> ~A -> C.
```

```
Coq < Section equality.
```

```
Coq < Variable A,B : Set.
```

```
Coq < Variable f : A->B.
```

```
Coq < Variable x,y,z : A.
```

```
Coq < Theorem sym_equal : x=y -> y=x.
```

```
Coq < Theorem trans_equal : x=y -> y=z -> x=z.
```

```
Coq < Theorem f_equal : x=y -> (f x)=(f y).
```

```
Coq < Theorem sym_not_equal : ~(x=y) -> ~(y=x).
```

```
Coq < End equality.
```

```
Coq < Definition eq_ind_r : (A:Set)(x:A)(P:A->Prop)(P x)->(y:A)y=x->(P y).
```

```
Coq < Definition eq_rec_r : (A:Set)(x:A)(P:A->Set)(P x)->(y:A)y=x->(P y).
```

```
Coq < Immediate sym_equal sym_not_equal.
```

### 7.1.2 Datatypes

Next, we find the definition of the basic data-types of programming, again defined as inductive constructions over the sort **Set**.

#### Programming

```
Coq < Inductive unit : Set := tt : unit.
```

```
Coq < Inductive bool : Set := true : bool  
Coq < | false : bool.
```

```
Coq < Inductive nat : Set := 0 : nat  
Coq < | S : nat->nat.
```

Note that zero is the letter 0, and *not* the numeral 0.

We then define the disjoint sum of A+B of two sets A and B, and their product A\*B.

```

Coq < Inductive sum [A,B:Set] : Set
Coq <      := inl : A -> A+B
Coq <      | inr : B -> A+B.

Coq < Inductive prod [A,B:Set] : Set := pair : A -> B -> A*B.

Coq < Section projections.

Coq <      Variables A,B:Set.

Coq <      Definition fst := [H:A*B] Case H of [x:A][y:B]x end.
Coq <      Definition snd := [H:A*B] Case H of [x:A][y:B]y end.

Coq < End projections.

Coq < Syntactic Definition Fst := (fst ? ?).
Coq < Syntactic Definition Snd := (snd ? ?).

Coq < Hint pair inl inr.

```

### 7.1.3 Specif

The **Specif** module concerns notions about Sets that contain logical information. The usual infix syntax can be found in the module **SpecifSyntax**.

For instance, given  $A:Set$  and  $P:A \rightarrow Prop$ , the construct  $\{x:A \mid (P\ x)\}$  (in abstract syntax  $(sig\ A\ P)$ ) is a **Set**. We may build elements of this set as  $(exist\ x\ p)$  whenever we have a witness  $x:A$  with its justification  $p:(P\ x)$ .

From such a  $(exist\ x\ p)$  we may in turn extract its witness  $x:A$  (using an elimination construct such as **Case**) but *not* its justification, which stays hidden, like in an abstract data type. In technical terms, one says that **sig** is a “weak (dependent) sum”. A variant **sig2** with two predicates is also provided.

```

Coq < Inductive sig [A:Set;P:A->Prop] : Set
Coq <      := exist : (x:A)(P x) -> (sig A P).

Coq < Inductive sig2 [A:Set;P,Q:A->Prop] : Set
Coq <      := exist2 : (x:A)(P x) -> (Q x) -> (sig2 A P Q).

```

A “strong (dependent) sum”  $\{x:A \ \&\ (P\ x)\}$  may be also defined, when the predicate  $P$  is now defined as a **Set** constructor.

```

Coq < Inductive sigS [A:Set;P:A->Set] : Set
Coq <      := existS : (x:A)(P x) -> (sigS A P).

Coq < Section projections.

Coq <      Variable A:Set.

Coq <      Variable P:A->Set.

Coq <      Definition projS1 := [H:(sigS A P)] Case H of [x:A][h:(P x)]x end.

```



```

Coq < Definition projS2 := [H:(sigS A P)]<[H:(sigS A P)](P (projS1 H))>
Coq < Case H of [x:A] [h:(P x)]h end.
Coq < End projections.
Coq < Inductive sigS2 [A:Set;P,Q:A->Set] : Set
Coq < := existS2 : (x:A)(P x) -> (Q x) -> (sigS2 A P Q).

```

A related non-dependent construct is the constructive sum  $\{A\}+\{B\}$  of two propositions A and B.

```

Coq < Inductive sumbool [A,B:Prop] : Set
Coq < := left : A -> ({A}+{B})
Coq < | right : B -> ({A}+{B}).
Coq < Hint left right.

```

This `sumbool` construct may be used as a kind of indexed boolean data type. An intermediate between `sumbool` and `sum` is the mixed `sumor` which combines `A:Set` and `B:Prop` in the `Set A+{B}`.

```

Coq < Inductive sumor [A:Set;B:Prop] : Set
Coq < := inleft : A -> (A+{B})
Coq < | inright : B -> (A+{B}).
Coq < Hint inleft inright.

```

We may define variants of the axiom of choice, like in Martin-Löf's Intuitionistic Type Theory.

```

Coq < Lemma Choice : (S,S':Set)(R:S->S'->Prop)((x:S){y:S'|(R x y)})
Coq < -> {f:S->S'|(z:S)(R z (f z))}.
Coq < Lemma Choice2 : (S,S':Set)(R:S->S'->Set)((x:S){y:S' & (R x y)})
Coq < -> {f:S->S' & (z:S)(R z (f z))}.
Coq < Lemma bool_choice : (S:Set)(R1,R2:S->Prop)((x:S){(R1 x)}+{(R2 x)}) ->
Coq < {f:S->bool | (x:S)( ((f x)=true /\ (R1 x))
Coq < \/\ ((f x)=false /\ (R2 x)))}.

```

The next construct builds a sum between a data type `A:Set` and an exceptional value encoding errors:

```

Coq < Inductive Exc [A:Set] : Set := value : A->(Exc A)
Coq < | error : (Exc A).

```

This module ends with one axiom and theorems, relating the sorts `Set` and `Prop` in a way which is consistent with the realizability interpretation.

```

Coq < Axiom False_rec : (P:Set)False->P.
Coq < Definition except := False_rec.
Coq < Syntactic Definition Except := (except ?).
Coq < Theorem absurd_set : (A:Prop)(C:Set)A->(~A)->C.
Coq < Theorem and_rec : (A,B:Prop)(C:Set)(A->B->C)->(A/\B)->C.

```

### 7.1.4 Peano

This module gives a few elementary properties of natural numbers, together with the definitions of predecessor, addition and multiplication.

```
Coq < Theorem eq_S : (n,m:nat) n=m -> (S n)=(S m).

Coq < Definition pred : nat->nat
Coq <      := [n:nat](<nat>Case n of (* 0 *) 0
Coq <      (* S u *) [u:nat]u end).
Coq < Theorem pred_Sn : (m:nat) m=(pred (S m)).
Coq < Theorem eq_add_S : (n,m:nat) (S n)=(S m) -> n=m.
Coq < Immediate eq_add_S.
Coq < Theorem not_eq_S : (n,m:nat) ~(n=m) -> ~((S n)=(S m)).
Coq < Hint not_eq_S.

Coq < Definition IsSucc : nat->Prop
Coq <      := [n:nat](<Prop>Case n of (* 0 *) False
Coq <      (* S p *) [p:nat]True end).
Coq < Theorem 0_S : (n:nat) ~(0=(S n)).
Coq < Theorem n_Sn : (n:nat) ~(n=(S n)).

Coq < Fixpoint plus [n:nat] : nat -> nat :=
Coq <      [m:nat](<nat>Case n of
Coq <      (* 0 *) m
Coq <      (* S p *) [p:nat](S (plus p m)) end).
Coq < Lemma plus_n_0 : (n:nat) n=(plus n 0).
Coq < Hint plus_n_0.
Coq < Lemma plus_n_Sm : (n,m:nat) (S (plus n m))=(plus n (S m)).
Coq < Hint plus_n_Sm.

Coq < Fixpoint mult [n:nat] : nat -> nat :=
Coq <      [m:nat](<nat> Case n of (* 0 *) 0
Coq <      (* S p *) [p:nat](plus m (mult p m)) end).
Coq < Lemma mult_n_0 : (n:nat) 0=(mult n 0).
Coq < Hint mult_n_0.
Coq < Lemma mult_n_Sm : (n,m:nat) (plus (mult n m) n)=(mult n (S m)).
Coq < Hint mult_n_Sm.
```

Finally, it gives the definition of the usual orderings `le`, `lt`, `ge`, and `gt`.

```
Coq < Inductive le [n:nat] : nat -> Prop
Coq <      := le_n : (le n n)
Coq <      | le_S : (m:nat)(le n m)->(le n (S m)).
Coq < Hint le_n le_S.
Coq < Definition lt := [n,m:nat](le (S n) m).
Coq < Hint Unfold lt.
Coq < Definition ge := [n,m:nat](le m n).
Coq < Hint Unfold ge.
Coq < Definition gt := [n,m:nat](lt m n).
Coq < Hint Unfold gt.
```

Properties of these relations are not initially known, but may be required by the user from modules `Le` and `Lt`. Finally, `Peano` gives some lemmas allowing pattern-matching, and a double induction principle.

```
Coq < Theorem nat_case : (n:nat)(P:nat->Prop)(P 0)->((m:nat)(P (S m)))->(P n).

Coq < Theorem nat_double_ind : (R:nat->nat->Prop)
Coq <      ((n:nat)(R 0 n)) -> ((n:nat)(R (S n) 0))
Coq <      -> ((n,m:nat)(R n m)->(R (S n) (S m)))
Coq <      -> (n,m:nat)(R n m).
```

### 7.1.5 Wf

The `Wf` module contains the basics of well-founded induction.

```
Coq < Chapter Well_founded.
Coq < Variable A : Set.
Coq < Variable R : A -> A -> Prop.
Coq < Inductive Acc : A -> Prop
Coq <      := Acc_intro : (x:A)((y:A)(R y x)->(Acc y))->(Acc x).
Coq < Lemma Acc_inv : (x:A)(Acc x) -> (y:A)(R y x) -> (Acc y).

Coq < Section AccRec.
Coq < Variable P : A -> Set.
Coq < Variable F : (x:A)((y:A)(R y x)->(Acc y))->((y:A)(R y x)->(P y))->(P x).
Coq < Fixpoint Acc_rec [x:A;a:(Acc x)] : (P x)
```

```

Coq <      := (F x (Acc_inv x a) ([y:A] [h:(R y x)] (Acc_rec y (Acc_inv x a y h)))).
Coq < End AccRec.

Coq < Definition well_founded := (a:A)(Acc a).

Coq < Theorem well_founded_induction :
Coq <      well_founded ->
Coq <      (P:A->Set)((x:A)((y:A)(R y x)->(P y))->(P x))->(a:A)(P a).

Coq < End Well_founded.

Coq < Section Wf_inductor.
Coq < Variable A:Set.
Coq < Variable R:A->A->Prop.
Coq < Theorem well_founded_ind :
Coq <      (well_founded A R) ->
Coq <      (P:A->Prop)((x:A)((y:A)(R y x)->(P y))->(P x))->(a:A)(P a).

Coq < End Wf_inductor.

```

### 7.1.6 Logic\_Type

This module contains the definition of logical quantifiers axiomatized at the `Type` level.

```

Coq < Definition allT := [A:Type] [P:A->Prop] (x:A) (P x).
Coq < Syntactic Definition AllT := (allT ?).
Coq < Section universal_quantification.
Coq < Variable A : Type.
Coq < Variable P : A->Prop.
Coq < Theorem inst : (x:A)(AllT P)->(P x).
Coq < Theorem gen : (B:Prop)(f:(y:A)B->(P y))B->(AllT P).

Coq < End universal_quantification.

Coq < Inductive exT [A:Type;P:A->Prop] : Prop
Coq <      := exT_intro : (x:A)(P x)->(exT A P).
Coq < Syntactic Definition ExT := (exT ?).

Coq < Inductive exT2 [A:Type;P,Q:A->Prop] : Prop
Coq <      := exT_intro2 : (x:A)(P x)->(Q x)->(exT2 A P Q).
Coq < Syntactic Definition ExT2 := (exT2 ?).

```

Finally, it defines Leibniz equality  $x==y$  when  $x$  and  $y$  belong to  $A:Type$ .

```
Coq < Inductive eqT [A:Type;x:A] : A -> Prop
Coq <               := refl_eqT : (eqT A x x).
Coq < Hint refl_eqT.
Coq < Section Equality_is_a_congruence.
Coq < Variables A,B : Type.
Coq < Variable  f : A->B.
Coq < Variable x,y,z : A.
Coq < Lemma sym_eqT : (x==y) -> (y==x).
Coq < Lemma trans_eqT : (x==y) -> (y==z) -> (x==z).
Coq < Lemma congr_eqT : (x==y)->((f x)==(f y)).

Coq < End Equality_is_a_congruence.
Coq < Immediate sym_eqT sym_not_eqT.
Coq < Definition eqT_ind_r : (A:Type)(x:A)(P:A->Prop)(P x)->(y:A)y==x -> (P y).
Coq < Definition eqT_rec_r : (A:Type)(x:A)(P:A->Set)(P x)->(y:A)y==x -> (P y).
```

It is possible to write  $x==y$  for  $(eqT \ ? \ x \ y)$ . The type of the arguments  $x$  and  $y$  is automatically synthesized (look at the `Logic_TypeSyntax.v` file, for more details).

## 7.2 The standard library

The rest of the standard library is structured into the following subdirectories:

<b>LOGIC</b>	Classical logic and dependent equality
<b>ARITH</b>	Basic Peano arithmetic
<b>BOOL</b>	Booleans (basic functions and results)
<b>LISTS</b>	Monomorphic and polymorphic lists (basic functions and results), Streams (infinite sequences defined with co-inductive types)
<b>SETS</b>	Sets (classical, constructive, finite, infinite, powerset, etc.)
<b>RELATIONS</b>	Relations (definitions and basic results). There is a subdirectory about well-founded relations ( <b>WELLFOUNDED</b> )
<b>SORTING</b>	Axiomatizations of sorts

These directories belong to the initial load path of the system, and the modules they provide are compiled at installation time. So they are directly accessible with the command **Require** (see chapter 5).

The different modules of the Coq standard library are described in the additional document `Library.dvi`. They are also accessible on the WWW through the Coq homepage\*.

---

\*<http://pauillac.inria.fr/~coq/coq-eng.html>

### 7.3 User contributions

Numerous user contributions may be obtained by anonymous FTP from site `ftp.inria.fr`, directory `INRIA/coq/V6.1/contrib`, or on the WWW through the Coq homepage (see above section).

If you wish to add a contribution to the Coq's library, write to `Gerard.Huet@inria.fr`.

## Chapter 8

# Tactics for inductive types and families

This chapter details a few special tactics useful for inferring facts from inductive hypotheses. They can be considered as tools that macro-generate complicated uses of the basic elimination tactics for inductive types.

Sections 8.1 to 8.4 present inversion tactics and section 8.5 describes a command `Scheme` for automatic generation of induction schemes for mutual inductive types.

### 8.1 Generalities about inversion

When working with (co)inductive predicates, we are very often faced to some of these situations :

- we have an inconsistent instance of an inductive predicate in the local context of hypotheses. Thus, the current goal can be trivially proved by absurdity.
- we have a hypothesis that is an instance of an inductive predicate, and the instance has some variables whose constraints we would like to derive.

The inversion tactics are very useful to simplify the work in these cases. Inversion tools can be classified in three groups :

1. tactics for inverting an instance without stocking the inversion lemma in the context : `(Dependent) Inversion` and `(Dependent) Inversion_clear`.
2. commands for generating and stocking in the context the inversion lemma corresponding to an instance : `Derive (Dependent) Inversion`, `Derive (Dependent) Inversion_clear`.
3. tactics for inverting an instance using an already defined inversion lemma : `Inversion... using`.

These tactics work for inductive types of arity  $(\vec{x} : \vec{T})s$  where  $s \in \{Prop, Set, Type\}$ . Sections 8.2, 8.3 and 8.4 describe respectively each group of tools.

As inversion proofs may be large in size, we recommend the user to stock the lemmas whenever the same instance needs to be inverted several times.

Let's consider the relation `Le` over natural numbers and the following variables :

```
Coq < Inductive Le : nat->nat->Set :=
Coq <   Le0 : (n:nat)(Le 0 n)   | LeS : (n,m:nat) (Le n m)-> (Le (S n) (S m)).
Coq < Variable P:nat->nat->Prop.
Coq < Variable Q:(n,m:nat)(Le n m)->Prop.
```

For example purposes we defined `Le: nat->nat->Set` but we may have defined it `Le` of type `nat->nat->Prop` or `nat->nat->Type`.

## 8.2 Inverting an instance

### 8.2.1 The non dependent case

- `Inversion_clear ident`

Let the type of `ident` in the local context be  $(I \vec{t})$ , where  $I$  is a (co)inductive predicate. Then, `Inversion` applied to `ident` derives for each possible constructor  $c_i$  of  $(I \vec{t})$ , **all** the necessary conditions that should hold for the instance  $(I \vec{t})$  to be proved by  $c_i$ . Finally it erases `ident` from the context.

For example, consider the goal :

```
Coq < Show.
```

To prove the goal we may need to reason by cases on `H` and to derive that `m` is necessarily of the form  $(S m_0)$  for certain  $m_0$  and that  $(Le n m_0)$ . Deriving these conditions corresponds to prove that the only possible constructor of  $(Le (S n) m)$  is `LeS` and that we can invert the  $\rightarrow$  in the type of `LeS`. This inversion is possible because `Le` is the smallest set closed by the constructors `Le0` and `LeS`.

```
Coq < Inversion_clear H.
```

Note that `m` has been substituted in the goal for  $(S m_0)$  and that the hypothesis  $(Le n m_0)$  has been added to the context.

- `Inversion ident`

This tactic differs from `Inversion_clear` in the fact that it adds the equality constraints in the context and it does not erase the hypothesis `ident`.

In the previous example, `Inversion_clear` has substituted `m` by  $(S m_0)$ . Sometimes it is interesting to have the equality  $m=(S m_0)$  in the context to use it after. In that case we can use `Inversion` that does not clear the equalities :

```
Coq < Undo.
```

```
Coq < Inversion H.
```



Note that the hypothesis  $(S\ m0)=m$  has been deduced and  $H$  has not been cleared from the context.

**Variants :**

1. **Inversion\_clear** *ident* in *ident*<sub>1</sub> ... *ident*<sub>n</sub>  
Let *ident*<sub>1</sub> ... *ident*<sub>n</sub>, be identifiers in the local context. This tactic behaves as generalizing *ident*<sub>1</sub> ... *ident*<sub>n</sub>, and then performing **Inversion\_clear**.
2. **Inversion** *ident* in *ident*<sub>1</sub> ... *ident*<sub>n</sub>  
Let *ident*<sub>1</sub> ... *ident*<sub>n</sub>, be identifiers in the local context. This tactic behaves as generalizing *ident*<sub>1</sub> ... *ident*<sub>n</sub>, and then performing **Inversion**.
3. **Simple Inversion** *ident*  
It is a very primitive inversion tactic that derives all the necessary equalities but it does not simplify the constraints as **Inversion** and **Inversion\_clear** do.

### 8.2.2 The dependent case

- **Dependent Inversion\_clear** *ident*  
Let the type of *ident* in the local context be  $(I\ \vec{t})$ , where  $I$  is a (co)inductive predicate, and let the goal depend both on  $\vec{t}$  and *ident*. Then, **Dependent Inversion\_clear** applied to *ident* derives for each possible constructor  $c_i$  of  $(I\ \vec{t})$ , all the necessary conditions that should hold for the instance  $(I\ \vec{t})$  to be proved by  $c_i$ . It also substitutes *ident* for the corresponding term in the goal and it erases *ident* from the context.

For example, consider the goal :

**Coq < Show.**

As  $H$  occurs in the goal, we may want to reason by cases on its structure and so, we would like inversion tactics to substitute  $H$  by the corresponding term in constructor form. Neither **Inversion** nor **Inversion\_clear** make such a substitution. To have such a behavior we use the dependent inversion tactics :

**Coq < Dependent Inversion\_clear H.**

Note that  $H$  has been substituted by  $(LeS\ n\ m0\ 1)$  and  $m$  by  $(S\ m0)$ .

**Variants :**

1. **Dependent Inversion\_clear** *ident* with *term*  
Behaves as **Dependent Inversion\_clear** but allows to give explicitly the good generalization of the goal. It is useful when the system fails to generalize the goal automatically. If *ident* has type  $(I\ \vec{t})$  and  $I$  has type  $(\vec{x} : \vec{T})s$ , then *term* must be of type  $I : (\vec{x} : \vec{T})(I\ \vec{x}) \rightarrow s'$  where  $s'$  is the type of the goal.

## 2. `Dependent Inversion ident`

This tactic differs from `Dependent Inversion_clear` in the fact that it also adds the equality constraints in the context and it does not erase the hypothesis `ident`.

## 3. `Dependent Inversion ident with term`

Analogous to `Dependent Inversion_clear .. with..` above.

# 8.3 Deriving the inversion lemmas

## 8.3.1 The non dependent case

The tactics `(Dependent) Inversion` and `(Dependent) Inversion_clear` work on a certain instance  $(I \vec{t})$  of an inductive predicate. At each application, they inspect the given instance and derive the corresponding inversion lemma. If we have to invert the same instance several times it is recommended to stock the lemma in the context and to reuse it whenever we need it.

The families of commands `Derive Inversion`, `Derive Dependent Inversion`, `Derive Inversion_clear` and `Derive Dependent Inversion_clear` allow to generate inversion lemmas for given instances and sorts. Next section describes the tactic `Inversion..using` that refines the goal with a specified inversion lemma.

- `Derive Inversion_clear ident with  $(\vec{x} : \vec{T})(I \vec{t})$  Sort sort`

Let  $I$  be an inductive predicate and  $\vec{x}$  the variables occurring in  $\vec{t}$ . This command generates and stocks the inversion lemma for the sort `sort` corresponding to the instance  $(\vec{x} : \vec{T})(I \vec{t})$  with the name `ident` in the **global** environment. When applied it is equivalent to have inverted the instance with the tactic `Inversion_clear`.

For example, to generate the inversion lemma for the instance `(Le (S n) m)` and the sort `Prop` we do :

```
Coq < Derive Inversion_clear leminv with (n,m:nat)(Le (S n) m) Sort Prop.
```

Let us inspect the type of the generated lemma :

```
Coq < Check leminv.
```

A derived inversion lemma is adequate for inverting the instance with which it was generated, `Derive` applied to different instances yields different lemmas. In general, if we generate the inversion lemma with an instance  $(\vec{x} : \vec{T})(I \vec{t})$  and a sort  $s$ , the inversion lemma will expect a predicate of type  $(\vec{x} : \vec{T})s$  as first argument.

## Variants :

### 1. `Derive Inversion ident with $(\vec{x} : \vec{T})(I \vec{t})$ Sort sort`

Analogous of `Derive Inversion_clear .. with ..` but when applied it is equivalent to having inverted the instance with the tactic `Inversion`.

### 8.3.2 The dependent case

- **Derive Dependent Inversion\_clear** *ident* with  $(\vec{x} : \vec{T})(I \vec{t})$  Sort *sort*

Let  $I$  be an inductive predicate. This command generates and stocks the dependent inversion lemma for the sort *sort* corresponding to the instance  $(\vec{x} : \vec{T})(I \vec{t})$  with the name *ident* in the **global** environment. When applied it is equivalent to having inverted the instance with the tactic **Dependent Inversion\_clear**.

```
Coq < Derive Dependent Inversion_clear leminv_dep
```

```
Coq < with (n,m:nat)(Le (S n) m) Sort Prop.
```

```
Coq < Check leminv_dep.
```

**Variants :**

1. **Derive Dependent Inversion** *ident* with  $(\vec{x} : \vec{T})(I \vec{t})$  Sort *sort*

Analogous to **Derive Dependent Inversion\_clear**, but when applied it is equivalent to having inverted the instance with the tactic **Dependent Inversion**.

## 8.4 Using already defined inversion lemmas

- **Inversion** *ident* using *ident'*

Let *ident* have type  $(I \vec{t})$  ( $I$  an inductive predicate) in the local context, and *ident'* be a (dependent) inversion lemma. Then, this tactic refines the current goal with the specified lemma.

```
Coq < Show.
```

```
Coq < Inversion H using leminv.
```

**Variants :**

1. **Inversion** *ident* using *ident'* in *ident*<sub>1</sub>...*ident*<sub>*n*</sub>

This tactic behaves as generalizing *ident*<sub>1</sub>...*ident*<sub>*n*</sub>, then doing **Use Inversion** *ident* *ident'*.

## 8.5 Scheme ...

The **Scheme** command is a high-level tool for generating automatically (possibly mutual) induction principles for given types and sorts. Its syntax follows the schema :

```
Scheme ident1 := Induction for term1 Sort sort1
```

```
with
```

```
..
```

```
with identm := Induction for termm Sort sortm
```

*term*<sub>1</sub> ... *term*<sub>*m*</sub> are different inductive types belonging to the same package of mutual inductive definitions. This command generates *ident*<sub>1</sub>...*ident*<sub>*m*</sub> to be mutually recursive definitions. Each term *ident*<sub>*i*</sub> proves a general principle of mutual induction for objects in type *term*<sub>*i*</sub>.

**Example :** The definition of principle of mutual induction for **tree** and **forest** over the sort **Set** is defined by the command :

```
Coq < Scheme tree_forest_rec := Induction for tree Sort Set
Coq < with forest_tree_rec := Induction for forest Sort Set.
```

You may now look at the type of `tree_forest_rec` :

```
Coq < Check tree_forest_rec.
```

This principle involves two different predicates for **trees** and **forests**; it also has three premises each one corresponding to a constructor of one of the inductive definitions.

The principle `tree_forest_rec` shares exactly the same premises, only the conclusion now refers to the property of forests.

```
Coq < Check forest_tree_rec.
```

```
Variants : Scheme ident1 := Minimality for term1 Sort sort1
with
```

```
..
```

```
with identm := Minimality for termm Sort sortm
```

Same as before but defines a non-dependent elimination principle more natural in case of inductively defined relations.

**Example** : With the predicates `odd` and `even` inductively defined as :

```
Coq < Mutual Inductive odd : nat->Prop :=
Coq <   oddS : (n:nat)(even n)->(odd (S n))
Coq < with even : nat -> Prop :=
Coq <   even0 : (even 0)
Coq <   | evenS : (n:nat)(odd n)->(even (S n)).
```

The following command generates a powerful elimination principle :

```
Coq < Scheme odd_even := Minimality for odd Sort Prop
Coq < with   even_odd := Minimality for even Sort Prop.
```

The type of `odd_even` for instance will be :

```
Coq < Check odd_even.
```

The type of `even_odd` shares the same premises but the conclusion is  $(n:\text{nat})(\text{even } n) \rightarrow (Q \ n)$ .

# Chapter 9

## The Macro Cases

**Cases** is an extension to the concrete syntax of Coq that allows to write case expressions using patterns in a syntax close to that of ML languages. This construction is just a macro that is expanded during parsing into a sequence of the primitive construction **Case**. The current implementation contains two strategies, one for compiling non-dependent case and another one for dependent case.

### 9.1 Patterns

A pattern is a term that indicates the *shape* of a value, i.e. a term where the variables can be seen as holes. When a value is matched against a pattern (this is called *pattern matching*) the pattern behaves as a filter, and associates a sub-term of the value to each hole (i.e. to each variable pattern).

The syntax of patterns is presented in figure 9.1\*. Patterns are built up from constructors and variables. Any identifier that is not a constructor of an inductive or coinductive type is considered to be a variable. Identifiers in patterns should be linear except for the “don’t care” pattern denoted by “\_”. We can use patterns to build more complex patterns. We call *simple pattern* a variable or a pattern of the form  $(c \vec{x})$  where  $c$  is a constructor symbol and  $\vec{x}$  is a linear vector of variables. If a pattern is not simple we call it *nested*.

A variable pattern matches any value, and the identifier is bound to that value. The pattern “\_” also matches any value, but it is not binding. Alias patterns written *(pattern as identifier)* are also accepted. This pattern matches the same values as *pattern* does and *identifier* is bound to the matched value. A list of patterns is also considered as a pattern and is called *multiple pattern*.

Pattern matching improves readability. Compare for example the term of the function *is\_zero* of natural numbers written with patterns and the one written in primitive concrete syntax:

```
[n:nat] Cases n of 0 => true | _ => false end,  
[n:nat] Case n of true [_:nat]false end.
```

In Coq pattern matching is compiled into the primitive constructions, thus the expressiveness of the theory remains the same. Once the stage of parsing has finished patterns disappear. An easy way to see the result of the expansion is by printing the term with **Print** if the term is a constant, or using the command **Check** that displays the term with its type:

---

\*Notation:  $\{P\}^*$  denotes zero or more repetitions of  $P$  and  $\{P\}^+$  denotes one or more repetitions of  $P$ . *command* is the non-terminal corresponding to terms in Coq.

```

simple_pattern  := pattern as identifier
                | pattern , pattern
                | pattern pattern_list

pattern        := identifier | ( simple_pattern )

equation       := {pattern}+ => term

ne_eqn_list    := equation { | equation }*

eqn_list       := { equation { | equation }* }*

term           := Cases {term}+ of ne_eqn_list end
                | <term> Cases { term }+ of eqn_list end

```

Figure 9.1: Macro Cases syntax.

```

Coq < Check [n:nat] Cases n of 0 => true | _ => false end.
[n:nat]<bool>Case n of true
    [_:nat>false
    end
: nat->bool

```

**Cases** accepts optionally an infix term enclosed between brackets **<>** that we call the *elimination predicate*. This term is the same argument as the one expected by the primitive **Case**. Given a pattern matching expression, if all the right hand sides of **=>** (*rhs* in short) have the same type, then this term can be sometimes synthesized, and so we can omit the **<>**. Otherwise we have to provide the predicate between **<>** as for the primitive **Case**.

Let us illustrate through examples the different aspects of pattern matching. Consider for example the function that computes the maximum of two natural numbers. We can write it in primitive syntax by:

```

Fixpoint max [n,m:nat] : nat :=
  Case n of
    (* 0 *) m
    (* S n' *) [n':nat]Case m of
      (* 0 *) (S n')
      (* S m' *) [m':nat](S (max n' m'))
    end
  end.

```

Using patterns in the definitions gives:

```

Fixpoint max [n,m:nat] : nat :=
  Cases n of

```

```

      0      => m
| (S n') => Cases m of
      0      => (S n')
| (S m') => (S (max n' m'))
      end
end.

```

Another way to write this definition is to use a multiple pattern to match  $n$  and  $m$ :

```

Fixpoint max [n,m:nat] : nat :=
  Cases n m of
    0      -      => m
| (S n') 0      => (S n')
| (S n') (S m') => (S (max n' m'))
  end.

```

The strategy examines patterns from left to right. A case expression is generated **only** when there is at least one constructor in the column of patterns. For example,

```

Coq < Check [x:nat]<nat>Cases x of y => y end.
[x:nat]x
      : nat->nat

```

We can also use “as patterns” to associate a name to a sub-pattern:

```

Fixpoint max [n:nat] : nat -> nat :=
  [m:nat] Cases n m of
    0      -      => m
| ((S n') as N) 0      => N
| (S n') (S m')      => (S (max n' m'))
  end.

```

In the previous examples patterns do not conflict with, but sometimes it is comfortable to write patterns that admits a non trivial superposition. Consider the boolean function *lef* that given two natural numbers yields **true** if the first one is less or equal than the second one and **false** otherwise. We can write it as follows:

```

Fixpoint lef [n,m:nat] : bool :=
  Cases n m of
    0      x      => true
| x      0      => false
| (S n) (S m)   => (lef n m)
  end.

```

Note that the first and the second multiple pattern superpose because the couple of values  $0\ 0$  matches both. Thus, what is the result of the function on those values? To eliminate ambiguity we use the *textual priority rule*: we consider patterns ordered from top to bottom, then a value is matched by the pattern at the *i*th row if and only if is not matched by some pattern of a previous row. Thus in the example,  $0\ 0$  is matched by the first pattern, and so  $(lef\ 0\ 0)$  yields **true**.

Another way to write this function is:

```

Fixpoint lef [n,m:nat] : bool :=
  Cases n m of
    0      x      => true
  | (S n) (S m) => (lef n m)
  | _      _      => false
end.

```

Here the last pattern superposes with the first two. Because of the priority rule, the last pattern will be used only for values that do not match neither the first nor the second one.

Terms with useless patterns are accepted by the system. For example,

```

Coq < Check [x:nat]Cases x of 0 => true | (S _) => false | x => true end.
[x:nat]<bool>Case x of true
      [_:nat>false
      end
      : nat->bool

```

is accepted even though the last pattern is never used. Beware, the current implementation rises no warning message when there are unused patterns in a term.

### 9.1.1 About patterns of parametric types

When matching objects of a parametric type, constructors in patterns *do not expect* the parameter arguments. Their value is deduced during expansion.

Consider for example the polymorphic lists:

```

Inductive List [A:Set] :Set :=
  nil:(List A)
| cons:A->(List A)->(List A).

```

We can check the function *tail* by:

```

Coq < Check [l:(List nat)]Cases l of
      nil      => (nil nat)
  | (cons _ l') => l'
end.

```

what gives:

```

Coq < [l:(List nat)]
<(List nat)>Case l of (nil nat)
      [_:nat][l':(List nat)]l'
      end
      : (List nat)->(List nat)

```

When we use parameters in patterns there is an error message:

```

Coq < Check [l:(List nat)]Cases l of
      (nil nat)      => (nil nat)
  | (cons nat _ l') => l'
end.

```

```

Coq < Error: In pattern (nil nat) the constructor nil expects 0 arguments.
during command ....

```



### 9.1.2 Matching objects of dependent types

The previous examples illustrate pattern matching on objects of non-dependent types, but we can also use the macro to destructure objects of dependent type. Consider the type `listn` of lists of a certain length:

```
Inductive listn : nat -> Set :=
  niln : (listn 0)
| consn : (n:nat) nat -> (listn n) -> (listn (S n)).
```

#### Understanding dependencies in patterns

We can define the function `length` over `listn` by :

```
Definition length := [n:nat] [l:(listn n)] n.
```

Just for illustrating pattern matching, we can define it by case analysis:

```
Definition length := [n:nat] [l:(listn n)]
  Cases l of
    niln          => 0
  | (consn n _ _) => (S n)
  end.
```

We can understand the meaning of this definition using the same notions of usual pattern matching.

Now suppose we split the second pattern of `length` into two cases so to give an alternative definition using nested patterns:

```
Definition length1:= [n:nat] [l:(listn n)]
  Cases l of
    niln          => 0
  | (consn n _ niln)      => (S n)
  | (consn n _ (consn _ _ _)) => (S n)
  end.
```

It is obvious that `length1` is another version of `length`. We can also give the following definition:

```
Definition length2:= [n:nat] [l:(listn n)]
  Cases l of
    niln          => 0
  | (consn n _ niln)      => (S 0)
  | (consn n _ (consn m _ _)) => (S (S m))
  end.
```

If we forget that `listn` is a dependent type and we read these definitions using the usual semantics of pattern matching, we can conclude that `length1` and `length2` are different functions. In fact, they are equivalent because the pattern `niln` implies that `n` can only match the value 0 and analogously the pattern `consn` determines that `n` can only match values of the form  $(S v)$  where  $v$  is the value matched by `m`.

The converse is also true. If we destructure the `length` value with the pattern 0 then the list value should be `niln`. Thus, the following term `length3` corresponds to the function `length` but this time defined by case analysis on the dependencies instead of on the list:

```

Definition length3 := [n:nat] [l: (listn n)]
  Cases l of
    niln          => 0
  | (consn 0 _ _) => (S 0)
  | (consn (S n) _ _) => (S (S n))
end.

```

When we have nested patterns of dependent types, the semantics of pattern matching becomes a little more difficult because the set of values that are matched by a sub-pattern may be conditioned by the values matched by another sub-pattern. Dependent nested patterns are somehow constrained patterns. In the examples, the expansion of `length1` and `length2` yields exactly the same term but the expansion of `length3` is completely different. `length1` and `length2` are expanded into two nested case analysis on `listn` while `length3` is expanded into a case analysis on `listn` containing a case analysis on natural numbers inside.

In practice the user can think about the patterns as independent and it is the expansion algorithm that cares to relate them.

### When the elimination predicate must be provided

The examples given so far do not need an explicit elimination predicate between `<>` because all the rhs have the same type and the strategy succeeds to synthesize it. Unfortunately when dealing with dependent patterns it often happens that we need to write cases where the type of the rhs are different instances of the elimination predicate. The function `concat` for `listn` is an example where the branches have different type and we need to provide the elimination predicate:

```

Fixpoint concat [n:nat; l:(listn n)] : (m:nat) (listn m) -> (listn (plus n m))
:= [m:nat][l':(listn m)]
  <[n:nat](listn (plus n m))>Cases l of
    niln          => l'
  | (consn n' a y) => (consn (plus n' m) a (concat n' y m l'))
end.

```

Recall that a list of patterns is also a pattern. So, when we destructure several terms at the same time and the branches have different type we need to provide the elimination predicate for this multiple pattern.

For example, an equivalent definition for `concat` (even though with a useless extra pattern) would have been:

```

Fixpoint concat [n:nat; l:(listn n)] : (m:nat) (listn m) -> (listn (plus n m))
:= [m:nat][l':(listn m)]
  <[n, _ : nat](listn (plus n m))>Cases l l' of
    niln          x => x
  | (consn n' a y) x => (consn (plus n' m) a (concat n' y m x))
end.

```

Note that this time, the predicate `[n, _ : nat](listn (plus n m))` is binary because we destructure both `l` and `l'` whose types have arity one. In general, if we destructure the terms  $e_1 \dots e_n$  the predicate will be of arity  $m$  where  $m$  is the sum of the number of dependencies of the type of  $e_1, e_2, \dots, e_n$  (the  $\lambda$ -abstractions should correspond from left to right to each dependent argument of the type of  $e_1 \dots e_n$ ). When the arity of the predicate (i.e. number of abstractions) is not correct Coq rises an error message. For example:

```

Fixpoint concat [n:nat; l:(listn n)] : (m:nat) (listn m) -> (listn (plus n m)) :=
[m:nat] [l':(listn m)]
  <[n:nat](listn (plus n m))>Cases l l' of
    niln      x => x
  | (consn n' a y) x => (consn (plus n' m) a (concat n' y m x))
  end.

```

Coq < Error: The elimination predicate [n0:nat](listn (plus n0 m))  
should be of arity 2 (for non dependent case) or 4 (for dependent case).

### 9.1.3 Using pattern matching to write proofs

In all the previous examples the elimination predicate does not depend on the object(s) matched. The typical case where this is not possible is when we write a proof by induction or a function that yields an object of dependent type.

For example, we can write the function `buildlist` that given a natural number  $n$  builds a list length  $n$  containing zeros as follows:

```

Fixpoint buildlist [n:nat] : (listn n) :=
  <[n:nat](listn n)>Cases n of
    0      => niln
  | (S n) => (consn n 0 (buildlist n))
  end.

```

We can also use multiple patterns whenever the elimination predicate has the correct arity. Consider the following definition of the predicate less-equal `Le`:

```

Inductive Le : nat->nat->Prop :=
  Le0: (n:nat)(Le 0 n)
| LeS: (n,m:nat)(Le n m) -> (Le (S n) (S m)).

```

We can use multiple patterns to write the proof of the lemma  $(n,m:nat) \ (Le \ n \ m) \ \backslash / \ (Le \ m \ n)$ :

```

Fixpoint dec [n:nat] : (m:nat)(Le n m) \ / (Le m n) :=
[m:nat] <[n,m:nat](Le n m) \ / (Le m n)>Cases n m of
  0   x => (or_introl ? (Le x 0) (Le0 x))
| x   0 => (or_intror (Le x 0) ? (Le0 x))
| ((S n) as N) ((S m) as M) =>
  Cases (dec n m) of
    (or_introl h) => (or_introl ? (Le M N) (LeS n m h))
  | (or_intror h) => (or_intror (Le N M) ? (LeS m n h))
  end
end.

```

In the example of `dec` the elimination predicate is binary because we destructure two arguments of `nat` that is a non-dependent type. Note the first `Cases` is dependent while the second is not.

In general, consider the terms  $e_1 \dots e_n$ , where the type of  $e_i$  is an instance of a family type  $[\vec{d}_i : \vec{D}_i]T_i$  ( $1 \leq i \leq n$ ). Then to write `<P>Cases e1 ... en of ... end`, the elimination predicate  $\mathcal{P}$  should be of the form:  $[\vec{d}_1 : \vec{D}_1][x_1 : T_1] \dots [\vec{d}_n : \vec{D}_n][x_n : T_n]Q$ .

## 9.2 Extending the syntax of pattern

The primitive syntax for patterns considers only those patterns containing symbols of constructors and variables. Nevertheless, we may define our own syntax for constructors and may be interested in using this syntax to write patterns. Because not any term is a pattern, the fact of extending the terms syntax does not imply the extension of pattern syntax. Thus, the grammar of patterns should be explicitly extended whenever we want to use a particular syntax for a constructor. The grammar rules for the macro **Cases** (and thus for patterns) are defined in the file **Multcase.v** in the directory **src/syntax**. To extend the grammar of patterns we need to extend the non-terminals corresponding to patterns (we refer the reader to chapter of grammar extensions).

We have already extended the pattern syntax so as to note the constructor **pair** of cartesian product with "( , )" in patterns. This allows for example, to write the first projection of pairs as follows:

```
Definition fst := [A,B:Set][H:A*B] Cases H of (x,y) => x end.
```

The grammar presented in figure 9.1 actually contains this extension.

## 9.3 When does the expansion strategy fail?

The strategy works very like in ML languages when treating patterns of non-dependent type. But there are new cases of failure that are due to the presence of dependencies.

The error messages of the current implementation may be sometimes confusing. When the tactic fails because patterns are somehow incorrect then error messages refer to the initial expression. But the strategy may succeed to build an expression whose sub-expressions are well typed but the whole expression is not. In this situation the message makes reference to the expanded expression. We encourage users, when they have patterns with the same outer constructor in different equations, to name the variable patterns in the same positions with the same name. E.g. to write `(cons n 0 x) => e1` and `(cons n _ x) => e2` instead of `(cons n 0 x) => e1` and `(cons n' _ x') => e2`. This helps to maintain certain name correspondence between the generated expression and the original.

Here is a summary of the error messages corresponding to each situation:

- patterns are incorrect (because constructors are not applied to the correct number of the arguments, because they are not linear or they are wrongly typed)
  - In pattern *term* the constructor *ident* expects *num* arguments
  - The variable *ident* is bound several times in pattern *term*
  - Constructor pattern: *term* cannot match values of type *term*
- the pattern matching is not exhaustive
  - This pattern-matching is not exhaustive
- the elimination predicate provided to **Cases** has not the expected arity
  - The elimination predicate *term* should be of arity *num* (for non dependent case) or *num* (for dependent case)

- the whole expression is wrongly typed, or the synthesis of implicit arguments fails (for example to find the elimination predicate or to resolve implicit arguments in the rhs).

There are *nested patterns of dependent type*, the elimination predicate corresponds to non-dependent case and has the form  $[x_1 : T_1] \dots [x_n : T_n] T$  and **some**  $x_i$  occurs **free** in  $T$ . Then, the strategy may fail to find out a correct elimination predicate during some step of compilation. In this situation we recommend the user to rewrite the nested dependent patterns into several **Cases** with *simple patterns*.

In all these cases we have the following error message:

- Expansion strategy failed to build a well typed case expression.  
There is a branch that mismatches the expected type.  
The risen type error on the result of expansion was:
- because of nested patterns, it may happen that even though all the rhs have the same type, the strategy needs dependent elimination and so an elimination predicate must be provided. The system warns about this situation, trying to compile anyway with the non-dependent strategy. The risen message is:
  - Warning: This pattern matching may need dependent elimination to be compiled.  
I will try, but if fails try again giving dependent elimination predicate.
- there are *nested patterns of dependent type* and the strategy builds a term that is well typed but recursive calls in fix point are reported as illegal:

Error: Recursive call applied to an illegal term ...

This is because the strategy generates a term that is correct w.r.t. to the initial term but which does not pass the guard condition. In this situation we recommend the user to transform the nested dependent patterns into *several Cases of simple patterns*. Let us explain this with an example. Consider the function that yields the last element of a list and 0 if it is empty:

```
Fixpoint last [n:nat; l:(listn n)] : nat :=
Cases l of
  (consn _ a niln) => a
| (consn m _ x)    => (last m x)
| niln            => 0
end.
```

Because of the priority between patterns, we know that this definition is equivalent to the following more explicit one:

```
Fixpoint last [n:nat; l:(listn n)] : nat :=
Cases l of
  (consn _ a niln)           => a
| (consn n _ (consn m b x)) => (last n (consn m b x))
| niln                      => 0
end.
```

Note that the recursive call `(last n (consn m b x))` is not guarded. When treating with patterns of dependent types the strategy interprets the first definition of `last` as the second one<sup>†</sup>. Thus it generates a term where the recursive call is rejected by the guard condition.

You can get rid of this problem by writing the definition with *simple patterns*:

```
Fixpoint last [n:nat; l:(listn n)] : nat :=
<[_:nat]nat>Cases l of
  (consn m a x) => Cases x of
    niln => a
    | _ => (last m x)
  end
| niln => 0
end.
```

---

<sup>†</sup>In languages of the ML family the first definition would be translated into a term where the variable `x` is shared in the expression. When patterns are of non-dependent types, Coq compiles as in ML languages using sharing. When patterns are of dependent types the compilation reconstructs the term as in the second definition of `last` so to ensure the result of expansion is well typed.

# Chapter 10

## Co-inductive types in Coq

*Co-inductive* types are types whose elements may not be well-founded. A formal study of the Calculus of Constructions extended by co-inductive types has been presented in [42]. It is based on the notion of *guarded definitions* introduced by Th. Coquand in [23]. The implementation is by E. Giménez.

### 10.1 A short introduction to co-inductive types

We assume that the reader is rather familiar with inductive types. These types are characterized by their *constructors*, which can be regarded as the basic methods from which the elements of the type can be built up. It is implicit in the definition of an inductive type that its elements are the result of a *finite* number of applications of its constructors. Co-inductive types arise from relaxing this implicit condition and admitting that an element of the type can also be introduced by a non-ending (but effective) process of construction defined in terms of the basic methods which characterize the type. So we could think in the wider notion of types defined by constructors (let us call them *recursive types*) and classify them into inductive and co-inductive ones, depending on whether or not we consider non-ending methods as admissible for constructing elements of the type. Note that in both cases we obtain a “closed type”, all whose elements are pre-determined in advance (by the constructors). When we know that  $a$  is an element of a recursive type (no matter if it is inductive or co-inductive) what we know is that it is the result of applying one of the basic forms of construction allowed for the type. So the more primitive way of eliminating an element of a recursive type is by case analysis, i.e. by considering through which constructor it could have been introduced. In the case of inductive sets, the additional knowledge that constructors can be applied only a finite number of times provide us with a more powerful way of eliminating their elements, say, the principle of induction. This principle is obviously not valid for co-inductive types, since it is just the expression of this extra knowledge attached to inductive types.

An example of a co-inductive type is the type of infinite sequences formed with elements of type  $A$ , or streams for shorter. In Coq, it can be introduced using the `CoInductive` command :

```
Coq < CoInductive Set Stream [A:Set] := cons : A->(Stream A)->(Stream A).
```

The syntax of this command is the same as the command `Inductive` (cf. section 2.6). It is also possible to define a block of mutually dependent types containing inductive and co-inductive

ones. For example, the type of trees of infinite depth but finite branching can be introduced using a block of this kind :

```
Coq < Mutual [A:Set]
Coq <      CoInductive Tree   : Set := node : A -> (Forest A) -> (Tree A)
Coq <      Inductive Forest  : Set := last : (Tree A) -> (Forest A)
Coq <      | next : (Tree A) -> (Forest A) -> (Forest A).
```

The general syntax of these blocks consists in two sub-blocks of type definitions of the same kind (i.e. all inductive or all co-inductive) each one preceded by the respective key word. The general parameters are relative to both sub-blocks.

As was already said, there are not principles of induction for co-inductive sets, the only way of eliminating these elements is by case analysis. In the example of streams, this elimination principle can be used for instance to define the well known destructors on streams  $\text{hd} : (\text{Stream } A) \rightarrow A$  and  $\text{tl} : (\text{Stream } A) \rightarrow (\text{Stream } A)$  :

```
Coq < Section Destructors.
Coq < Variable A : Set.
Coq < Definition hd  := [x:(Stream A)]Case x of [a:A][s:(Stream A)]a end.
Coq < Definition tl  := [x:(Stream A)]Case x of [a:A][s:(Stream A)]s end.
Coq < End Destructors.
```

### 10.1.1 Non-ending methods of construction

At this point the reader should have realized that we have left unexplained what is a “non-ending but effective process of construction” of a stream. In the widest sense, a method is a non-ending process of construction if we can eliminate the stream that it introduces, in other words, if we can reduce any case analysis on it. In this sense, the following ways of introducing a stream are not acceptable.

$$\text{zeros} = (\text{cons nat } 0 \text{ (tl zeros)}) : (\text{Stream nat})$$

$$\text{filter (cons } A \text{ a s)} = \text{if } (P \text{ a}) \text{ then (cons } A \text{ a (filter s)) else (filter s)} : (\text{Stream } A)$$

The former it is not valid since the stream can not be eliminated to obtain its tail. In the latter, a stream is naively defined as the result of erasing from another (arbitrary) stream all the elements which does not verify a certain property  $P$ . This does not always makes sense, for example it does not when all the elements of the stream verify  $P$ , in which case we can not eliminate it to obtain its head\*. On the contrary, the following definitions are acceptable methods for constructing a stream :

$$\text{zeros} = (\text{cons nat } 0 \text{ zeros}) : (\text{Stream nat}) \quad (*)$$

$$(\text{from } n) = (\text{cons nat } n \text{ (from (S } n))) : (\text{Stream nat})$$

$$\text{alter} = (\text{cons bool true (cons bool false alter)}) : (\text{Stream bool}).$$

---

\*Note that there is no notion of “the empty stream”, a stream is always infinite and build by a `cons`.



The first one introduces a stream containing all the natural numbers greater than a given one, and the second the stream which infinitely alternates the booleans true and false.

In general it is not evident to realise when a definition can be accepted or not. However, there is a class of definitions that can be easily recognised as being valid : those where (1) all the recursive calls of the method are done after having explicitly mentioned which is (at least) the first constructor to start building the element, and (2) no other functions apart from constructors are applied to recursive calls. This class of definitions is usually referred as *guarded-by-constructors* definitions [23, 42]. The methods `from` and `alter` are examples of definitions which are guarded by constructors. The definition of function `filter` is not, because there is no constructor to guard the recursive call in the *else* branch. Neither is the one of `zeros`, since there is function applied to the recursive call which is not a constructor. However, there is a difference between the definition of `zeros` and `filter`. The former may be seen as a wrong way of characterising an object which makes sense, and it can be reformulated in an admissible way using the equation (\*). On the contrary, the definition of `filter` can not be patched, since is the idea itself of traversing an infinite construction searching for an element whose existence is not ensured which does not make sense.

Guarded definitions are exactly the kind of non-ending process of construction which are allowed in Coq. The way of introducing a guarded definition in Coq is using the special command `CoFixpoint`. This command verifies that the definition introduces an element of a co-inductive type, and checks if it is guarded by constructors. If we try to introduce the definitions above, `from` and `alter` will be accepted, while `zeros` and `filter` will be rejected giving some explanation about why.

```
Coq < Cofixpoint zeros : (Stream nat) := (cons nat 0 (tl nat zeros)).
```

```
Coq < Cofixpoint zeros : (Stream nat) := (cons nat 0 zeros).
```

```
Coq < Cofixpoint from : nat->(Stream nat) := [n:nat](cons nat n (from (S n))).
```

As in the `Fixpoint` command (cf. section 2.6.3), it is possible to introduce a block of mutually dependent methods. The general syntax for this case is :

```
Fixpoint ident1 : term1 := term'1
with
...
with identm : termm := term'm
```

### 10.1.2 Non-ending methods and reduction

The elimination of a stream introduced by a `CoFixpoint` definition is done lazily, i.e. its definition can be expanded only when it occurs at the head of an application which is the argument of a case expression. Isolately it is considered as a canonical expression which is completely evaluated. We can test this using the command `Compute` to calculate the normal forms of some terms :

```
Coq < Compute (from 0).
```

```
Coq < Compute (hd nat (from 0)).
```

```
Coq < Compute (tl nat (from 0)).
```

Thus, the equality  $(\text{from } n) \equiv (\text{cons nat } n (\text{from } (S \ n)))$  does not hold as definitional one. Nevertheless, it can be proved as a propositional equality, in the sense of Leibniz's equality. The version *à la Leibniz* of the equality above follows from a general lemma stating that eliminating and then re-introducing a stream yields the same stream.

```
Coq < Lemma unfold_Stream :
Coq <      (x:(Stream nat))(x=(Case x of (cons nat) end)).
```

The proof is immediate from the analysis of the possible cases for  $x$ , which transforms the equality in a trivial one.

```
Coq < Destruct x.
```

```
Coq < Trivial.
```

The application of this lemma to  $(\text{from } n)$  puts this constant at the head of an application which is an argument of a case analysis, forcing its expansion. We can test the type of this application using Coq's command `Check`, which infers the type of a given term.

```
Coq < Check [n:nat](unfold_Stream (from n)).
```

Actually, The elimination of  $(\text{from } n)$  has actually no effect, because it is followed by a re-introduction, so the type of this application is in fact definitionally equal to the desired proposition. We can test this computing the normal form of the application above to see its type.

```
Coq < Transparent unfold_Stream.
```

```
Coq < Compute [n:nat](unfold_Stream (from n)).
```

## 10.2 Reasoning about infinite objects

At a first sight, it might seem that case analysis does not provide a very powerful way of reasoning about infinite objects. In fact, what we can prove about an infinite object using only case analysis is just what we can prove unfolding its method of construction a finite number of times, which is not always enough. Consider for example the following method for appending two streams :

```
Coq < Variable A:Set.
```

```
Coq < CoFixpoint conc : (Stream A)->(Stream A)->(Stream A)
Coq <      := [s1,s2:(Stream A)](cons A (hd A s1) (conc (tl A s1) s2)).
```

Informally speaking, we expect that for all pair of streams  $s_1$  and  $s_2$ ,  $(\text{conc } s_1 \ s_2)$  defines the “the same” stream as  $s_1$ , in the sense that if we would be able to unfold the definition “up to the infinite”, we would obtain definitionally equal normal forms. However, no finite unfolding of the definitions gives definitionally equal terms. Their equality can not be proved just using case analysis.

The weakness of the elimination principle proposed for infinite objects contrast with the power provided by the inductive elimination principles, but it is not actually surprising. It just means that we can not expect to prove very interesting things about infinite objects doing finite proofs. To take advantage of infinite objects we have to consider infinite proofs as well. For example, if we want to catch up the equality between  $(\text{conc } s_1 \ s_2)$  and  $s_1$  we have to introduce first the type of the infinite proofs of equality between streams. This is a co-inductive type, whose elements are build up from a unique constructor, requiring a proof of the equality of the heads of the streams, and an (infinite) proof of the equality of their tails.

```

Coq < CoInductive EqSt  : (Stream A)->(Stream A)->Prop :=
Coq <           eqst  : (s1,s2:(Stream A))
Coq <           (hd A s1)=(hd A s2)->
Coq <           (EqSt (tl A s1) (tl A s2))->(EqSt s1 s2).

```

Now the equality of both streams can be proved introducing an infinite object of type  $(EqStr\ s_1\ (conc\ s_1\ s_2))$  by a `CoFixpoint` definition.

```

Coq < CoFixpoint eqproof : (s1,s2:(Stream A))(EqSt s1 (conc s1 s2))
Coq <      := [s1,s2:(Stream A)]
Coq <      (eqst s1 (conc s1 s2))
Coq <      (refl_equal A (hd A (conc s1 s2))) (eqproof (tl A s1) s2)).

```

Instead of giving an explicit definition, we can use the proof editor of Coq to help us in the construction of the proof. A tactic `Cofix` allows to place a `CoFixpoint` definition inside a proof. This tactic introduces a variable in the context which has the same type as the current goal, and its application stands for a recursive call in the construction of the proof. If no name is specified for this variable, the name of the lemma is chosen by default.

```

Coq < Lemma eqproof : (s1,s2:(Stream A))(EqSt s1 (conc s1 s2)).
Coq < Cofix.

```

An easy (and wrong!) way of finishing the proof is just to apply the variable `eqproof`, which has the same type as the goal.

```

Coq < Intros.
Coq < Apply eqproof.

```

The “proof” constructed in this way would correspond to the `CoFixpoint` definition

```

Coq < CoFixpoint eqproof : (s1:(Stream A))(s2:(Stream A))(EqSt s1 (conc s1 s2))
Coq <      := eqproof.

```

which is obviously non-guarded. This means that we can use the proof editor to define a method of construction which does not make sense. However, the system will never accept to include it as part of the theory, because the guard condition is always verified before saving the proof.

```

Coq < Qed.

```

Thus, the user must be careful in the construction of infinite proofs with the tactic `Cofix`. Remark that once it has been used the application of tactics performing automatic proof search in the environment (like for example `Auto`) could introduce unguarded recursive calls in the proof. The command `Guarded` allows to verify if the guarded condition has been violated during the construction of the proof. This command can be applied even if the proof term is not complete.

```

Coq < Restart.
Coq < Cofix.
Coq < Auto.
Coq < Guarded.
Coq < Undo.
Coq < Guarded.

```

To finish with this example, let us restart from the beginning and show how to construct an admissible proof :

```

Coq < Restart.
Coq < Cofix.

Coq < Intros.
Coq < Apply eqst.
Coq < Trivial.
Coq < Simpl.
Coq < Apply eqproof.
Coq < Qed.

```

### 10.3 Experiments with co-inductive types

Some examples involving co-inductive types are available with the distributed system, in the theories library and in the contributions of the Lyon site. Here we present a short description of their contents :

- Directory `theories/STREAMS` :
  - File `Streams.v` : The type of streams and the extensional equality between streams.
- Directory `contrib/Lyon` :
  - Directory `ARITH` : An arithmetic where  $\infty$  is an explicit constant of the language instead of a metatheoretical notion.
  - Directory `STREAM` :
    - \* File `Examples` : Several examples of guarded definitions, as well as of frequent errors in the introduction of a stream. A different way of defining the extensional equality of two streams, and the proofs showing that it is equivalent to the one in `theories`.
    - \* File `Alter.v` : An example showing how an infinite proof introduced by a guarded definition can be also described using an operator of co-recursion [43].
  - Directory `PROCESSES` : A proof of the alternating bit protocol based on Prasad's Calculus of Broadcasting Systems [81], and the verification of an interpreter for this calculus. See [43] for a complete description about this development.

# Chapter 11

## Syntax Extensions

### 11.1 Introduction

The Coq system allows not to explicitly give arguments that can be automatically inferred from the other arguments. Such arguments are called *implicit*. Typical implicit arguments are the type arguments.

An optional mode for automatic of implicit arguments is described in the first section of the chapter. When the arguments forced to be implicit by this mode does not fit with the user's habits, the command **Syntactic Definition** allows to explicitly give in advance which arguments will be implicit. This command is described in second section.

The Coq system allows also to automatically coerce the types of some objects. A typical coercion example is to coerce a function defined on a subset of a certain type into a function defined on this type. This feature is described in the third section.

At the end, the user may define arbitrary syntactic notation for the notion it handles. For this purpose, a generic and extensible grammar mechanism is described in the last section.

### 11.2 Implicit Arguments

#### 11.2.1 General presentation

The mechanism of synthesis of implicit arguments has been improved in Coq V6.1. The new one uses a simplified unification algorithm, close to the first order unification algorithm. It works better in practice.

There is now an automatic mode to declare implicit arguments of constants and variables which have a functional type. In this mode, to every declared object (even inductive type and its constructors) is associated the list of the positions of its implicit arguments. These implicit arguments correspond to the arguments which can be deduced from the following ones. Thus when one applies these functions to arguments, one can omit the implicit ones. They are then automatically replaced by symbols “?”, to be inferred by the mechanism of synthesis of implicit arguments.

The computation of the implicit arguments takes account of the unfolding of constants. For instance, the variable `p` below has a type `(Transitivity R)` which is reducible to `(x,y:U)(R x y) -> (z:U)(R y z) -> (R x z)`. As the variables `x`, `y` and `z` appear in the body of the type, they are said implicit; they correspond respectively to the positions 1, 2 and 4.

```

Coq < Implicit Arguments On.

Coq < Variable X : Type.
X is assumed

Coq < Definition Relation := X -> X -> Prop.
Relation is defined

Coq < Definition Transitivity := [R:Relation]
Coq <      (x,y:X)(R x y) -> (z:X)(R y z) -> (R x z).
Transitivity is defined

Coq < Variable R : Relation.
R is assumed

Coq < Variable p : (Transitivity R).
p is assumed

Coq < Print p.
*** [ p : (Transitivity R) ]
Position(s) [1;2;4] is (are) implicit(s)

```

Implicit Arguments *switch*.

If *switch* is **On** then the command switches on the automatic mode. If *switch* is **Off** then the command switches off the automatic mode. The mode **Off** is the default mode.

## 11.2.2 Explicit Applications

The mechanism of synthesis of implicit arguments is not complete, so we have sometimes to give explicitly certain implicit arguments of an application. The syntax is  $i!term$  where  $i$  is the position of an implicit argument and  $term$  is its corresponding explicit term. The number  $i$  is called *explicitation number*. We can also give all the arguments of an application, we have then to write  $(!ident\ term_1..term_n)$ .

**Error message :**

1. Bad explicitation number

## 11.2.3 Implicit Arguments and Pretty-Printing

The basic pretty-printing rules (in the file `PPCommand.v`) for the application has changed, to hide the implicit arguments of an application. However an implicit argument  $term$  of an application which is not followed by any explicit argument is printed as follows  $i!term$  where  $i$  is its position.

```

Coq < Variable a, b, c : X.
a is assumed
b is assumed
c is assumed

```

```

Coq < Variable r1 : (R a b).
r1 is assumed

Coq < Variable r2 : (R b c).
r2 is assumed

Coq < Check (p r1 r2).
(p r1 r2)
      : (R a c)

Coq < Check (p r1 4!c).
(p r1 4!c)
      : (R b c)->(R a c)

```

### 11.3 User's defined implicit arguments : Syntactic definitions

The syntactic definitions define syntactic constants, i.e. give a name to a term possibly untyped but syntactically correct. Their syntax is:

**Syntactic Definition** *name* := *term* .

Syntactic definitions behave like macros: every occurrence of a syntactic constant in an expression is immediately replaced by its body.

Let us extend our functional language with the definition of the identity function:

```
Coq < Definition explicit_id := [A:Set][a:A]a.
```

We declare also a syntactic definition `id`:

```
Coq < Syntactic Definition id := (explicit_id ?).
```

The term `(explicit_id ?)` is untyped since the implicit arguments cannot be synthesized. There is no type check during this definition. Let us see what happens when we use a syntactic constant in an expression like in the following example.

```
Coq < Eval (id 0).
```

First the syntactic constant `id` is replaced by its body `(explicit_id ?)` in the expression. Then the resulting expression is evaluated by the typechecker, which fills in “?” place-holders.

The standard usage of syntactic definitions is to give names to terms applied to implicit arguments “?”. In this case, a special command is provided:

**Syntactic Definition** *name* := *term* | *n* .

The body of the syntactic constant is *term* applied to *n* place-holders “?”.

We can define a new syntactic definition `id1` for `explicit_id` using this command. We changed the name of the syntactic constant in order to avoid a name conflict with `id`.

```
Coq < Syntactic Definition id1 := explicit_id | 1.
```

The new syntactic constant `id1` has the same behavior as `id`:

```
Coq < Eval (id1 0).
```

### Warnings :

- Syntactic constants defined inside a section are no longer available after closing the section.
- We cannot see the body of a syntactic constant with a `Print` command.

## 11.4 Implicit Coercions

### 11.4.1 General Presentation

We present the inheritance mechanism of Coq. In Coq with inheritance, we are not interested in adding any expressive power to our theory, but only convenience. Given a term, possibly not typable, we are interested in the problem of determining if it can be well typed modulo insertion of appropriate coercions. We allow to write:

- $(f\ a)$  where  $f : (x : A)B$  and  $a : A'$  when  $A'$  can be seen in some sense as a subtype of  $A$ .
- $x : A$  when  $A$  is not a type, but can be seen in a certain sense as a type: set, group, category etc.
- $(f\ a)$  when  $f$  is not a function, but can be seen in a certain sense as a function: bijection, functor, any structure morphism etc.

### 11.4.2 Classes

A class with  $n$  parameters is any defined name with a type  $(x_1 : A_1)..(x_n : A_n)s$  where  $s$  is a sort. Thus a class with parameters is considered as a single class and not as a family of classes. An object of a class  $C$  is any term of type  $(C\ t_1..t_n)$ . In addition to these user-classes, we have two abstract classes:

- **SORTCLASS**, the class of sorts; its objects are the terms whose type is a sort.
- **FUNCLASS**, the class of functions; its objects are all the terms with a functional type, i.e. of form  $(x : A)B$ .

### 11.4.3 Coercions

A name  $f$  can be declared as a coercion between a source user-class  $C$  with  $n$  parameters and a target class  $D$  if one of these conditions holds:

- $D$  is a user-class, then the type of  $f$  must have the form  $(x_1 : A_1)..(x_n : A_n)(y : (C\ x_1..x_n)) (D\ u_1..u_m)$  where  $m$  is the number of parameters of  $D$ .



- $D$  is **FUNCLASS**, then the type of  $f$  must have the form  $(x_1 : A_1)..(x_n : A_n)(y : (C\ x_1..x_n))(x : A)B$ .
- $D$  is **SORTCLASS**, then the type of  $f$  must have the form  $(x_1 : A_1)..(x_n : A_n)(y : (C\ x_1..x_n))s$ .

We then write  $f : C \rightarrow D$ . The restriction on the type of coercions is called *the uniform inheritance condition*. Remark that the abstract classes **FUNCLASS** and **SORTCLASS** cannot be source classes.

To coerce an object  $t : (C\ t_1..t_n)$  of  $C$  towards  $D$ , we have to apply the coercion  $f$  to it; the obtained term  $(f\ t_1..t_n\ t)$  is then an object of  $D$ .

## Identity Coercions

Identity coercions are special cases of coercions used to go around the uniform inheritance condition. Let  $C$  and  $D$  be two classes with respectively  $n$  and  $m$  parameters and  $f : (x_1 : T_1)..(x_k : T_k)(y : (C\ u_1..u_n))(D\ v_1..v_m)$  a function which does not verify the uniform inheritance condition. To declare  $f$  as coercion, one has first to declare a subclass  $C'$  of  $C$ :

$$C' := [x_1 : T_1]..[x_k : T_k](C\ u_1..u_n)$$

We then define an *identity coercion* between  $C'$  and  $C$ :

$$Id\_C'\_C := [x_1 : T_1]..[x_k : T_k][y : (C'\ x_1..x_k)] \\ (y :: (C\ u_1..u_n))$$

We can now declare  $f$  as coercion from  $C'$  to  $D$ , since we can “cast” its type as  $(x_1 : T_1)..(x_k : T_k)(y : (C'\ x_1..x_k))(D\ v_1..v_m)$ .

The identity coercions have a special status: to coerce an object  $t : (C'\ t_1..t_k)$  of  $C'$  towards  $C$ , we have not to insert explicitly  $Id\_C'\_C$  since  $(Id\_C'\_C\ t_1..t_k\ t)$  is convertible with  $t$ . However we “rewrite” the type of  $t$  to become an object of  $C$ ; in this case, it becomes  $(C\ u_1^*..u_k^*)$  where each  $u_i^*$  is the result of the substitution in  $u_i$  of the variables  $x_j$  by  $t_j$ .

### 11.4.4 Inheritance Graph

Coercions form an inheritance graph with classes as nodes. We call *path coercion* an ordered list of coercions between two nodes of the graph. A class  $C$  is said to be a subclass of  $D$  if there is a coercion path in the graph from  $C$  to  $D$ ; we also say that  $C$  inherits from  $D$ . Our mechanism supports multiple inheritance since a class may inherit from several classes, contrary to simple inheritance where a class inherits from at most one class. However there must be at most one path between two classes. If this is not the case, only the oldest one is *valid* and the others are ignored. So the order of declaration of coercions is important.

We extend notations for coercions to path coercions. For instance  $[f_1; ..; f_k] : C \rightarrow D$  is the coercion path composed by the coercions  $f_1..f_k$ . The application of a path-coercion to a term consists of the successive application of its coercions.

### 11.4.5 Commands

Class *ident*.

Declares the name *ident* as a new class.

**Error message :**

1. *ident* not declared
2. *ident* is already a class
3. Type of *ident* does not end with a sort

Class Local *ident*.

Declares the name *ident* as a new local class to the current section.

Coercion *ident:ident<sub>1</sub> >-> ident<sub>2</sub>*.

Declares the name *ident* as a coercion between *ident<sub>1</sub>* and *ident<sub>2</sub>*. The classes *ident<sub>1</sub>* and *ident<sub>2</sub>* are first declared if necessary.

**Error message :**

1. *ident* not declared
2. *ident* is already a coercion
3. FUNCLASS cannot be a source class
4. SORTCLASS cannot be a source class
5. Does not correspond to a coercion  
*ident* is not function.
6. We do not find the source class *ident<sub>1</sub>*
7. *ident* does not respect the inheritance uniform condition
8. The target class does not correspond to *ident<sub>2</sub>*

When the coercion *ident* is added to the inheritance graph, non valid path coercions are ignored; they are signaled by a warning.

**Warning :**

1. Ambiguous paths:  $[f_1^1; \dots; f_{n_1}^1] : C_1 \rightarrow D_1$   
...  
 $[f_1^m; \dots; f_{n_m}^m] : C_m \rightarrow D_m$

Coercion Local *ident:ident<sub>1</sub> >-> ident<sub>2</sub>*.

Declares the name *ident* as a local coercion to the current section.

**Identity Coercion**  $ident : ident_1 \rightarrow ident_2$ .

We check that  $ident_1$  is a constant with a value of the form  $[x_1 : T_1]..[x_n : T_n](ident_2 \ t_1..t_m)$  where  $m$  is the number of parameters of  $ident_2$ . Then we define an identity function with the type  $(x_1 : T_1)..(x_n : T_n)(y : (ident_1 \ x_1..x_n))(ident_2 \ t_1..t_m)$ , and we declare it as an identity coercion between  $ident_1$  and  $ident_2$ .

**Error message :**

1. Clash with previous constant  $ident$
2.  $ident_1$  must be a transparent constant

**Identity Coercion Local**  $ident : ident_1 \rightarrow ident_2$ .

Declares the name  $ident$  as a local identity coercion to the current section.

**Print Classes.**

Print the list of declared classes in the current context.

**Print Coercions.**

Print the list of declared coercions in the current context.

**Print Graph.**

Print the list of valid path coercions in the current context.

### 11.4.6 Coercions and Pretty-Printing

To every declared coercion  $f$ , we automatically define an associated pretty-printing rule, also named  $f$ , to hide the coercion applications. Thus  $(f \ t_1..t_n \ t)$  is printed as  $t$  where  $n$  is the number of parameters of the source class of  $f$ . The user can change this behaviour just by overwriting the rule  $f$  by a new one with the same name (see the chapter 10 of Coq's Reference Manual for more details about pretty-printing rules). If  $f$  is a coercion to **FUNCLASS**, another pretty-printing rule called  $f1$  is also generated. This last rule prints  $(f \ t_1..t_n \ t_{n+1}..t_m)$  as  $(f \ t_{n+1}..t_m)$ .

In the following examples, we changed the coercion pretty-printing rules to show the inserted coercions.

### 11.4.7 Inheritance Mechanism – Examples

There are three situations:

- $(f \ a)$  is ill-typed where  $f : (x : A)B$  and  $a : A'$ . If there is a path coercion between  $A'$  and  $A$ ,  $(f \ a)$  is transformed into  $(f \ a')$  where  $a'$  is the result of the application of this path coercion to  $a$ .

```

Coq < Variable C : nat -> Set.
C is assumed

Coq < Variable D : nat -> bool -> Set.
D is assumed

Coq < Variable E : bool -> Set.
E is assumed

Coq < Variable f : (n:nat)(C n) -> (D (S n) true).
f is assumed

Coq < Coercion f : C >-> D.
f is now a coercion

Coq < Variable g : (n:nat)(b:bool)(D n b) -> (E b).
g is assumed

Coq < Coercion g : D >-> E.
g is now a coercion

Coq < Variable c : (C 0).
c is assumed

Coq < Variable T : (E true) -> nat.
h is assumed

Coq < Check (T c).
(T (g (S 0) true (f 0 c)))
    : nat

```

We give now an example using identity coercions.

```

Coq < Definition D' := [b:bool](D (S 0) b).
D' is defined

Coq < Identity Coercion IdD'D : D' >-> D.
IdD'D is now a coercion

Coq < Print IdD'D.
IdD'D = [b:bool][x:(D' b)]x
        : (b:bool)(D' b)->(D (S 0) b)

Coq < Variable d' : (D' true).
d' is assumed

Coq < Check (T d').
(T (g (S 0) true d'))
    : nat

```

In the case of functional arguments, we use the monotonic rule of subtyping. Approximately, to coerce  $t : (x : A)B$  towards  $(x : A')B'$ , one have to coerce  $A'$  towards  $A$  and  $B$  towards  $B'$ . An

example is given below:

```
Coq < Variable A, B : Set.
A is assumed
B is assumed

Coq < Variable h : A -> B.
h is assumed

Coq < Coercion h : A >-> B.
h is now a coercion

Coq < Variable U : (A -> (E true)) -> nat.
U is assumed

Coq < Variable t : B -> (C 0).
t is assumed

Coq < Check (U t).
(U [x:A](g (S 0) true (f 0 (t (h x)))))
: nat
```

Remark the changes in the result following the modification of the previous example.

```
Coq < Variable U' : ((C 0) -> B) -> nat.
U' is assumed

Coq < Variable t' : (E true) -> A.
t' is assumed

Coq < Check (U' t').
(U' [x:(C 0)](h (t' (g (S 0) true (f 0 x)))))
: nat
```

- An assumption  $x : A$  when  $A$  is not a type, is ill-typed. It is replaced by  $x : A'$  where  $A'$  is the result of the application to  $A$  of the path coercion between the class of  $A$  and **SortClass** if it exists. This case occurs in the abstraction  $[x : A]t$ , universal quantification  $(x : A)B$ , global variables and parameters of (co-)inductive definitions and functions. In  $(x : A)B$ , such a path coercion may be applied to  $B$  also if necessary.

```
Coq < Variable Graph : Type.
Graph is assumed

Coq < Variable Node : Graph -> Type.
Node is assumed

Coq < Coercion Node : Graph >-> SortClass.
Node is now a coercion

Coq < Variable G : Graph.
```

G is assumed

Coq < Variable Arrows : G -> G -> Type.  
Arrows is assumed

Coq < Check Arrows.  
Arrows  
: (Node G)->(Node G)->Type

Coq < Variable fg : G -> G.  
fg is assumed

Coq < Check fg.  
fg  
: (Node G)->(Node G)

- ( $f\ a$ ) is ill-typed because  $f : A$  is not a function. The term  $f$  is replaced by the term obtained by applying to  $f$  the path coercion between  $A$  and **FUNCLASS** if it exists.

Coq < Variable bij : Set -> Set -> Set.  
bij is assumed

Coq < Variable ap : (A,B:Set)(bij A B) -> A -> B.  
ap is assumed

Coq < Coercion ap : bij >-> FUNCLASS.  
ap is now a coercion

Coq < Variable b : (bij nat nat).  
b is assumed

Coq < Check (b 0).  
(ap nat nat b 0)  
: nat

Let us see the resulting graph of this session.

Coq < Print Graph.  
[ap] : bij >-> FUNCLASS  
[Node] : Graph >-> SORTCLASS  
[h] : A >-> B  
[IdD'D; g] : D' >-> E  
[IdD'D] : D' >-> D  
[f; g] : C >-> E  
[g] : D >-> E  
[f] : C >-> D

#### 11.4.8 Classes as Records

We allow the definition of *Structures with Inheritance* (or classes as records) by extending the existing **Record** macro (see 2.5.3 of the Coq's Reference Manual). Its new syntax is:

```

Record ident [ params ] : sort := ident0 {
  ident1 [ :|:> ] term1;
  ...
  identn [ :|:> ] termn }.

```

The identifier *ident* is the name of the defined record and *sort* is its type. The identifier *ident<sub>0</sub>* is the name of its constructor. The identifiers *ident<sub>1</sub>*, ..., *ident<sub>n</sub>* are the names of its fields and *term<sub>1</sub>*, ..., *term<sub>n</sub>* their respective types. The alternative [ :|:> ] is “:” or “:>”. If *ident<sub>i</sub>:>term<sub>i</sub>*, then *ident<sub>i</sub>* is automatically declared as coercion from *ident* to the class of *term<sub>i</sub>*. Remark that *ident<sub>i</sub>* always verifies the uniform inheritance condition. The keyword **Structure** is a synonym of **Record**.

#### 11.4.9 Coercions and Sections

The inheritance mechanism is compatible with the section mechanism. The global classes and coercions defined inside a section are redefined after its closing, using their new value and new type. The classes and coercions which are local to the section are simply forgotten (no warning message is printed). Just as the coercions with a local source class or a local target class, and also coercions which does no more verify the uniform inheritance condition.

### 11.5 Extensible Grammars

The parsing process consists in reading an expression (a list of tokens) and deciding whether it belongs to the language or not. If it is, the parser transforms the expression into an internal form called AST (Abstract Syntax Tree). An expression belongs to the language if there exists a sequence of grammar rules that recognize it. The transformation to AST is performed by executing successively the *actions* bound to these rules. In Coq we can extend dynamically the language by adding new rules. We are going to describe this mechanism.

A grammar rule consists of:

- a grammar name: defined by a parser entry and a non-terminal. One can have two non-terminals of the same name if they are in different entries.
- a production: formed by a left member of production (LMP) and an action.

Let us comment the functional composition rule:

```

Definition explicit_comp := [A,B,C:Set] [f:A->B] [g:B->C] [a:A] (g (f a)).

```

```

Grammar command command8 :=
  [ command7($f) "o" command8($g) ] ->
  [<<(explicit_comp ? ? ? $f $g)>>].

```

The command above extends the grammar `command` `command8`, i.e. the grammar of entry `command` and of non-terminal `command8`. The new production is:

```

[ command7($f) "o" command8($g) ] -> [<<(explicit_comp ? ? ? $f $g)>>]

```

[ `command7($f)` "o" `command8($g)` ] is the LMP and  
 [<<(explicit\_comp ? ? ? \$f \$g)>>] the action.

A grammar name can have parameters. They will be instantiated by ASTs during the application of the grammar production. Parameters are separated by “;” and enclosed between “[” and “]”.

```
Coq < Grammar command command12[$p1;$p2] :=
Coq <           [ command0($p3) ] -> [<<($p1=$p2)/\($p2=$p3)>>].
```

Grammars are dynamically extended by new productions as we need. A grammar name does not have to be explicitly defined: it is defined by giving its first production. All rules of a same grammar must have the same parameters. For instance, the following rule is refused because the `command command12` grammar has been already defined with two parameters.

```
Coq < Grammar command command12[$p1] := [ command5($c) ] -> [$c].
```

A grammar may have several or zero productions. Assume that the `command command13` does not exist. The next command defines it with zero productions; of course, it may be extended later.

```
Coq < Grammar command command13 := .
```

### 11.5.1 Left Member of Productions (LMP)

A LMP is composed of a combination of tokens (enclosed between double quotes “” and “”) and grammar calls specifying the entry. It is enclosed between “[” and “]”.

The empty LMP, represented by [ ], corresponds to  $\epsilon$  in formal language theory.

A grammar call is done by *entry* : *nonterminal*[ $a_1; \dots; a_n$ ](*\$id*) where:

- *entry nonterminal* specifies the entry of the grammar, and the non-terminal.
- $a_1 \dots a_n$  are actions, arguments of the called grammar. They must correspond to the number of parameters of the grammar *entry nonterminal*. Otherwise an error occurs with the message “Bad number of arguments in the call of *entry nonterminal*”. This verification is done during the use of the rule.
- *\$id* is a metavariable that will receive the AST resulting from the call to the grammar.

The elements *entry* and (*\$id*) are optional. The grammar entry can be omitted if it is the same as the entry of the caller non-terminal. Also, (*\$id*) is omitted if we do not want to get back the AST result. Thus a grammar call can be reduced to a non-terminal.

When an LMP is used in the parsing process of an expression, it is analyzed from left to right. Every token met in the LMP should correspond to the current token of the expression. As to the grammars calls, they are performed in order to recognize parts of the initial expression.

For instance, let us see the behavior of the functional composition rules LMP.

```
Grammar command command8 :=
      [ command7($f) "o" command8($g) ] ->
      [<<(explicit_comp ? ? ? $f $g)>>].
```



When this rule is selected, its LMP calls the grammar `command command7`. This grammar recognizes a term that it binds to the metavariable `$f`. Then it meets the token “o” and finally it calls the grammar `command command8`. This grammar returns the recognized term in `$g`. The function composition rule constructs the term `(explicit_comp ? ? ? $f $g)`.

**Warning :** Metavariables are identifiers preceded by the “\$” symbol. They cannot be replaced by identifiers. For instance, if we enter the functional composition rule with identifiers and not metavariables, an error occurs.

```
Coq < Grammar command command8 := [ command7(f) "o" command8(g) ] ->
Coq <                               [<<(explicit_comp ? ? ? f g)>>].
Error: ident found which was not a metavariable: f
```

### 11.5.2 Actions

Every rule should generate an AST corresponding to the syntactic construction that it recognizes. This generation is done by an *action*. Thus every rule is associated to an action.

As we have already seen in the previous examples, the LMP and the action are separated by “->”.

We distinguish two kinds of actions: the simple actions and the conditional actions.

#### Simple Actions

A simple action is a pattern enclosed between “[” and “]”.

**Example 1 :** When an action should generate a big term, we can use `let ... in ...` expressions to construct it progressively. In the following example, from the syntax `t1*+t2` we generate the term `(plus (plus t1 t2) (mult t1 t2))`.

```
Coq < Grammar command command1 :=
Coq <           [ command0($a) "*" "+" command0($b) ] ->
Coq <           [let $p1=<<(plus $a $b)>> in let $p2=<<(mult $a $b)>> in
Coq <           <<(plus $p1 $p2)>>].
```

Let us give an example with this syntax:

```
Coq < Goal (0*+0)=0.
```

**Example 2 :** The rule below allows us to use the syntax `t1#t2` for the term `~t1=t2`.

```
Coq < Grammar command command1 :=
Coq <           [ command0($a) "#" command0($b) ] ->
Coq <           [<<~($a=$b)>>].
```

For instance, let us give the statement of the symmetry of #:

```
Coq < Goal (A:Set)(a,b:A) a#b -> b#a.
```

**Example 3 :** We extend the `command command1` grammar with a rule that generates the term `t1=t2 /\ t2=t3` for the syntax `t1=t2=t3`.

```

Coq < Grammar command command1 :=
Coq <           [ command0($x) "=" command0($y) "="
Coq <           command12[[$x];[$y]]($r) ] -> [$r] .

```

During the parsing of  $t_1=t_2=t_3$ ,  $t_1$  and  $t_2$  are recognized by the grammars `command` `command0` and are respectively bound to  $x$  and  $y$ . Then we call `command` `command12` with the arguments  $x$  and  $y$ . We show its unique production.

```

Grammar command command12[$p1;$p2] := [ command0($p3) ] ->
                                         [<<($p1=$p2)/\($p2=$p3)>>] .

```

The parameters are instantiated by the arguments  $x$  and  $y$ , thus now  $p1$  and  $p2$  bind respectively the values of  $x$  and  $y$ , i.e.  $t_1$  and  $t_2$ . The `command` `command12` grammar recognizes  $t_3$  that it binds to  $p3$ . Finally it generates the term  $t_1=t_2/\backslash t_2=t_3$  that is bound to  $r$ . The result of the `command` `command1` rule is also the value of  $r$ .

As usual we check our new syntax on an example:

```

Coq < Goal (plus (S 0) 0)=(plus 0 (S 0))=(S 0) .

```

## Conditional Actions

They are defined with the following syntax:

```

case $id of pattern1 -> action1 | ... | patternn -> actionn esac

```

The action to execute is chosen according to the value of the metavariable  $id$ . This metavariable should be previously bound (for example, during a grammar call or as a parameter).

The matching is performed from left to right. The selected action is the one associated to the first pattern that matches the value of  $id$ . This matching operation will bind the metavariables appearing in the selected pattern.

Let us take an example. Suppose we want to change the syntax of dependent types. We enter a grammar rule that recognizes terms of the form  $|t_1 \text{ in } t_2|t_3$  where  $t_1$ ,  $t_2$  and  $t_3$  are terms respectively recognizable by `command` `lcommand`, `command` `command` and `command` `command` grammars.

```

Coq < Grammar command command0 :=
Coq <           [ "|" lcommand($v) "in" command($type) "|"
Coq <           command($body) ] ->
Coq <           case $v of ($VAR $id) ->
Coq <           [<<($id:$type)$body>>] esac.

```

During the parsing of  $|t_1 \text{ in } t_2|t_3$  by this rule, the bindings  $(v,t_1)$ ,  $(type,t_2)$  and  $(body,t_3)$  are created. Then we compare the value of  $v$ , i.e.  $t_1$ , with the pattern  $(VAR\ id)$  (representing the general form of a variable AST). If this matching succeeds,  $id$  is bound to the identifier contained in  $t_1$ .

We reformulate the statement of the symmetry of #:

```
Coq < Goal |a in nat||b in nat| a#b -> b#a.
```

In the case where the matching fails, i.e. no `case` pattern matches the metavariable `$id`, the parsing fails and an error occurs. For instance:

```
Coq < Goal |(S 0) in nat|0=0.
```

Our dependent type rule fails because `(S 0)` is not a variable.

Several `case` structures can be interwoven since each *action<sub>i</sub>* can be also a `case` structure. Of course it should be finished by a simple action and the executed action will be the action finally selected.

Let us extend our previous example to recognize the dependent types `|t1,t2 in t3|t4`. We use two embedded `case` statements in order to verify that `t1` and `t2` are variables.

```
Coq < Grammar command command0 :=
Coq <           [ "|" lcommand($v1) "," lcommand($v2) "in"
Coq <           command($type) "|" command($body) ] ->
Coq <           case $v1 of ($VAR $id1) ->
Coq <           case $v2 of ($VAR $id2) ->
Coq <           [<<($id1,$id2:$type)$body>>] esac
Coq <           esac.
```

We may use this syntax to write the symmetry of `#` in a more readable way:

```
Coq < Goal |a,b in nat| a#b -> b#a.
```

### 11.5.3 Entries

All the given examples concern the predefined entry `command`. However there exist other predefined entries. Each of them (except `prim`) possesses an initial grammar for starting the parsing process.

Four grammar entries are predefined.

- **command** : it is the term entry. It allows to have a pretty syntax for terms. Its initial grammar is `command command`. This entry contains several non-terminals, among them `command0` to `command10` which stratify the terms according to priority levels (0 to 10).

**Example :** Let us see the grammar rules of conjunction and disjunction defined in the file `PreludeSyntax.v`. Conjunction is defined with the non-terminal `command6` and disjunction with `command7`: disjunction has a higher priority than conjunction. Thus `A/\B/C` will be parsed as `A/(B/C)` and not as `(A/\B)/C`. In the grammar rules, the character “\” must be doubled since it is the escape character of strings in Objective Caml, the implementation language of Coq.

```
Grammar command command6 :=
      [ command5($c1) "/\\" command6($c2) ] ->
      [<<(and $c1 $c2)>>].
```

```
Grammar command command7 :=
      [ command6($c1) "\\/" command7($c2) ] ->
      [<<(or $c1 $c2)>>].
```

These priority levels allow us also to specify the order of associativity of operators. Thus conjunction and disjunction associate to the right since in both cases the priority of the right term (resp. `command6` and `command7`) is higher than the priority of the left term (resp. `command5` and `command6`).

- **vernac** : it is the vernacular command entry, with **vernac vernac** as initial grammar. Thanks to it, the developers can define the syntax of new commands they add to the system. As to users, they can change the syntax of the predefined vernacular commands.
- **tactic** : it is the tactic entry with **tactics tactic** as initial grammar. This entry allows to define the syntax of new tactics. See the tactics manual for more details.
- **prim** : it is the entry of the primitive grammars. The next section is devoted to it.

The user can define new entries.

```
Coq < Grammar newentry nonterm :=
Coq <           [ "&" command:command($c) ] -> [$c].
```

The grammars of new entries do not have an initial grammar. To use them, they must be called (directly or indirectly) by grammars of predefined entries. We give an example of a (direct) call of the grammar **new-entry nonterm** by **command command**. This following rule allows to use the syntax **a&b** for the conjunction **a/\b**.

```
Coq < Grammar command command :=
Coq <           [ command8($a) newentry:nonterm($b) ] ->
Coq <           [<<$a/\$b>>].
```

It is interesting to note that the basic syntax of the system is described by the extensible grammar mechanism. This syntax is described in the following files in the directory **src/syntax**.

- **Command.v**: term syntax.
- **Tactic.v**: vernacular command syntax.
- **Vernac.v**: tactic syntax.

To know the non-terminals in the predefined entries, one can consult these files.

#### 11.5.4 Primitive Grammars

The primitive grammars are not defined by the extensible grammar mechanism. They are encoded inside the system.

The **prim** entry contains the following non-terminals:

- **ident** : identifier grammar.
- **number** : number grammar.
- **string** : string grammar.

- `unparsing` : pretty-printing grammar.
- `grammar_entry` : grammar of the extensible grammar mechanism. It corresponds to the non-terminal  $\langle Grammar\_entry \rangle$  in the figure 11.1.
- `spat` : pattern grammar.
- `raw_command` : AST grammar.

The primitive grammars are used as the other grammars; for instance the identifier grammar call is done by `prim:ident($id)`.

These primitive grammars cannot be extended. However the user can define new non-terminals in the `prim` entry, as for the other entries.

### 11.5.5 Patterns

Patterns describe AST to generate during the grammar rules application. They appear in the action part of grammar rules.

In the general case, the user does not have to put explicitly an AST in the action of his rules. Indeed, if the AST to generate corresponds to a well formed term, one can call a grammar to parse it and to return the AST result. For instance, in the functional composition grammar, the pattern bound to `$0` is `<<(explicit_comp ? ? ? $f $g)>>`.

Recall that this rule parses expressions of the form `t1 o t2` and generates the term `(explicit_comp ? ? ? t1 t2)`. This term is parsable by `command command` grammar. This grammar is invoked on this term to generate an AST by putting the term between “<<” and “>>”.

We can also invoke the initial grammars of the other predefined entries.

- `<< t >>` parses `t` with `command command` grammar.
- `<:command:< t >>` parses `t` with `command command` grammar.
- `<:vernac:< t >>` parses `t` with `vernac vernac` grammar.
- `<:tactic:< t >>` parses `t` with `tactic tactic` grammar.

For a complete description of patterns and AST, see the pretty-printing manual.

**Warning :** We cannot invoke other grammars than those we described.

### 11.5.6 Other examples

We give some applications to the entries `vernac` and `tactic`.

**Example 1 :** Thanks to the following rule, “`|- term.`” will have the same effect as “`Goal term.`”.

```
Coq < Token "-".
```

```
Coq < Grammar vernac vernac :=
```

```
Coq <           [ "|" "-" command:command($term) "." ] ->
```

```
Coq <           [<:vernac:<Goal $term.>>].
```

```
Coq < |- (A:Prop)A->A.
```

**Example 2 :** We can adapt the vernacular commands to use keywords in different languages than English. Thus for instance, after entering the following rule the `Recommencer` command will correspond to `Restart`.

```
Coq < Grammar vernac vernac :=
Coq <           [ "Recommencer" "." ] -> [<:vernac:<Restart.>>].
```

**Example 3 :** We can give names to repetitive tactic sequences. Thus in this example “IntSp” will correspond to the tactic `Intros` followed by `Split`.

```
Coq < Grammar tactic simple_tactic :=
Coq <           [ "IntSp" ] -> [<:tactic:<Intros; Split>>].
```

Let us check that this works.

```
Coq < Goal (A,B:Prop)A/\B -> B/\A.
Coq < IntSp.
```

### 11.5.7 A word on grammar compiling

The choice of the sequence of grammar rules to use in the parsing of an expression is done according to an algorithm called the parsing method. This sequence should be unique otherwise we say that there is an ambiguity. The parsing methods are classified according to the grammar class they accept as input. In our case, the method used is close to the *LL*(1) method. The *LL*(1) grammars are those for which we can choose the grammar rule to apply by seeing only the current token in the expression. There exists an algorithm to verify if a grammar is *LL*(1) or not; it is based on the construction of two token sets *firsts* and *nexts* for each LMP.

In our case, we only construct the *firsts* set. The *firsts* set of a LMP is formed by the first tokens of the expressions it can recognize. It contains  $\epsilon$  if the LMP can recognize the empty expression  $\epsilon$ .

We are going to describe briefly the method used by Coq to verify the non-ambiguity of a grammar. If the grammar is non-ambiguous, it is transformed into a form called compiled grammar. This processes of verification and transformation is called *compiling*.

Compiling a grammar consists in factoring (i.e. taking the longest common factor) its LMP that have the same *firsts* sets.

We execute recursively this operation on the new LMP (i.e. the initial LMP without their common factor). There are two halting cases:

1. The LMP we process have the same *firsts* set but have no common factor. The grammar is refused.
2. All the LMP we process have different *firsts* sets. The grammar is accepted and its compiled form is the grammar with all the factorizations already performed. This grammar is stocked in the compiled grammar table.

When we extend a grammar with one or several rules, we should recompile it but also recompile all the grammars that mention it in their LMP. To avoid frequent recompilings, the new rules added are not immediately compiled but only stocked in the uncompiled grammars table. The grammars of this table are compiled when the system needs to consult the compiled grammars table, i.e. there is no recompilings during a parsing using primitive grammars. During a recompiling, the system prints the message `[Recompiling  $n$  nonterminal(s)...]` where  $n$  is the number of the grammars it recompiles.

**Example :** A trivial (and frequent) example of ambiguous grammar is a grammar with two identical LMP. The following rule has the same LMP that the function composition rule.

```
Coq < Grammar command command8 :=
Coq <           [ command7($A) "o" command8($B) ] ->
Coq <           [<<($A /\ $B)>>].
```

This rule is not immediately compiled. It will be compiled when the system will do a parsing with non-primitive grammars. For instance, to parse the command `"Eval 0 *+ 0."`, the system should recompile all the uncompiled grammars, `command command8` in our case. An error occurs and the rule is refused. The parsing does not fail and is done with the rules already compiled.

```
Coq < Eval 0 *+ 0.
```

Let us comment on the error message. It indicates that the extended grammar `command command8` is not  $LL(1)$  because after factorization, we obtain two empty `[]` LMP. These LMP have the same firsts set ( $\{\epsilon\}$ ) but do not have a common factor. It is the first halting case: the extended grammar is refused.

More complicated cases of ambiguous grammars may arise. There is no universal solution: the user itself should transform its grammar to be accepted by the system. However, it does not have to remember all the rules entered in the system. Indeed the `Print Grammar` will do it for him.

```
Coq < Print Grammar command command8.
```

Note that the actions are printed as AST.

### 11.5.8 Limitations

The extensible grammar mechanism have two serious limitations.

- There is no command to remove a grammar rule. However there is a trick to do it. It is sufficient to execute the `"Reset"` command on a constant defined before the rule we want to remove. Thus we retrieve the state before the definition of the constant, then without the grammar rule.
- Grammar rules defined inside a section are automatically removed after the end of this section: they are available only inside it.

### 11.5.9 Extensible Grammar Syntax

It is possible to extend a grammar with several rules at once.

$$\begin{array}{lcl} \text{Grammar } univers & \text{nonterminal} & := \text{production}_1 \\ & & | \vdots \\ & & | \text{production}_n . \end{array}$$

Also, we can extend several grammar at the same time.

$$\begin{array}{lcl} \text{Grammar } univers & \text{nonterminal}_1 & := \text{production}_1^1 \\ & & | \vdots \\ & & | \text{production}_{n_1}^1 \\ \text{with} & \vdots & \\ \text{with} & \text{nonterminal}_p & := \text{production}_1^p \\ & & | \vdots \\ & & | \text{production}_{n_p}^p . \end{array}$$

We give the exact syntax for the extensible grammar mechanism. We use the BNF notation.



```

    <Grammar> ::= Grammar <Entry> <Grammar_entry> {with <Grammar_entry>} .

    <Entry> ::= vernac | command | tactic | prim | <Identifier>

    <Grammar_entry> ::= <NonTerminal> [<Parameters>] := [<Production> { | <Production>}]

    <NonTerminal> ::= <Identifier>

    <Parameters> ::= [ [<Meta> {;<Meta>}] ]

    <Production> ::= <LMP> -> <Action>

    <LMP> ::= [ {<Production_item>} ]

    <Production_item> ::= " <Token> " | <NonTerminalCall>

    <NonTerminalCall> ::= [<Entry> :] <NonTerminal> [<Args>] [<Res>]

    <Args> ::= [ [<Action> {;<Action>}] ]

    <Res> ::= ( <Meta> )

    <Action> ::= [ [ {let <Binding> in}<Pattern>] ]
                | case <Meta> of <Case> { | <Case>} esac
                | ( <Action> )

    <Binding> ::= <Meta> = <Pattern>

    <Case> ::= <Pattern> -> <Action>

```

Figure 11.1: Extensible Grammar Syntax



## Chapter 12

# Writing your own pretty printing rules

### 12.1 Introduction

There is a mechanism for extending the vernacular's parser and printer by adding, in an interactive way, new grammar and printing rules. The printing rules will be stocked into a table and will be recovered at the moment of the printing by the vernacular's printer.

The user can now define new constants, tactics and vernacular phrases with his desired syntax. The binding is dynamic. The printing rules for new constants should be written after the definition of the constant. This is to ensure that the symbols occurring in the pattern of the rule will be dynamically correctly bound. The rules should be outside a section if the user wants them to be exported.

The printing rules corresponding to the heart of the system (primitive tactics, commands and the vernacular language) are defined, respectively, in the files `PPTactic.v`, `PPCommand.v` and `PPVernac.v` (in the directory `src/syntax`). These files are automatically loaded by the file `main.ml` in the `src` directory. The user is not expected to modify these files unless he dislikes the way primitive things are printed, in which case he will have to compile the system after doing the modifications.

The system also uses the vernacular printer to report the vernacular phrases causing an error. When extending the printer, the error reporting mechanism is also implicitly extended. One way to test the printing rules for a certain phrase is to give it to Coq in a wrong environment, just to look at the reported error message. When the system fails to find a suitable printing rule, a tag `#GENTERM` appears in the message.

In the following we give some examples showing how to write the printing rules for the non-terminal and terminal symbols of a grammar. We will test them frequently by inspecting the error messages. Then, we give the grammar of printing rules and a description of its semantics. The syntax of the patterns that can appear in either grammar or printing rules is described in section 12.4.

## 12.2 The Printing Rules

### 12.2.1 The printing of non terminals

The printing is the inverse process of parsing. While a grammar rule maps an input string into an abstract syntax tree (ast), a printing rule maps an ast into an output string. So given a certain grammar rule, the printing rule can be obtained by inverting the grammar rule.

A printing rule is of the form :

**Syntax** *universe name DPattern precedence printing\_order rec\_bindings*.

where :

- *universe* is an identifier denoting the universe of the ast to be printed. There is a correspondence between the universe of the grammar rule used to generate the ast and the one of the printing rule :

<i>Univ. Grammar</i>	<i>Univ. Printing</i>
vernac	vernac
tactic	tactic
command	constr

- *name* is an identifier corresponding to the name of the printing rule. A rule is identified by both its universe and name, if there are two rules with both the same name and universe, then the last one overrides the former.
- *DPattern* is a pattern that matches the ast to be printed. The syntax of patterns is very similar to the patterns for grammar rules. A description of their syntax is given in section 12.4.
- *precedence* is positive integer indicating the precedence of the rule. In general the precedence for tactics and vernacular phrases is 0. The universe of commands is implicitly stratified by the hierarchy of the parsing rules. We have non terminals *command0* , *command1*, etc. The idea is that objects parsed with the non terminal *command<sub>i</sub>* have precedence *i*. In most of the cases we fix the precedence of the printing rules for commands to be the same number of the non terminal with which it is parsed.
- *printing\_order* is the sequence of orders indicating the concrete layout of the printer.
- *rec\_bindings* is used to deal with recursion in the printing rules and it is optional.

**Example 1 :** *Defining the syntax for new tactics*

Let's see the production of a new tactic **MyExact** with the same syntax as the primitive tactic **Exact** :

```
Coq < Grammar tactic simple_tactic :=
Coq <   [ "MyExact" comarg($c) ] -> [(MyExact $c)].
```

If we try to use **MyExact 0** the system reports an error with the tag **#GENTERM** appearing in it :

```
Coq < MyExact 0.
```

The vernacular's printer does not know how to print that phrase. Considering that printing rules for objects of `comarg` have already been defined, let's see a possible rule for our tactic `MyExact` :

```
Coq <
Syntax tactic myexact <:tactic: <MyExact $c>> 0 "MyExact "<$c:"CommandArg":*>.
```

The universe of the tactics is `tactic` and the name of the rule is `myexact`. Between `<:tactic:` and `>>` we are allowed to use the syntax of tactics. The system will call the parser of tactics to determine the structure of the ast. 0 is the precedence for tactics.

The printing order `"MyExact " <$c:"CommandArg":*>` tells to print the string `MyExact` followed by its command argument. The string `"CommandArg"` gives information about the value of `$c` and it is just for documentation purposes. The `*` tells not to put parentheses around the value of `$c`.

Now if we try `MyExact 0`. We see it is well printed in the error message.

```
Coq < MyExact 0.
```

Another way to obtain the printing rule is by inverting the grammar production using exactly the same pattern of the grammar rule :

```
Coq < Syntax tactic myexact (MyExact $c) 0 "MyExact "<$c:"CommandArg":*>.
```

**Example 2 :** *Defining the syntax for new constants.*

Let's define the constant `Xor` in Coq :

```
Coq < Definition Xor := [A,B:Prop] A/\~B \/ ~A/\B.
```

Given this definition, we may want to use the syntax of `A X B` to denote `(Xor A B)`. To do that we give the grammar rule :

```
Coq < Grammar command command7 :=
Coq < [ command6($c1) "X" command7($c2) ] -> [<<(Xor $c1 $c2)>>].
```

Note that the operator is associative to the right. Now `True X False` is well parsed :

```
Coq < Goal True X False.
```

To have it well printed we extend the printer :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 7
Coq < <$t1:"term":L> " X " <$t2:"term":E>.
```

and now we have the desired syntax :

```
Coq < Show.
```

Let's comment the rule :

- `constr` is the universe of the printing rule.
- `Pxor` is the name of the printing rule.
- `<<(Xor $t1 $t2)>>` is the pattern of the ast to be printed. Between `<<` `>>` we are allowed to use the syntax of command. Metavariables may occur in the pattern but preceded by `$`.
- 7 is the rule's precedence and it is the same one than the parsing production (`command7`).
- `<$t1:"term":L> " X " <$t2:"term":E>` are the printing orders, it tells to print the value of `$t1` then the symbol `X` and then the value of `$t2`.

The `L` in the little box `<$t1:"term":L>` indicates not to put parentheses around the value of `$t1` if its precedence is **less** than the rule's one. An `E` instead of the `L` would mean not to put parentheses around the value of `$t1` if its the precedence is **less or equal** than the rule's one. In the example before we saw that with the option `*` no parenthesis are written around the value of `$t1`.

The associativity of the operator can be expressed in the following way :

`<$t1:"term":L> " X " <$t2:"term":E>` associates the operator to the right.

`<$t1:"term":E> " X " <$t2:"term":L>` associates to the left.

Note that while grammar rules are related by the name of non-terminals (`command6` and `command7`) printing rules are isolated. The *Pxor rule* tells how to print an "Xor expression" but not how to print its subterms. The printer looks up recursively the rules for the values of `$t1` and `$t2`. The selection of the printing rules is strictly determined by the structure of the ast to be printed.

### Example 3 : Forcing to parenthesize a new syntactic construction

You can force to parenthesize a new syntactic construction by fixing the precedence of its printing rule to a number greater than 9. For example a possible printing rule for the Xor connector in the prefix notation would be :

```
Coq < Syntax constr ex_imp <<(Xor $t1 $t2)>> 10
Coq <          "X " <$t1:"term":L> " " <$t2:"term":L> .
```

No explicit parentheses are contained in the rule, nevertheless, when using the connector, the parentheses are automatically written :

```
Coq < Show.
```

A precedence higher than 9 ensures that the ast value will be parenthesized by default in either the empty context or if it occurs in a context where the instructions are of the form `<$t:"string":L>` or `<$t:"string":E>`.

### Example 4 : Dealing with list patterns in the syntax rules

The following productions extend the parser to recognize a tactic called `MyIntros` that receives a list of identifiers as argument as the primitive `Intros` tactic does :

```

Coq < Grammar tactic my_ne_identarg_list :=
Coq <   [ identarg($id) my_ne_identarg_list($idl) ] -> [($CONS $id $idl)]
Coq < | [ identarg($id) ] -> [($LIST $id)].

```

```

Coq < Grammar tactic simple_tactic :=
Coq <   [ "MyIntros" my_ne_identarg_list($idl) ] ->
Coq <       [($OPER{MyIntrosWith} $idl)].

```

The non terminal `my_ne_identarg_list` defines the non-empty lists of identifiers. The patterns `($CONS $id $idl)` and `($LIST $id)` are list patterns. The former denotes a list pattern of *at least one element*, and the latter a list of *exactly one element*. The list pattern `($LIST)` and `($NIL)` denote the *empty list*. Note that both the patterns `($CONS $id $idl)` and `($LIST $id)` may denote a list of only one element.

To define the printing rule for `MyIntros` it is necessary to define the printing rule for the non terminal `my_ne_identarg_list`. In grammar productions the dependency between the non terminals is explicit. This is not the case for printing rules, where the dependency between the rules is determined by the structure of the pattern. So, the way to make explicit the relation between printing rules is by adding structure to the patterns.

```

Coq < Syntax tactic myintroswith ($OPER{MyIntrosWith} $L) 0
Coq <   "MyIntros " <$IDLIST:"identifiers":*>
Coq <   with $IDLIST:=( $OPER{MYNEIDENTARGLIST} $L ).

```

This rule says to print the string `MyIntros` and then to print the value of `$IDLIST`. This variable is bound to the pattern `($OPER{MYNEIDENTARGLIST} $L)`. This is an example of printing rule with bindings, in this case there is only one but there may be an arbitrary list of bindings after the `with`.

The operator `$OPER{<id>}` injects a list pattern into patterns. The name of the injection `MYNEIDENTARGLIST`, was arbitrarily selected. The following rules indicate how to print an ast with that structure :

```

Coq < Syntax tactic my_ne_identarg_list_cons
Coq <   ($OPER{MYNEIDENTARGLIST} ($CONS $id $l)) 0
Coq <   <$id : "Ident":*> " " <$TAIL: "Tail":*>
Coq <   with $TAIL := ($OPER{MYNEIDENTARGLIST} $l).

Coq <
Coq < Syntax tactic my_ne_identarg_list_single
Coq <   ($OPER{MYNEIDENTARGLIST} ($LIST $id)) 0
Coq <   <$id : "Ident":*>.

```

The first rule says how to print a non-empty list, while the second one says how to print the list with exactly one element. Note that the pattern structure of the binding in the first rule ensures its use in a recursive way.

While the order of grammar productions is not relevant, the order of printing rules is. In case of two rules whose patterns superpose each other the **last** rule is always chosen. In the example, if the last two rules were written in the inverse order the printing will not work, for

only the rule *my\_ne\_identarg\_list\_cons* would be recursively retrieved and there is no rule for the empty list. Other possibilities would have been to write a rule for the empty list instead of the *my\_ne\_identarg\_list\_single* rule.

```
Coq < Syntax tactic my_ne_identarg_list_nil ($OPER{MYNEIDENTARGLIST} ($LIST)) 0.
```

This rule indicates to do nothing in case of the empty list. In this case there is no superposition between patterns (no critical pairs) and the order is not relevant.

**Example 5 :** *Defining constants with arbitrary number of arguments*

Sometimes the constants we define may have an arbitrary number of arguments, the typical case are polymorphic functions. Let's consider for example the composition operator presented in the documentation of grammars defined by :

```
Coq < Definition explicit_comp := [A,B,C:Set][f:A->B][g:B->C] [a:A] (g (f a)).
```

The following rule extend the parser :

```
Coq < Grammar command command6 :=
Coq < [command5($c1) "o" command6($c2) ] -> [<<(explicit_comp ? ? ? $c1 $c2)>>].
```

Our first idea is to write the printing rule just by "inverting" the production :

```
Coq < Syntax constr pp_comp <<(explicit_comp ? ? ? $f $g)>> 6
Coq <      <$f:"Term":L> "o" <$g:"Term":L>.
```

This rule is not correct : ? is not allowed as a metavariable identifier for patterns in printing rules. If we had used the pattern <<(explicit\_comp \$ \_ \$ \_ \$f \$g)>> instead, the rule will be used only in rare cases : when the values associated to each occurrence of \$ \_ are the same. The reason is that \$ \_ does not denote an anonymous metavariable.

The process of matching an ast with a pattern tests that all the ast values associated to the same metavariable in the pattern are the same. There is **no syntax** for denoting anonymous metavariables in patterns of printing rules. This means that, for every metavariable occurring several times in the pattern, this test is done. In particular, for the identifier \$ \_ . This is an important difference between the syntax of patterns in grammar rules and in printing rules. Here is a correct version of this rule :

```
Coq < Syntax constr pp_comp <<(explicit_comp $1 $2 $3 $f $g)>> 6
Coq <      <$f:"Term":L> "o" <$g:"Term":L>.
```

Let's test the printing rule :

```
Coq < Definition Id := [A:Set] [x:A]x.
Coq < Eval (Id nat) o (Id nat).
Coq < Eval ((Id nat)o(Id nat) 0).
```



In the first case the rule was used, while in the second one the system failed to match the pattern of the rule with the ast of  $((\text{Id nat}) \circ (\text{Id nat}) \ 0)$ . Internally the ast of this term is the same as the ast of the application  $(\text{explicit\_comp nat nat nat } (\text{Id nat}) (\text{Id nat}) \ 0)$ . When the system retrieves our rule it tries to match an application of six arguments with an application of five arguments (the ast of  $(\text{explicit\_comp } \$1 \ \$2 \ \$3 \ \$f \ \$g)$ ). Then, the matching fails and the term is printed using the rule for application.

Note that the idea of adding a new rule for `explicit_comp` for the case of six arguments does not solve the problem, because of the polymorphism, we can always build a term with one argument more. The rules for application deal with the problem of having an arbitrary number of arguments by using list patterns. Let's see these rules :

```
Coq < Syntax constr   app ($OPER{APPLIST} ($CONS $H $T)) 10
Coq <   [<hov 0> <$H:"Function":E> <$P2:"Argument":E>  ]
Coq <   with $P2:=( $OPER{APPTAIL} $T) .

Coq <
Coq < Syntax constr   apptailcons ($OPER{APPTAIL} ($CONS $H $T)) 10
Coq <   [1 1] <$H:"Arg":L> <$TL:"Tail":E> with $TL:=( $OPER{APPTAIL} $T) .
Coq < Syntax constr   apptailnil ($OPER{APPTAIL} ($LIST)) 10.
```

The first rule prints the operator of the application, and the second prints the list of arguments. Then, one solution to our problem is to specialize the first rule of the application to the cases where the operator is `explicit_comp` and the list pattern has **at least** five arguments :

```
Coq < Syntax constr pp_comp
Coq <   ($OPER{APPLIST} ($CONS (CONST {#explicit_comp.cci}) ($CONS $1 ($CONS $2
Coq <   ($CONS $3 ($CONS $f ($CONS $g $1))))))) 10
Coq <   <$f:"Term":L> "o" <$g:"Term":L> " " <$L:" " :*>
Coq <   with $L := ($OPER{APPTAIL} $1) .
```

Now we can see that this rule works for any application of the operator :

```
Coq < Eval ((Id nat) o (Id nat) 0) .
Coq < Eval ((Id nat->nat) o (Id nat->nat) [x:nat]x 0) .
```

In the examples presented by now, the rules have no information about how to deal with indentation, break points and spaces, the printer will write everything in the same line without spaces. To indicate the concrete layout of the patterns, there's a simple language of printing instructions that will be described in the following section.

### 12.2.2 The printing of terminals

The user is not expected to write the printing rules for terminals, this is done automatically. Primitive printing is done for :

- arguments of the `$PRIM` operator. The grammar `prim` yields ast values that can be decomposed by patterns of the form  $(\$PRIM \ \$id)$  , then the printing of the value associated to  $\$id$  is done automatically.

Let's see for example the rules for `MyCd` :

```
Coq < Grammar vernac vernac :=
Coq <   [ "MyCd" prim:string($dir) "." ] -> [(MYCD $dir)].
```

If we write the naive rule :

```
Coq < Syntax vernac mycd (MYCD $dir) 0
Coq <   "MyCd " <$dir:"string":*>.
```

It will not work :

```
Coq < MyCd "dir".
```

The metavariable `$dir` is bound to an ast value that should still be destructured by a pattern having a `$PRIM` :

```
Coq < Syntax vernac mycd (MYCD ($PRIM $dir)) 0
Coq <   "MyCd " <$dir:"string":*>.
```

Now the result is correct :

```
Coq < MyCd "dir".
```

Sometimes printing rules may be different depending whether the terminal has been parsed by `prim:string` or `prim:ident`, etc. For that there is a way to destructure a terminal with `$PRIM` specifying the desired injection (or "type"). The possible injections are `INT`, `STRING`, `PATH`, `IDENT` or `DYN`.

In the example of `MyCd` we would have written the rule with the injection `STRING`.

```
Coq < Syntax vernac mycd (MYCD ($PRIM $dir (SOME {STRING}))) 0
Coq <   "MyCd " <$dir:"string":*>.
```

- The ast values with pattern structure (`$VAR $id`).

For example, given the grammar rule :

```
Coq < Grammar tactic identarg := [ prim:ident($id) ] -> [($VAR $id)].
```

```
Coq < Grammar tactic simple_tactic :=
Coq <   [ "MyIntro" identarg($id) ] -> [(MyIntrosWith $id)].
```

The following printing rule is correct :

```
Coq < Syntax tactic myintrosWith (MyIntrosWith $id) 0
Coq <   "Intro " <$id:"identifier":*>.
```

The system knows how to print an ast value having the structure (`$VAR value`).

## 12.3 Syntax for pretty printing rules

This section describes the syntax for printing rules. The metalanguage conventions are the same as those specified for the definition of the *Pattern*'s syntax in section 12.4. The grammar of printing rules is the following:

```

PrintingRule ::=
    Syntax ident ident DPattern precedence printing_order* rec_bindings .

are: precedence ::= int | [ int int int ]

rec_bindings ::=  $\epsilon$  | with binding+

binding ::= metav := patt

printing_order ::=
    FNL
    | string
    | [ int int ]
    | [ box printing_order* ]
    | < metav : string : paren_rel >

box ::= < box_type int >

box_type ::= hov | hv | v | h

paren_rel ::= * | L | R

```

*DPattern* is **almost** the same set of patterns defined by *Pattern*<sup>\*</sup>. The main differences are :

- (a) there is no syntax for anonymous metavariables ( $\$_$  is just a common identifier).
- (b) there is a new kind of pattern that allows to destructure ast the values generated by the grammars `prim`. These patterns are of the form :
 

```
($PRIM metav )
($PRIM metav (SOME { inj })))
```

 where *inj* may be INT, STRING, PATH, IDENT, DYN.
- (c) the operator `$APPEND` is not available any more.

Note that while patterns in printing rules are destructive, patterns in the bindings of the printing rules are constructive.

### 12.3.1 Pretty grammar structures

The basic structure is the printing order sequence. Each order has a printing effect and they are sequentially executed. The orders can be :

---

<sup>\*</sup>see the description of *Pattern* in section 12.4

- printing orders
- printing boxes

## Printing orders

Printing orders can be of the form :

- `"string "` prints the *string*.
- FNL force a new line.
- `< $id : comment : paren_rel >` at the moment of the printing, *\$id* is bound to an ast value. The printer looks up the adequate printing rule for that ast value and applies recursively this method. Recursion of the printing is determined by the pattern's structure. *comment* is just an arbitrary string used for documentation purposes. If *t* is the ast value associated to *\$id*, then the meaning of *paren\_rel* is the following :
  - L if *t*'s precedence is **less** than the rule's one, then no parentheses around *t* are written.
  - E if *t*'s precedence is **less or equal** than the rule's one then no parentheses around *t* are written.
  - \* **never** write parentheses around *t*.

## Printing boxes

The concept of formatting boxes is used to describe the concrete layout of patterns : a box may contain many objects which are orders or subboxes sequences separated by breakpoints; the box wraps around them an imaginary rectangle.

### 1. Box types

The type of boxes specifies the way the components of the box will be displayed and may be :

- **h** : to concatenate objects horizontally.
- **v** : to concatenate objects vertically.
- **hv** : to concatenate objects as with an "h box" but an automatic vertical folding is applied when the horizontal composition does not fit into the width of the associated output device.
- **hov** : to concatenate objects horizontally but if the horizontal composition does not fit, a vertical composition will be applied, trying to concatenate horizontally as many objects as possible.

The type of the box can be followed by a *n* offset value, which is the offset added to the current indentation when breaking lines inside the box.

### 2. Boxes syntax

A box is described by a sequence surrounded by `[ ]`. The first element of the sequence is the box type : this type surrounded by the symbols `< >` is one of the words **hov**, **hv**, **v**, **v** followed by an offset. The default offset is 0 and the default box type is **h**.

### 3. Breakpoints

In order to specify where the pretty-printer is allowed to break, one of the following break-points may be used :

- [0 0] is a simple break-point, if the line is not broken here, no space is included ("Cut").
- [1 0] if the line is not broken then a space is printed ("Spc").
- [i j] if the line is broken, the value  $j$  is added to the current indentation for the following line; otherwise  $i$  blank spaces are inserted ("Brk").

**Examples :** It is interesting to test printing rules on "small" and "large" expressions in order to see how the break of lines and indentation are managed. Let's define two constants and make a `Print` of them to test the rules. Here are some examples of rules for our constant `Xor` :

```
Coq < Definition A := True X True.
Coq < Definition B := True X True X True X True X True X True X True
Coq <                X True X True X True X True X True X True.

Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <                <$t1:"term":L> " X " <$t2:"term":E>.
```

This rule prints everything in the same line exceeding the line's width.

```
Coq < Print B.
```

Let's add some break-points in order to force the printer to break the line before the operator :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <                <$t1:"term":L> [0 1] " X " <$t2:"term":E>.
```

```
Coq < Print B.
```

The line was correctly broken but there is no indentation at all. To deal with indentation we use a printing box :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <                [<hov 0> <$t1:"term":L> [0 1] " X " <$t2:"term":E> ].
```

With this rule the printing of `A` is correct, and the printing of `B` is indented.

```
Coq < Print B.
```

If we had chosen the mode `v` instead of `hov` :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <      [<v 0> <$t1:"term":L> [0 1] " X " <$t2:"term":E> ].
```

We would have obtained a vertical presentation :

```
Coq < Print A.
```

The difference between the presentation obtained with the `hv` and `hov` type box is not evident at first glance. Just for clarification purposes let's compare the result of this silly rule using an `hv` and a `hov` box type :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <      [<hv 0> "XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX"
Coq <      [0 0]  "-----"
Coq <      [0 0]  "ZZZZZZZZZZZZZZZZ" ].
```

```
Coq < Print A.
```

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <      [<hov 0> "XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX"
Coq <      [0 0]  "-----"
Coq <      [0 0]  "ZZZZZZZZZZZZZZZZ" ].
```

```
Coq < Print A.
```

In the first case, as the three strings to be printed do not fit in the line's width, a vertical presentation is applied. In the second case, a vertical presentation is applied, but as the last two strings fit in the line's width, they are printed in the same line.

## 12.4 Pattern's syntax

The grammar rules maps an input string into an abstract syntax tree (ast), while the printing, conversely, maps an output string into an ast. To describe this mapping, both grammar and printing rules need some syntax to denote an ast. That concrete syntax is what we call *Pattern*.

The patterns are conceptually divided into two classes : constructive patterns (those that can be used in parsing rules) and destructive patterns (those that can be used in pretty printing rules). In the following we give the concrete syntax of patterns and some examples of their usage.

The grammar <sup>†</sup> *Pattern* defined in figure 12.4 defines the syntax of both constructive and destructive patterns.

---

<sup>†</sup>The metasymbols we will use have the following meaning :

$x^*$  : 0 or more occurrences of  $x$ .

$x^+$  : 1 or more occurrences of  $x$ .

$s_1 - s_n$  : any of the symbols in the range from  $s_1$  to  $s_n$ .

*metav\_command*, *metav\_vernac*, *metav\_tactic*, stand, respectively, for the syntax of commands, vernacular phrases and tactics. The prefix *metav* is just to emphasize that identifiers beginning with \$ denote metavariables.

*int* is a sequence of digits, *string* is any sequence of characters delimited by " ". The set *ident* can be defined by the regular expression<sup>‡</sup>:

$(\$ | \_ | a - z | A - Z) (\$ | \_ | a - z | A - Z | 0 - 9)^*$

So, a pattern can be either an identifier, a token, an application or an abstraction (either binding or non-binding). Identifiers beginning with the symbol \$ denote metavariables, their value will be calculated when using the pattern either in the parsing, or in the printing. There are some identifiers that have a special meaning and should be used in a certain way. The system makes no control at the moment of the parsing to test that those identifiers are correctly used. In general, errors are detected at the moment of using the patterns.

Note that the pattern syntax is rather general, in particular (because of the rule (*ident patt\**) in fig. 12.4) any application of an identifier to a possible non empty list of arguments is a pattern of an ast. Nevertheless there are some patterns that have some special meaning for the system. The non terminal *special\_patt* in fig. 12.4 describes these patterns. They are all particular cases of the rule (*ident patt\**), they use special purpose identifiers. Many of them have already been used in sections concerning grammar and printing rules.

The operator \$APPEND is only for constructive patterns while \$PRIM is for destructive ones.

Let's show the use of some of these patterns (more examples can be found in the sections describing the grammar and printing): .

- \$VAR is an injection applied to identifiers parsed by the `prim:ident` grammar. Generally it is used to inject identifiers into commands :

```
Coq < Grammar tactic identarg :=
Coq <   [ prim:ident($id) ] -> [($VAR $id)].
```

- The elements of *metav* are identifiers beginning by \$, they denote metavariable and will be bound to an ast value at the moment of the parsing or the printing.
- The operator \$CONS builds a list pattern from a pattern and a list pattern. The operator \$LIST builds a list pattern from a possible empty sequence of patterns. The pattern (\$LIST) denotes the empty list as well as (\$NIL). \$APPEND takes a possible empty sequence of list patterns and returns a list pattern.

There may be several patterns to denote an ast. For example, to denote a list pattern of exactly *n* elements, we can write :

```
($CONS $p1 ($CONS $p2 (...($CONS $pn ($NIL))...))
($CONS $p1 ($CONS $p2 (...($CONS $pn ($LIST))...))
($CONS $p1 ($CONS $p2 (...($CONS $pn-1 ($LIST $pn))...))
($LIST $p1 $p2 ... $pn)
($APPEND ($LIST $p1) ($LIST $p2) ($LIST $p3...$pn))
```

The production corresponding to a non empty list of identifiers uses this kind of patterns :

---

<sup>‡</sup>Identifiers can be also any sequence of characters delimited by simple quotes ' '.

```

Coq < Grammar tactic ne_identarg_list :=
Coq <   [ identarg($id) ne_identarg_list($idl) ] -> [($CONS $id $idl)]
Coq < | [ identarg($id) ] -> [($LIST $id)].

```

- The operator `$OPER` allows to inject a list pattern into ast patterns. In the expression `($OPER{id} ( list_pattern_patt ))` the identifier *id* is the name of the injection and tags the list pattern.

The tactic `Intros` takes a list of identifiers as argument, and its parsing rule uses `$OPER` :

```

Coq < Grammar tactic simple_tactic :=
Coq <   [ "Intros" ne_identarg_list($idl) ] -> [($OPER{IntrosWith} $idl)].

```

- `[<>]patt` and `[metav]patt` are patterns for abstractions. The former denotes a non-binding abstraction, and the latter a binding one. The productions corresponding to the non-dependent product and to the lambda abstraction use these kind of patterns :

```

Coq < Grammar command command8 :=
Coq <   [ command7($c1) "->" command8($c2) ] -> [(PROD $c1 [<>]$c2)].

```

```

Coq < Grammar command command0 :=
Coq <   [ "[" ident($id1) ":" command($c) "]" command($c2) ]
Coq <   -> [(LAMBDA $c [$id1]$c2)].

```

- `($SLAML 1 body)` is used to denote an abstraction where the elements of the list pattern `1` are the variables simultaneously abstracted in `body`.

The production to recognize a lambda abstraction of the form  $[x_1, \dots, x_n : T]body$  use the operator `$SLAM` :

```

Coq < Grammar command command0 :=
Coq <   [ "[" ident($id1) "," binder($idl) ":" command($c) "]" command($c2) ]
Coq <   -> case $idl of
Coq <       ($OPER{IDBINDER} $L) ->
Coq <       [(LAMBDA LIST $c ($SLAML ($CONS (SOME $id1) $L) $c2))]
Coq <   esac.

```

## 12.5 Debugging the printing rules

By now, there is almost no semantic check of printing rules in the system. To find out where the problem is, there are two possibilities : to analyze the rules looking for the most common errors or to work in the toplevel tracing the ml code of the printer.



### 12.5.1 Most common errors

Here are some considerations that may help to get rid of simple errors :

- make sure that the rule you want to use is not defined in previously closed section.
- make sure that **all** nonterminals of your grammar have their corresponding printing rules.
- make sure that *there is no free occurrence* of a metavariable in a rule. For example if you enter this rule in Coq :

```
Coq < Syntax constr Pxor <<(Xor $t1 $t2)>> 6
Coq <          <$T1:"term":E> " X " <$t2:"term":L>.
```

`$T1` is free but the system accepts this rule without giving any warning. At the moment of using it, the system raises a message :

```
Coq < Print A.
```

- make sure that the set of printing rules for a certain non terminal covers all the space of ast values for that non terminal.
- the order of the rules is important. If there are two rules whose patterns superpose (they have common instances) then it is always the last rule that will be retrieved.
- if there are two rules with the same name and universe the last one overrides the first one. The system always warns you about redefinition of rules.

### 12.5.2 Tracing the ml code of the printer

Some of the conditions presented above are not easy to verify when dealing with many rules. In that case tracing the ml code helps to understand what is happening. The printers are in the file `printer.ml` in the `src` directory. There you will find the functions :

- *genvernacpr*: the printer of the vernacular language
- *gencompr* : the printer of commands
- *gentacpr* : the printer of tactics

These printers are defined in terms of a general printer *genprint* by instantiating it with the adequate parameters.

*genprint* waits for : the precedence of the ast to print, the universe to which this ast belongs (*tactic*, *constr*, *vernac*), a printer for the tokens, a default printer and the ast to print. *genprint* looks up, in the table of rules, the rules that are necessary to print the ast and its subterms.

An ast of a universe may have subterms that belong to another universe. For instance, let *v* be the ast of the vernacular expression `MyExact 0`. The function *genvernacpr* is called to print *v*. This function instantiates the general printer *genprint* with the universe *vernac*. Note that *v* has a subterm *c* corresponding to the ast of `0` (*c* belongs to the universe *constr*). *genprint* will try recursively to print all subterms of *v* as belonging to the same universe of *v*. If this is not possible, because the subterm belongs to another universe, then the default printer that was given as argument to *genprint* is applied. The default printer is responsible for changing the universe in a proper way calling the suitable printer for *c*.

**Technical Remark.** In the file `PPVernac.v` and `PPTactic.v`, there are some rules that do not arise from the inversion of a parsing rule. They are strongly related to the way the printing is implemented.

```
Coq < Syntax vernac constr (COMMAND $c) 8
Coq <      <$C:"Command":E> with $C:=(PPUNI$COMMAND $c).

Coq < Syntax vernac tactic (TACTIC $t) 100
Coq <      <$T:"Tactic":E> with $T:=(PPUNI$TACTIC $t).
```

As an ast of vernac may have subterms that are commands or tactics these rules allow the printer of vernac to change the universe. The `PPUNI$COMMAND` and `PPUNI$TACTIC` are special identifiers used for this purpose. They are used in the code of the default printer that *genvernacpr* gives to *genprint*.

The following rule is the analogue rule one for the universe of tactics.

```
Coq < Syntax tactic command (COMMAND $c) 8
Coq <      <$C:"Command":E> with $C:=(PPUNI$COMMAND $c).
```

<i>Pattern</i>	<i>::=</i>	<i>&lt;&lt; metavar_command &gt;&gt;</i>
		<i>&lt;:command: &lt; metavar_command &gt;&gt;</i>
		<i>&lt;:vernac: &lt; metavar_vernac &gt;&gt;</i>
		<i>&lt;:tactic: &lt; metavar_tactic &gt;&gt;</i>
		<i>patt</i>
<i>patt</i>	<i>::=</i>	<i>ident</i>
		<i>{ token }</i>
		<i>( ident patt* )</i>
		<i>[&lt;&gt;] patt</i>
		<i>[ ident ] patt</i>
<i>token</i>	<i>::=</i>	<i>int   ident   string   path</i>
<i>path</i>	<i>::=</i>	<i>(# ident )<sup>+</sup>. univ</i>
<i>univ</i>	<i>::=</i>	<i>cci   fw</i>

Figure 12.1: Syntax of patterns of ast

```

special_patt ::=
  ($VAR metavar )
| ($PRIM metavar )
| ($PRIM metavar (SOME { inj })))
| ($OPER{ ident } | list_pattern_patt )
| ($QUOTE patt )
| ($SLAML list_pattern_someid patt )

list_pattern_patt ::=
  metavar
| ($NIL)
| ($LIST patt* )
| ($CONS patt list_pattern_patt )
| ($APPEND list_pattern_patt* )

list_pattern_someid ::=
  metavar
| ($NIL)
| ($LIST someid* )
| ($CONS someid list_pattern_someid )
| ($APPEND list_pattern_someid* )

someid ::= metavar | (SOME id ) | NONE
inj     ::= INT | STRING | PATH | IDENT | DYN

```

Figure 12.2: Special purpose patterns



# Chapter 13

## Writing tactics in Coq

### Introduction

This chapter concerns advanced users who want to write an implementation in the Coq system. We do not intend to present the internal machinery of the whole system but we want to give here the basic notions of tactic writing. We will illustrate these notions with a very simple tactic — called `Mytactic` — which instantiates a universal hypothesis.

Our aim is to show that tactic writing is a “high level job” which does not presuppose a knowledge of the whole system, and certainly not a hard task left to some “wizards”. Consequently, we will not detail the structure of the different types, which will be tedious, but we will just give their location and their meaning. We will notice that abstraction generally allows us to ignore these definitions.

**Situation.** We will suppose the reader to be familiar with the use of Coq and Objective Caml. In the following, let `MYTACTIC` be the directory in which we are going to write our tactic, and `COQTOP` the directory of Coq sources. Files names will be given relatively to this last directory.

The main directories in `COQTOP` are the following:

<code>src</code>	Coq sources, shared among subdirectories <code>meta</code> , <code>constr</code> , <code>proofs</code> , <code>env</code> , <code>tactics</code> and <code>link</code> .
<code>src/lib</code>	Some Objective Caml utilities.
<code>src/syntax</code>	The initial syntax of Coq.
<code>tactics</code>	Sources and vernacular entries of some tactics, like <code>Tauto</code> , <code>Program</code> , <code>Omega</code> , etc.
<code>theories</code>	The Coq library. The core library of the system is <code>theories/INIT</code> .

**A very simple example.** Let us start with a very simple tactic. Suppose we want to create a new tactic that is an abbreviation for the command `Contradiction Orelse Auto`. We can do it only with syntactic commands:

```
Coq < Grammar tactic simple_tactic :=  
Coq <      [ "Autoplus" ] -> [<tactic:<Contradiction Orelse Auto >>].
```

```
Coq < Syntax tactic Autoplus_rule <:tactic:<Autoplus>> 0 "Autoplus".
```

See chapters related to `Grammar` and `Syntax` to understand the syntax of these commands. Just notice that we used the non-terminal `simple_tactic` (and not the `vernac` one for example) so `Autoplus` is a tactic and not a vernac command. As a consequence, `Autoplus` can be used inside tacticals like `Try`, `OrElse`,... Let us use our new tactic on an example:

```
Coq < Lemma example : (A:Prop)False->A.
```

```
Coq < Autoplus.
```

```
Coq < Save.
```

Notice also that without the `Syntax` command, the tactic `Autoplus` would be printed as `Contradiction OrElse Auto` instead of `Autoplus` (during the printing of proof scripts or error messages).

Of course, from the moment we want to write more complex tactics (dealing with the structure of terms, looking in the environment, performing reductions,...) we need to write them in Objective Caml and to add them into the system. In the following we explain all the steps of such a development.

Section 13.1 describes the representation of terms and basic operations on these terms (substitution, application, reduction, ...). Section 13.2 introduces the notion of tactic and gives tools to handle terms inside a tactic. In section 13.3 we show how to register a tactic (addition in the table of tactics, grammar's entry and pretty printing). Then we give a complete example in the section 13.4 (Objective Caml code, registration and use). The last section describes some tools for debugging tactics.

## 13.1 Terms

### 13.1.1 Representation

The type `constr` of the terms of Calculus of Constructions is defined in `src/meta/generic.mli` and `src/constr/term.mli`.

First, a generic type `term` for terms is defined in `src/meta/generic.mli`, abstracted over the type `oper` of operators. There are four main constructors for terms:

- `VAR id`, a reference to a global variable of name *id*;
- `REL n`, a variable in the de Bruijn notation;
- `DLAM t`, a de Bruijn binder on the term *t*;
- `DOPN (op,args)`, the application of the operator *op* to the vector of arguments *args*.

For reasons of efficiency, some of these constructors are duplicated:

- `DOP0` for operators of arity 0, `DOP1` for those of arity 1, `DOP2` for those of arity 2. `DOPL` is used to give arguments as a list instead of a vector (since all uses of `DOPL` fall into one of the previous categories, `DOPL` is not used in the core system. It is left for those who wish to experiment with the system).

- DLAMV for de Bruijn binder on many terms.

It leads to the following type:

```
type 'oper term =
  DOP0 of 'oper                                (* atomic terms *)
| DOP1 of 'oper * 'oper term                  (* operator of arity 1 *)
| DOP2 of 'oper * 'oper term * 'oper term    (* operator of arity 2 *)
| DOPN of 'oper * 'oper term vect            (* operator + arguments' vector *)
| DOPL of 'oper * 'oper term list             (* operator + arguments' list *)
| DLAM of name * 'oper term                  (* de Bruijn binder on one term*)
| DLAMV of name * 'oper term vect            (* de Bruijn binder on many terms*)
| VAR of identifier                          (* named variable *)
| Rel of int                                 (* variable as de Bruijn index *)
;;
```

In the binders the `name` is either `Name id`, where *id* is an identifier, or `Anonymous`, and is just kept for pretty printing. The type of identifiers is an abstract type `identifier` (see `src/meta/names.ml`). The functions

```
value id_of_string : string -> identifier;;
value string_of_id : identifier -> string;;
```

realize the conversion between the types `identifier` and `string`.

Then, the type `oper` of the operators of the Calculus of Constructions is defined in `src/constr/-term.mli`. The main constructors are:

```
type 'a oper =
  Sort of 'a                                (* sorts          (DOP0) *)
| Prod                                     (* product        (DOP2) *)
| Lambda                                  (* abstraction    (DOP2) *)
| AppL                                    (* application    (DOPN) *)
| Const of section_path                  (* constants      (DOPN) *)
| Cast                                    (* cast           (DOP2) *)
| ...
```

`'a` is the type of sorts. The sorts are here `{Prop, Set, Type}`. `Prop` and `Type` are sorts for logical propositions, and `Set` for propositions with an informative content (specifications). The sort `Type` contain an universes hierarchy implicitly managed by the system. The corresponding type is:

```
type contents = Pos | Null;;
type sorts =
  Prop of contents
| Type of Impuniv.universe;;
```

with the three possibilities:

Prop Null	Prop
Prop Pos	Set
Type _	Type

At last, the type `constr` for the terms of the Calculus of Constructions is just:

```
type constr = sorts oper term;;
```

The syntax of the operators is the following:

Prop	DOP0 (Sort (Prop Null))
Set	DOP0 (Sort (Prop Pos))
$\lambda x : A. B$	DOP2 (Lambda, A, DLAM(Name x, B))
$(x : A) B$	DOP2 (Prod, A, DLAM(Name x, B))
$(f \ x_1 \ \dots \ x_n)$	DOPN (AppL, [  f; x <sub>1</sub> ; ...; x <sub>n</sub>  ])

Notice that `AppL` is always done via `DOPN`, even if the application is only binary (so `(M N)` is represented by `DOPN(AppL, [|M;N|])`).

### Examples.

- $[x : \text{Set}]x$  is represented as

```
DOP2 (Lambda, DOP0 (Sort (Prop Pos)), DLAM (Name #x, Rel 1))
```

- $[P : \text{Set} \rightarrow \text{Prop}](x : \text{Set})(Px)$  is represented as

```
DOP2 (Lambda, DOP2 (Prod, DOP0 (Sort (Prop Pos)),
  DLAM (Anonymous, DOP0 (Sort (Prop Null)))),
  DLAM (Name #P, DOP2 (Prod, DOP0 (Sort (Prop Pos)),
    DLAM (Name #x, DOPN (AppL, [|Rel 2; Rel 1|])))))
```

**Constants.** The case of constants is more complicated. The constants are stored into a table and referred to with a *section-path*. The *section-path* is a global name system to refer to any object without ambiguity. It can be seen as a filename, in which the sections are the directories. The type of *section-paths* is `section_path` (defined in `src/meta/names.ml`). It's a record of a “directory” (the list of the crossed sections), a “basename” (the identifier for the object) and a “kind” (CCI for the terms of the Calculus of Constructions, FW for the terms of  $F_\omega$  and OBJ for other objects). Here is such a path (pretty printed with `string_of_path`):

$$\underbrace{\#foo\#bar\#hat}_{\text{dirpath}} \underbrace{\#constantname}_{\text{basename}} \underbrace{.cci}_{\text{kind}}$$

and it could correspond to a definition of the form:

```
Section foo.
Section bar.
Section hat.
Definition constantname := ... .
```



Once you close a section, say `hat` here, the discharge mechanism creates a new constant with an updated section-path (and keeps the old one in the closed section, so it is now unreachable). In our example, the new section-path for the constant `constantname` becomes:

```
#foo#bar#constantname.cci
```

The other part of a constant term is the environment of its definition. For instance, in the following definition:

```
Coq < Section foo.
Coq <   Variable A:Prop.
Coq <   Definition f := [x:Prop->Prop](x A).
```

the constant `f` depends on the variable `A` and this information is kept (when closing the section `foo`, we have to remember that `f` depends on `A`, and to do the corresponding abstraction). So a constant is a term of the form:

```
DOPN ( Const(sp) , l )
```

where `sp` is the section-path and `l` is the piece of the current environment needed for the definition of the constant. In our previous example, the corresponding term is:

```
DOPN ( Const #foo#f.cci , [| VAR #A |] )
```

and after having closed the section `foo`, it would become:

```
DOPN ( Const #f.cci , [| |] )
```

`f` being now equal to `[A:Prop][x:Prop->Prop](x A)`.

You can access the value or the type of a constant through the functions:

```
value const_value : readable_constraints -> constr -> constr;;
value const_type   : readable_constraints -> constr -> constr;;
```

where the first argument is the context of existential variables (associated to proof trees) and the second one a term of the form `DOPN(Const _,_)` (otherwise you get an exception `Match_failure`). The empty context for existential variables is `mt_evc (src/proofs/proof.ml)`. Remember that constants are separated between transparent and opaque constants. Trying to get the value of an opaque constant would raise the exception `Failure "opaque"`.

**Casts.** One particular operator is the `Cast` operator. To “cast” a term means to give explicitly its type, as an information. So, the corresponding term is:

```
DOP2( Cast , c , T )
```

where `c` is a term and `T` its type. Notice that:

- The pretty-printer *always* ignores casts, but that is changeable by setting the boolean reference `Printer.print_casts` to `true`.
- Any cast in a term is verified by the type-checker, so they can be used to add information about the term which the system could infer, but which the programmer wants to declare.

**Other operators.** There are also other operators, for inductive types (**MutInd** and **MutConstruct**), for meta-variables (**Meta**), fix-points operators (**Fix**), ... We won't give details on those operators, which may differ with versions of the system, but their meaning (and sometimes their use) are not really difficult to understand.

### 13.1.2 Basic operations on terms

Basic operations are in `src/meta/generic.ml`: lifting, substitution, occurrences, free variables, application, .... The main ones are:

```

value subst1 : 'a term -> 'a term -> 'a term.
    (subst1 M c) substitutes M for Rel(1) in c.

value occur_var : identifier -> 'a term -> bool.
    Returns true if the corresponding variable appears in the term.

value eq_term : 'a term -> 'a term -> bool.
     $\alpha$ -equality for terms (this function ignores print names, casts and the iteration of applications,
    that is  $(M\ N\ O) == ((M\ N)\ O)$  where the parentheses specify the DOPN's).

value dependent : 'a term -> 'a term -> bool.
    Returns true if the first term is a subterm of the second (for eq_term).

value subst_var : identifier -> 'a term -> 'a term.
    (subst_var id c) substitutes the corresponding de Bruijn index to every occurrence of
    VAR(id) in c.

value SAPP : 'a term -> 'a term -> 'a term.
    (SAPP M N) assumes that M is of the form DLAM(n,Q) and gives the result Q in which the
    references to n have been substituted by N.

```

Operations on CC's terms lie in `src/constr/term.ml`. Some of them are:

```

value strip_outer_cast : constr -> constr.
    Removes the outer casts (don't forget to do it before doing matching on terms).

value applist : constr * constr list -> constr.
    Returns the application of the first component to the second.

value produit : identifier -> constr -> constr -> constr.
    (produit id T c) returns the product  $(id : T)c$ .

value lambda : identifier -> constr -> constr -> constr.
    (lambda id T c) returns the abstraction  $\lambda id : T.c$ .

value eq_constr : constr * constr -> bool.
     $\alpha$ -conversion (ignores print names and casts).

value subst_term : constr -> constr -> constr.
    subst_term un-substitutes, that is if  $(subst\_term\ c\ t) \rightarrow M$ , then  $M[t/1] \rightarrow c$ . subst_term
    uses eq_term to find copies of t in c.

```

Reduction functions lie in `src/proofs/reduction.ml` and are of type:

```
type reduction_function = constr -> constr
```

They generally compute weak head normal form, that is they stop on abstractions, products, constants and sorts. Reduction is performed under casts, and head casts are removed (reduction called *cast*). Iterations of applications are reduced like this:

$$((M\ N)\ L1\ \dots\ Ln) \longrightarrow (M\ N\ L1\ \dots\ Ln)$$

(reduction called *app*). All the standard reduction functions performs the reductions *cast* and *app*. The reduction function `whd_castapp` performs only these two reductions.

The main reduction and conversion functions are the following:

```
value whd_beta : reduction_function.
```

$\beta$ -reduction.

```
value whd_betaiota : reduction_function.
```

$\beta\iota$ -reduction.

```
value strong : reduction_function -> reduction_function.
```

Takes a reduction function and returns the associated recursive reduction function.

```
value under_casts : reduction_function -> reduction_function.
```

Takes a reduction function and returns the same one, but performing under outer casts. `under_casts` preserves the outermost casts; otherwise all the other reduction functions will erase outermost casts.

```
value conv : readable_constraints -> constr -> constr -> bool.
```

Equality of terms with universe adjustment.

```
value conv_x : readable_constraints -> constr -> constr -> bool.
```

Equality of terms without universe adjustment.

## 13.2 Writing your own tactics

### 13.2.1 What is a tactic ?

In Coq a tactic is a function of type:

```
type tactic = goal sigma -> (goal list sigma * validation)
```

That is, a tactic takes a goal  $g$  (an object of type `goal sigma`) and returns the list (possibly empty) of the generated subgoals  $g_1, \dots, g_n$ , together with a validation  $v$ . This validation has type:

```
type validation = (proof list -> proof)
```

and has the following interpretation: given a list of proofs  $\pi_1, \dots, \pi_n$ , where  $\pi_i$  is a proof of  $g_i$ ,  $v$  applied to  $\pi_1, \dots, \pi_n$  returns a proof of  $g$ . Here proofs can be incomplete proofs; but if  $\pi_1, \dots, \pi_n$  are complete proofs then the validation applied to those proofs returns a complete proof of  $g$ .

Assume that `gls` is the current goal (of type `goal sigma`). This goal is essentially:

- a *conclusion*, a term a type `constr`, obtained with `(pf_concl gls)`;
- a *local context of hypothesis*, of type `constr signature`. It is exactly the context printed by the `Show` command. Is obtained with `(pf_hyps gls)`.

**About signatures.** The type `signature` is a generic type for environments with global names:

```
type 'a signature = identifier list * 'a list
```

The first list contains the names, and the second one their corresponding objects — we assume here that the two lists have the same length — which are referred to with global names, using the `VAR` constructor. All the necessary functions to deal with signatures are in `src/meta/names.ml` (`add_sign`, `lookup_sign`,...).

For instance, if you enter at the Coq top-level `Lemma foo : (A:Prop)A->A. then Intros.` the current goal is now:

```
Coq < Intros.
```

and its signature is:

```
[ #H ; #A ],
[ DOP2 (Cast, VAR #A, DOP0 (Sort (Prop Null))) ,
  DOP2 (Cast, DOP0 (Sort (Prop Null)),
        DOP0 (Sort (Type (Null, ...)))) ]
```

which can be seen, after removing the casts, as:

<i>A</i>	<i>Prop</i>
<i>H</i>	<code>VAR A</code>

The function `initial_sign` (in `src/constr/vartab.ml`) returns the signature of current global variables.

There is a second kind of signature using *de Bruijn* indexes instead of global names:

```
type 'a db_signature = (name * 'a) list
```

where an object of type `name` is either `(Name id)` or `Anonymous`.

These two signatures are mixed together in the type `env` of environments:

```
type ('a,'b) env = ENVIRON of 'a signature * 'b db_signature
```

which is just a couple of a signature and a de Bruijn signature. All the functions on environments are in `src/meta/names.ml` (`add_glob`, `add_rel`, `lookup_glob`, `lookup_rel`,...). To use functions over environments on signatures, just transform your signature in an environment with the `GLOB` function (which has type `'b signature -> ('b,'a) env`). For instance, you will usually look for a variable of name *id* with:

```
(global (GLOB(initial_sign())) id)
```

### 13.2.2 Basic tactics and tacticals

There are numerous tactics in the system, in particular those of the top-level. Most of them lie in `src/env/tactics.ml`, and we give here some of them:

`value intro : tactic.`

The introduction tactic. (There is also `intros`.)

`value intro_using : identifier -> tactic.`

Introduction with explicit name. (See also `intros_with` and `intros_until`.)

`value red : tactic.`

The Red tactic. (See also `red_hyp`.)

`value cut_tac : constr -> tactic.`

The Cut tactic.

`value exact : constr -> tactic.`

The Exact tactic.

There are also functions to compose tactics — the so-called tacticals — in order to build more complex tactics from elementary ones. These tacticals are defined in `src/proofs/refiner.ml`:

`value IDTAC : tactic.`

The identity tactic (just does nothing).

`value ORELSE : tactic -> tactic -> tactic.`

Tries the first tactic and, in case of failure, applies the second one.

`value THEN : tactic -> tactic -> tactic.`

Applies the first tactic, then the second one to each generated subgoal.

`value THENS : tactic -> tactic list -> tactic.`

Applies a tactic, and then applies each tactic of the tactic list to the corresponding generated subgoal.

`value THENL : tactic -> tactic -> tactic.`

Applies the first tactic, and then applies the second one to the last generated subgoal.

`value REPEAT : tactic -> tactic.`

Applies the tactic until it fails (The tactic is applied to the goal, and then to every produced goal, and so on.)

`value FIRST : tactic list -> tactic.`

Tries the tactics one by one until one succeeds.

`value TRY : tactic -> tactic.`

Tries the tactic and, in case of failure, applies the `IDTAC` tactic to the original goal.

`value DO : int -> tactic -> tactic.`

Applies the tactic a given number of times.

`value FAILTAC : tactic.`

The failing tactic. It raises a `UserError` exception.

### 13.2.3 Handling terms inside a tactic

Inside a tactic, that is with a variable `gls` of type `goal sigma`, the system provides functions to handle terms in the context of the corresponding proof. Here are some of them:

```
value pf_concl : goal sigma -> constr.
```

Returns the conclusion of the goal.

```
value pf_hyps : goal sigma -> constr signature.
```

Returns the local context of the goal.

```
value pf_global : goal sigma -> identifier -> constr.
```

Returns the corresponding term to an identifier, looking first in the context of the goal, then in the global context.

```
value pf_type_of : goal sigma -> constr -> constr.
```

Checks if the term is well-typed in the current context and, if so, returns its type.

```
value pf_nf : goal sigma -> constr -> constr.
```

Returns the normal form of the term.

As a general rule, a function taking a goal (of type `goal sigma`) as argument has a name of the form `pf_function-name`. All these functions are in `src/proofs/tacmach.ml`.

We can also do more complex manipulations on terms. Suppose we want to know if a term `t` is a conjunction. One can write:

```
let is_conj t =
  let sp = path_of_string "#Prelude#and.cci" in
  match whd_betaiota t with
  | DOPN(AppL , [| DOPN(MutInd (sp',_),[|]) ; _ ; _ |]) -> sp=sp'
  | _ -> false
;;
```

but this is a bit complicated.

That's the reason why the system provides a better way to handle terms. The idea is to define *patterns* to do pattern matching and destructuring on terms.

To define these patterns we first indicate which modules have to be loaded. For example, in our case, the `Prelude.v` module:

```
let mmk = make_module_marker ["#Prelude.obj"];;
```

then we define the patterns as terms with “holes” (indicated by `?`). For example, the pattern for conjunction is defined as:

```
let and_pattern = put_pat mmk "(and ? ?)";;
```

If we want now to test if a term `t` is a conjunction and, in this case, to get the two sides of this conjunction, we will typically write:

```

...
if matches gls t and_pattern then
  let [A;B] = dest_match gls t and_pattern
in ...

```

where `gls` is the current goal. These functions are defined in files `src/tactics/pattern.ml` and `src/tactics/tactics1.ml`.

There also exist similar functions to do second-order matching, in `sopattern.ml`, `somatch.ml` and `tactics1.ml` (in the directory `src/tactics`). Second-order matching means you can give a pattern like:

```
"(x,y:?)(and (?)[x] (?)[y])"
```

which means that we want  $A$ ,  $\lambda x.P$  and  $\lambda y.Q$  if we match the expression  $(x,y:A)(\text{and } P \ Q)$ , where  $P$  is an expression containing  $x$  but not  $y$ , and  $Q$  is containing  $y$  but not  $x$ . The corresponding functions are `somatches` and `dest_somatch`. An exception may be raised by `dest_somatch` if the expression does not match the pattern, is malformed or if the pattern contains unknown global variables.

## 13.3 Tactic registration

Once the tactic is written, we have to turn it operational. Two operations are necessary:

- We need to *register* the tactic in the tactics table, so as to make it known by the system;
- We need to define the *grammar's* and *syntax's* rule(s) for the tactic.

### 13.3.1 Adding the tactic in the tactics table

This first operation just follows the code which defines the tactic, and use the function `register_tactic` (defined in `src/proofs/refiner.ml`). The type of this function is:

```

value register_tactic : string
  -> (tactic_arg list -> tactic)
  -> (readable_constraints -> goal -> tactic_expression -> st_ppcmds)
  -> (tactic_arg list -> tactic);;

```

The first argument is the name with which the tactic is registered. The second is the function which associate to the arguments the corresponding tactic. The type `tactic_arg` is the type of tactic arguments, defined in `src/proofs/proof_trees.mli`:

```

type tactic_arg =
  COMMAND of ast
  | CONSTR of constr
  | IDENTIFIER of identifier
  | INTEGER of int
  | BINDING of BindOcc * ast
  | PATTERN of int list * ast

```

```

| UNFOLD of int list * identifier
| QUOTED_STRING of string
| TACEXP of ast

```

The third argument defines a pretty printing for the tactic. This pretty printer is used to print the script of the proof, for example just after the `Save` command.

`register_tactic` returns the function which associate the tactic to the arguments (that's not the second argument, because we now use the name with which the tactic is registered and not the function defining it). In general, we ignore this result.

For instance, the `intros_with` tactic, which corresponds to the top-level command `Intros H1 .. Hn`, is registered in this way:

```

let vernac_intros_with =
let gentac =
  register_tactic "IntrosWith"
  (fun al -> intros_with (map (fun (IDENTIFIER id) -> id) al))
  (fun _ _ (_,al) ->
    [< 'S"Intros" ; 'SPC ;
      prlist_with_sep (fun () -> [< 'SPC >])
        (fun (IDENTIFIER id) -> print_id id)
        al >])
  in fun ids -> gentac (map (fun id -> IDENTIFIER id) ids)
;;

```

However, there are also registration functions adapted to particular syntaxes. They are defined in `src/env/tacmach.ml`, and their types are explicit enough:

```

value register_atomic_tactic : string -> tactic -> tactic.
  Register an atomic tactic (a tactic without argument).

value register_comarg_tactic : string -> (command -> tactic)
  -> (command -> tactic).
  Register a tactic which takes a command.

value register_numarg_tactic : string -> (int -> tactic)
  -> (int -> tactic).
  Register a tactic which takes a integer.

value register_ident_tactic : string -> (identifier -> tactic)
  -> (identifier -> tactic).
  Register a tactic which takes an identifier.

value register_string_tactic : string -> (string -> tactic)
  -> (string -> tactic).
  Register a tactic which takes a string.

```

One can look into `src/env/tacentries.ml` to see how the different Coq top-level tactics are registered.



**Remark.** With `register_tactic` it's impossible to register two tactics with the same name, so it's impossible to register a tactic twice, when re-loading ML files. For that purpose one must use `overwriting_register_tactic`, and the corresponding functions `overwriting_...` for particular cases. Of course, these functions are for debugging purposes only.

### 13.3.2 Adding grammar's and syntax's entries

The next operation is the creation of a Coq file in which:

- we declare the Objective Caml modules needed by the tactic;
- we define the grammar's rule(s) for the tactic;
- we define the syntax's rule(s) for pretty printing.

The syntax is the following:

```
Declare ML Module "fileA" "fileB" ... "fileZ".

Grammar tactic simple_tactic :=
  [ "tactic_name" ... ] -> ... .

Syntax tactic rule_name (tactic_function ...) 0
  "tactic_name" ... .
```

`fileA.ml, ..., fileZ.ml` stand here for the Objective Caml modules that must be loaded (given in the right order).

The syntax for Grammar and Syntax is given in other chapters. For an atomic tactic, we will write:

```
Grammar tactic simple_tactic :=
  [ "tactic_name" ] -> [ (tactic_function) ].

Syntax tactic Tactic_name (tactic_function) 0
  "tactic_name".
```

and for a tactic which takes an integer as argument, we will write:

```
Grammar tactic simple_tactic :=
  [ "tactic_name" numarg($n) ] -> [ (tactic_function $n) ].

Syntax tactic Tactic_name (tactic_function ($PRIM $n)) 0
  "tactic_name" <$n:"Int":*>.
```

In all cases `tactic_function` corresponds to the name associated with the tactic by the `register_tactic` function.

## 13.4 A complete example

We are now in position to give a complete example. Let us write a tactic, called `Mytactic`, which takes the name of an hypothesis, say `H`, and a term, say `t`, and instantiates `H` with `t` if `H` is a universal hypothesis.

It means that we have a goal of the form

```
...
H : (x:T)P
...
=====
g
```

and we want to replace it by the following one:

```
...
H : P[t/x]
...
=====
g
```

### 13.4.1 The Objective Caml part

#### The tactic function

Our tactic takes two arguments: the name of one hypothesis, of type `identifier` and a term, of type `command`. So, it will be of the form:

```
let mytactic id c gls =
...
```

where `gls` has type `goal sigma`.

The first thing to do is to get the hypothesis corresponding to `id` in the proof signature, with `lookup_sign`. If `id` is not an hypothesis, `lookup_sign` raises `Not_found` and we send an error message to the user:

```
...
let tid = try snd (lookup_sign id (pf_hyps gls))
           with Not_found -> error "No such hypothesis" in
...
```

Next, we want to check if `id` is an universal hypothesis. For this purpose we can write a general function `is_universal` of type `goal sigma -> constr -> bool` which returns `true` if and only if its second argument is a universal quantification (inside a goal given as first argument). We can write it as:

```
let is_universal gls t =
  match whd_betadeltaiota (project gls) t with
  | DOP2(Prod,_,DLAM(_,b)) -> dependent (Rel 1) b
  | _ -> false
;;
```

Notice that we perform a reduction on  $T$  before looking at its form. We can now check if  $tid$  is a universal quantification and send, if necessary, the good error message:

```
...
  if not(is_universal tid) then
    error ((string_of_id id) ^ " is not a universal hypothesis")
  else ...
```

We know now that  $id$  is an hypothesis of the form  $(x:A)P$ . We must check that  $c$  is a term a type  $A$  to do the substitution of  $x$  by  $c$  in  $P$ . It means that we check if  $A$  and the type of  $c$  are convertible:

```
...
  let (DOP2(Prod,a,(DLAM _ as b))) = whd_betadeltaiota (project gls) tid in
  let t = (pf_constr_of_com gls c) in
  if not (pf_conv_x gls a (pf_type_of gls t)) then
    error "Illegal application"
  else
    ...
```

At last, we write the tactic part. It's just a cut of  $P[c/x]$  followed by, for the first subgoal, an introduction of the new hypothesis (we must before clear the old one), and for the second one, an application of the `exact` tactic:

```
...
  ( tHENS (cut_tac (sAPP b t))
    [ tHEN (clear_hyp [id]) (introduction id) ;
      exact (applist(VAR id,[t])) ] ) gls
;;
```

## The tactic registration

We can now register the tactic, with `register_tactic`. Remember that the two arguments are an identifier and a command:

```
let mytactic_tac = register_tactic "mytactic"
  (fun [IDENTIFIER id; COMMAND c] -> mytactic id c)
  (fun sigma goal (_,[IDENTIFIER id; COMMAND c]) ->
    [< 'sTR"Mytactic" ; 'sPC ; print_id id ; 'sPC ;
      'sTR"with" ; pr_com sigma goal c >])
;;
```

## The Objective Caml file mytactic.ml

```
(**** mytactic.ml *****)
open Std;;
open Pp;;
open Names;;
open Generic;;
```

```

open Term;;
open Reduction;;
open Proof_trees;;
open Tacmach;;
open Tactics;;

let is_universal gls t =
  match whd_betadelatota (project gls) t with
  | DOP2(Prod,_,DLAM(_,b)) -> dependent (Rel 1) b
  | _ -> false
;;

let mytactic id c gls =
  let tid = try snd (lookup_sign id (pf_hyps gls))
             with Not_found -> error "No such hypothesis" in
  if not(is_universal gls tid) then
    error ((string_of_id id) ^ " is not a universal hypothesis")
  else
    let (DOP2(Prod,a,(DLAM _ as b))) = whd_betadelatota (project gls) tid in
    let t = (pf_constr_of_com gls c) in
    if not (pf_conv_x gls a (pf_type_of gls t)) then
      error "Illegal application"
    else
      ( tHENS (cut_tac (sAPP b t))
        [ THEN (clear_hyp [id]) (introduction id) ;
          exact (applist(VAR id,[t])) ] ) gls
;;

let mytactic_tac = register_tactic "mytactic"
  (fun [IDENTIFIER id; COMMAND c] -> mytactic id c)
  (fun sigma goal (_,[IDENTIFIER id; COMMAND c]) ->
    [< 'sTR"Mytactic" ; 'sPC ; print_id id ; 'sPC ;
      'sTR"with" ; pr_com sigma goal c >])
;;

(*****)

```

### 13.4.2 The Coq file Mytactic.v

In Mytactic.v, we declare the file mytactic.ml and we give the grammar and syntax rules for our tactic:

```

(** Mytactic.v *****)
Declare ML Module "mytactic".

Grammar tactic simple_tactic :=

```

```
[ "Mytactic" identarg($id) "with" comarg($c) ]
      -> [(mytactic $id $c) ].
```

```
Syntax tactic mytactic (mytactic $id $c) 0
  "Mytactic " <$id:"namehyp":*> " with " <$c:"Command":*>.
(*****)
```

### 13.4.3 Compiling

In order to compile both `mytactic.ml` and `Mytactic.v`, let us write a `Makefile` in `MYTACTIC` to do the job:

```
### Makefile #####
COQTOP=... # put here the right directory
ZLIBS= -I $(COQTOP)/src/lib/util \
        -I $(COQTOP)/src/meta -I $(COQTOP)/src/constr \
        -I $(COQTOP)/src/proofs -I $(COQTOP)/src/env \
        -I $(COQTOP)/src/tactics -I $(COQTOP)/src/link

all: mytactic.zo Mytactic.vo

Mytactic.vo: Mytactic.v mytactic.zo
    coqc Mytactic

mytactic.zo: mytactic.ml
    ocamlc $(ZLIBS) -c mytactic.ml
#####
```

### 13.4.4 Use of the tactic

Once the compiling is done, we can use the tactic in a `Coq` session.

```
% coqtop -I MYTACTIC
Welcome to Coq V6.1 - ...
```

```
Coq <
```

We import the file `Mytactic.v` with the command:

```
Coq < Require Mytactic.
[Reinterning Mytactic ...
 [Loading ML file mytactic.cmo ...done]
done]
```

```
Coq <
```

The tactic is now known, and we can use it:

```

Coq < Variable P:nat -> Prop.
P is assumed

Coq < Lemma easy : ((x:nat)(P x)) -> (P (S (S 0))).
1 subgoal

=====
((x:nat)(P x))->(P (S (S 0)))

easy < Intro.
1 subgoal

H : (x:nat)(P x)
=====
(P (S (S 0)))

easy < Mytactic H with (S (S 0)).
1 subgoal

H : (P (S (S 0)))
=====
(P (S (S 0)))

```

## 13.5 Some tools

### 13.5.1 Debugger

For the moment, we don't have good debugging tools. Actually, we have just the *trace* mechanism of Objective Caml, with **#trace** and **#untrace**.

We can leave the Coq top-level with the command **Drop**:

```

Coq < Drop.
#

```

and we are now in the Objective Caml top-level.

In order to open the main modules and to define pretty printers for most types, just include the file `tactics/include.ml` by applying the Objective Caml directive **#use**:

```

#use "include.ml";;

```

We come back to the Coq top-level with the command:

```

go();;

```

### 13.5.2 Other tools

Other tools to simplify tactics writing (automatic computation of files dependencies, creation of a `Makefile`, ...) are described in chapter 16.

## Chapter 14

# The Program Tactic

The facilities described in this chapter pertain to a special aspect of the Coq system: how to associate to a functional program, whose specification is written in Gallina, a proof of its correctness.

This methodology is based on the Curry-Howard isomorphism between functional programs and constructive proofs. This isomorphism allows the synthesis of a functional program from the constructive part of its proof of correctness. That is, it is possible to analyze a Coq proof, to erase all its non-informative parts (roughly speaking, removing the parts pertaining to sort `Prop`, considered as comments, to keep only the parts pertaining to sort `Set`).

This *realizability interpretation* was defined by Christine Paulin-Mohring in her PhD dissertation, and implemented as a *program extraction* facility in previous versions of Coq by Benjamin Werner. However, the corresponding certified program development methodology was very awkward: the user had to understand very precisely the extraction mechanism in order to guide the proof construction towards the desired algorithm. The facilities described in this chapter attempt to do the reverse: i.e. to try and generate the proof of correctness from the program itself, given as argument to a specialized tactic. This work is based on the PhD dissertation of Catherine Parent [73].

### 14.1 Developing certified programs: Motivations

We want to develop certified programs automatically proved by the system. That is to say, instead of giving a specification, an interactive proof and then extracting a program, the user gives the program he wants to prove and the corresponding specification. Using this information, an automatic proof is developed which solves the “informative” goals without the help of the user. When the proof is finished, the extracted program is guaranteed to be correct and corresponds to the one given by the user. The tactic uses the fact that the extracted program is a skeleton of its corresponding proof.

### 14.2 Using Program

The user has to give two things: the specification (given as usual by a goal) and the program (see section 14.3). Then, this program is associated to the current goal (to know which specification it corresponds to) and the user can use different tactics to develop an automatic proof.

### 14.2.1 Realizer *term*.

This command attaches a program *term* to the current goal. This is a necessary step before applying the first time the tactic **Program**. The syntax of programs is given in section 14.3. If a program is already attached to the current subgoal, **Realizer** can be also used to change it.

### 14.2.2 Show Program.

The command **Show Program** shows the program associated to the current goal. **Show Program n** shows the program associated to the *n*th subgoal.

### 14.2.3 Program.

This tactics tries to build a proof of the current subgoal from the program associated to the current goal. This tactic performs **Intros** then either one **Apply** or one **Elim** depending on the syntax of the program. The **Program** tactic generates a list of subgoals which can be either logical or informative. Subprograms are automatically attached to the informative subgoals.

When attached program are not automatically generated, an initial program has to be given by **Realizer**.

#### Error message :

1. No program associated to this subgoal  
You need to attach a program to the current goal by using **Realizer**. Perhaps, you already attached a program but a **Restart** or an **Undo** has removed it.
2. Type of program and informative extraction of goal do not coincide
3. Cannot attach a realizer to a logical goal  
The current goal is non informative (it lives in the world **Prop** of propositions or **Type** of abstract sets) while it should lives in the world **Set** of computational objects.
4. Perhaps a term of the Realizer is not an FW term and you then have to replace it by its extraction  
Your program contains non informative subterms.

#### Variants :

1. **Program\_all**.  
This tactic is equivalent to the composed tactic **Repeat (Program OrElse Auto)**. It repeats the **Program** tactic on every informative subgoal and tries the **Auto** tactic on the logical subgoals. Note that the work of the **Program** tactic is considered to be finished when all the informative subgoals have been solved. This implies that logical lemmas can stay at the end of the automatic proof which have to be solved by the user.
2. **Program\_Expand**  
The **Program\_Expand** tactic transforms the current program into the same program with the head constant expanded. This tactic particularly allows the user to force a program to be reduced before each application of the **Program** tactic. **Error message :**



(a) **Not reducible**

The head of the program is not a constant or is an opaque constant. need to attach a program to the current goal by using **Realizer**. Perhaps, you already attached a program but a **Restart** or an **Undo** has removed it.

#### 14.2.4 Hints for Program

**Mutual inductive types** The **Program** tactic can deal with mutual inductive types. But, this needs the use of annotations. Indeed, when associating a mutual fixpoint program to a specification, the specification is associated to the first (the outermost) function defined by the fixpoint. But, the specifications to be associated to the other functions cannot be automatically derived. They have to be explicitly given by the user as annotations. See section 14.4.5 for an example.

**Constants** The **Program** tactic is very sensitive to the status of constants. Constants can be either opaque (their body cannot be viewed) or transparent. The best of way of doing is to leave constants opaque (this is the default). If it is needed after, it is best to use the **Transparent** command **after** having used the **Program** tactic.

### 14.3 Syntax for programs

#### 14.3.1 Pure programs

The language to express programs is called **Real\***. Programs are explicitly typed<sup>†</sup> like terms extracted from proofs. Some extra expressions have been added to have a simpler syntax.

This is the raw form of what we call pure programs. But, in fact, it appeared that this simple type of programs is not sufficient. Indeed, all the logical part useful for the proof is not contained in these programs. That is why annotated programs are introduced.

#### 14.3.2 Annotated programs

The notion of annotation introduces in a program a logical assertion that will be used for the proof. The aim of the **Program** tactic is to start from a specification and a program and to generate subgoals either logical or associated with programs. However, to find the good specification for subprograms is not at all trivial in general. For instance, if we have to find an invariant for a loop, or a well founded order in a recursive call.

So, annotations add in a program the logical part which is needed for the proof and which cannot be automatically retrieved. This allows the system to do proofs it could not do otherwise.

For this, a particular syntax is needed which is the following: since they are specifications, annotations follow the same internal syntax as **Coq** terms. We indicate they are annotations by putting them between **{** and **}** and preceding them with **:: ::**. Since annotations are **Coq** terms, they can involve abstractions over logical propositions that have to be declared. Annotated- $\lambda$  have to be written between **[{** and **}]**. Annotated- $\lambda$  can be seen like usual  $\lambda$ -bindings but concerning just annotations and not **Coq** programs.

---

<sup>\*</sup>It corresponds to  $F_{\omega}$  plus inductive definitions

<sup>†</sup>This information is not strictly needed but was useful for type checking in a first experiment.

### 14.3.3 Recursive Programs

Programs can be recursively defined using the following syntax: *<type-of-the-result> rec name-of-the-induction-hypothesis :: :: { well-founded-order-of-the-recursion }* and then the body of the program (see section 14.4) which must always begin with an abstraction  $[x:A]$  where  $A$  is the type of the arguments of the function (also on which the ordering relation acts).

### 14.3.4 Abbreviations

Two abbreviations have been defined:

$\langle P \rangle \text{let } (p:X; q:Y)=Q \text{ in } S$  is syntactic sugar for  $\langle P \rangle \text{Case } Q \text{ of } [p:X][q:Y]S$   
and

$\langle P \rangle \text{if } B \text{ then } Q \text{ else } R$  abbreviates matching on boolean expressions, that is to say it abbreviates  $\langle P \rangle \text{Case } B \text{ of } Q \ R$ .

As for the **Case** constructions, the  $\langle P \rangle$  can usually be automatically inferred and consequently be omitted.

Moreover, a synthesis of implicit arguments has been added in order to allow the user to write a minimum of types in a program. Then, it is possible not to write a type inside a program term. This type has then to be automatically synthesized. For this, it is necessary to indicate where the implicit type to be synthesized appears. The syntax is the current one of implicit arguments in Coq: the question mark ?.

This synthesis of implicit arguments is not possible everywhere in a program. In fact, the synthesis is only available inside a **Match**, a **Case** or a **Fix** construction (where **Fix** is a syntax for defining fixpoints).

Then, two macros have been introduced to suppress some question marks:

$\text{let } (p,q:?)=Q \text{ in } S$  can be abbreviated into  $\text{let } (p,q)=Q \text{ in } S$  and  $[x,y:?]T$  can be abbreviated into  $[x,y]T$ .

### 14.3.5 Grammar

The grammar for programs is described in figure 14.1.

As for Coq terms (see section 2.2), *(pgms)* associates to the left. The syntax of *term* is the one in section 2.2.

The reference to an identifier of the Coq context (in particular a constant) inside a program of the language Real is a reference to its extracted contents.

## 14.4 Examples

### 14.4.1 Ackermann Function

Let us give the specification of Ackermann's function. We want to prove that for every  $n$  and  $m$ , there exists a  $p$  such that  $\text{ack}(n, m) = p$  with:

$$\begin{aligned} \text{ack}(0, n) &= n + 1 \\ \text{ack}(n + 1, 0) &= \text{ack}(n, 1) \\ \text{ack}(n + 1, m + 1) &= \text{ack}(n, \text{ack}(n + 1, m)) \end{aligned}$$

```

pgm ::= ident
    | ?
    | [ident:pgm] pgm
    | [ident] pgm
    | (ident:pgm) pgm
    | (pgms)
    | Match pgm with pgm-list end
    | <pgm>Match pgm with pgms end
    | Case pgm of pgms end
    | <pgm>Case pgm of pgms end
    | Fix ident {ident/num: pgm := pgm with ...with ident/num: pgm := pgm}
    | Cofix ident {ident: pgm := pgm with ...with ident : pgm := pgm}
    | pgm :: :: { term}
    | [{ident:term}] pgm
    | let (ident1,...,ident,...,ident) = pgm in pgm
    | <pgm>let (ident,...,ident:pgm;...;ident,...,ident:pgm) = pgm in pgm
    | <pgm>let (ident,...,...,ident) = pgm in pgm
    | if pgm then pgm else pgm
    | <pgm>if pgm then pgm else pgm
    | <pgm>rec ident :: :: { term} [ident:pgm] pgm
pgms ::= pgm
    | pgm pgms

```

Figure 14.1: Syntax of annotated programs

An ML program following this specification can be:

```
let rec ack = function
  0 -> (function m -> Sm)
| Sn -> (function 0 -> ack n 1
              | Sm -> ack n (ack Sn m))
```

Suppose we give the following definition in Coq of a ternary relation ( $\text{Ack } n \ m \ p$ ) in a Prolog like form representing  $p = \text{ack}(n, m)$ :

```
Coq < Inductive Ack : nat->nat->nat->Prop :=
Coq <   Ack0 : (n:nat)(Ack 0 n (S n))
Coq <   | Ackn0 : (n,p:nat)(Ack n (S 0) p)->(Ack (S n) 0 p)
Coq <   | AckSS : (n,m,p,q:nat)(Ack (S n) m q)->(Ack n q p)
Coq <   ->(Ack (S n) (S m) p).
```

Then the goal is to prove that  $\forall n, m. \exists p. (\text{Ack } n \ m \ p)$ , so the specification is:

$(n, m : \text{nat}) \{p : \text{nat} \mid (\text{Ack } n \ m \ p)\}$ . The associated Real program corresponding to the above ML program can be defined as a fixpoint:

```
Coq < Fixpoint ack_func [n:nat] : nat -> nat :=
Coq <   Case n of
Coq <     (* 0 *) [m:nat] (S m)
Coq <   (* (S n) *) [n':nat]
Coq <     Fix ack_func2 {ack_func2/1 : nat -> nat :=
Coq <       [m:nat] Case m of
Coq <         (* 0 *) (ack_func n' (S 0))
Coq <       (* S m *) [m':nat] (ack_func n' (ack_func2 m'))
Coq <       end}
Coq <   end.
```

The program is associated by using **Realizer** `ack_func`. The program is automatically expanded. Each realizer which is a constant is automatically expanded. Then, by repeating the **Program** tactic, three logical lemmas are generated and are easily solved by using the property `Ack0`, `Ackn0` and `AckSS`.

```
Coq < Repeat Program.
```

### 14.4.2 Euclidean Division

This example shows the use of **recursive programs**. Let us give the specification of the euclidean division algorithm. We want to prove that for  $a$  and  $b$  ( $b > 0$ ), there exist  $q$  and  $r$  such that  $a = b * q + r$  and  $b > r$ .

An ML program following this specification can be:

```
let div b a = divrec a where rec divrec = function
  if (b<=a) then let (q,r) = divrec (a-b) in (Sq,r)
  else (0,a)
```

Suppose we give the following definition in Coq which describes what has to be proved, ie,  $\exists q \exists r. (a = b * q + r \wedge b > r)$ :

```
Coq < Inductive diveucl [a,b:nat] : Set
Coq <           := divex : (q,r:nat)(a=(plus (mult q b) r))->(gt b r)
Coq <           ->(diveucl a b).
```

The decidability of the ordering relation has to be proved first, by giving the associated function of type `nat->nat->bool`:

```
Coq < Theorem le_gt_dec : (n,m:nat){(le n m)}+{(gt n m)}.
Coq < Realizer [n:nat]Match n with
Coq <           (* 0 *) [m:nat]true
Coq <           (* S *) [n',H,m]Case m of
Coq <           (* 0 *) false
Coq <           (* S *) [m'](H m')
Coq <           end
Coq <           end.
Coq < Program_all.
Coq < Save.
```

Then the specification is  $(b:nat)(gt\ b\ 0) \rightarrow (a:nat)(diveucl\ a\ b)$ . The associated program corresponding to the ML program will be:

```
Coq < Realizer
Coq <           [b:nat](<nat*nat>rec div :: :: { lt }
Coq <           [a:nat]if (le_gt_dec b a)
Coq <           then let (q,r) = (div (minus a b))
Coq <           in ((S q),r)
Coq <           else (0,a)).
```

Where `lt` is the well-founded ordering relation defined by:

```
Coq < Print lt.
```

Note the syntax for recursive programs as explained before. The `rec` construction needs 4 arguments: the type result of the function (`nat*nat` because it returns two natural numbers) between `<` and `>`, the name of the induction hypothesis (which can be used for recursive calls), the ordering relation `lt` (as an annotation because it is a specification), and the program itself which must begin with a  $\lambda$ -abstraction. The specification of `le_gt_dec` is known because it is a previous lemma. The term `(le_gt_dec b a)` is seen by the `Program` tactic as a term of type `bool` which satisfies the specification  $\{(le\ a\ b)\} + \{(gt\ a\ b)\}$ . The tactics `Program_all` or `Program` can be used, and the following logical lemmas are obtained:

```
Coq < Repeat Program.
```

The subgoals 4, 5 and 6 are resolved by `Auto` (if you use `Program_all` they don't appear, because `Program_all` tries to apply `Auto`). The other ones have to be solved by the user.

### 14.4.3 Insertion sort

This example shows the use of **annotations**. Let us give the specification of a sorting algorithm. We want to prove that for a sorted list of natural numbers  $l$  and a natural number  $a$ , we can build another sorted list  $l'$ , containing all the elements of  $l$  plus  $a$ .

An ML program implementing the insertion sort and following this specification can be:

```
let sort a l = sortrec l where rec sortrec = function
  []      -> [a]
  | b::l' -> if a<b then a::b::l' else b::(sortrec l')
```

Suppose we give the following definitions in Coq:

First, the decidability of the ordering relation:

```
Coq < Fixpoint inf_dec [n:nat] : nat -> bool :=
Coq < [m:nat]Case n of
Coq <           true
Coq <           [n':nat]Case m of
Coq <               false
Coq <               [m':nat](inf_dec n' m')
Coq <           end
Coq <           end.
```

The definition of the type list:

```
Coq < Inductive list : Set := nil : list | cons : nat -> list -> list.
```

We define the property for an element  $x$  to be **in** a list  $l$  as the smallest relation such that:  $\forall a \forall l (In\ x\ l) \Rightarrow (In\ x\ (a :: l))$  and  $\forall l (In\ x\ (x :: l))$ .

```
Coq < Inductive In [x:nat] : list->Prop
Coq <       := Inl : (a:nat)(l:list)(In x l) -> (In x (cons a l))
Coq <       | Ineq : (l:list)(In x (cons x l)).
```

A list  $t'$  is equivalent to a list  $t$  with one added element  $y$  iff:  $(\forall x (In\ x\ t) \Rightarrow (In\ x\ t'))$  and  $(In\ y\ t')$  and  $\forall x (In\ x\ t') \Rightarrow ((In\ x\ t) \vee y = x)$ . The following definition implements this ternary conjunction.

```
Coq < Inductive equiv [y:nat;t,t':list]: Prop :=
Coq <       equiv_cons :
Coq <       ((x:nat)(In x t)->(In x t'))
Coq <       -> (In y t')
Coq <       ->((x:nat)(In x t')->((In x t)\ / y=x))
Coq <       -> (equiv y t t').
```

Definition of the property of list to be sorted, still defined inductively:

```
Coq < Inductive sorted : list->Prop
Coq <       := sorted_nil : (sorted nil)
Coq <       | sorted_trans : (a:nat)(sorted (cons a nil))
Coq <       | sorted_cons : (a,b:nat)(l:list)(sorted (cons b l)) -> (le a b)
Coq <       -> (sorted (cons a (cons b l))).
```

Then the specification is:

```
(a:nat)(l:list)(sorted l)->{l':list|(equiv a l l')&(sorted l')}.
```

The associated Real program corresponding to the ML program will be:

```
Coq < Realizer
Coq <      [a:nat][l:list]
Coq <      Match l with
Coq <      (cons a nil)
Coq <      [b,m,H]if (inf_dec b a) :: :: { {(le b a)}+{(gt b a)} }
Coq <      then (cons b H)
Coq <      else (cons a (cons b m))
Coq <      end.
```

Note that we have defined `inf_dec` as the program realizing the decidability of the ordering relation on natural numbers. But, it has no specification, so an annotation is needed to give this specification. This specification is used and then the decidability of the ordering relation on natural numbers has to be proved using the index program.

Suppose `Program_all` is used, a few logical lemmas are obtained (which have to be solved by the user):

```
Coq < Program_all.
```

#### 14.4.4 Quicksort

This example shows the use of **programs using previous programs**. Let us give the specification of Quicksort. We want to prove that for a list of natural numbers  $l$ , we can build a sorted list  $l'$ , which is a permutation of the previous one.

An ML program following this specification can be:

```
let rec quicksort l = function
  [] -> []
| a::m -> let (l1,l2) = splitting a m in
          let m1 = quicksort l1 and
          let m2 = quicksort l2 in m1@[a]@m2
```

Where `splitting` is defined by:

```
let rec splitting a l = function
  [] -> ([],[])
| b::m -> let (l1,l2) = splitting a m in
          if a<b then (l1,b::l2)
          else (b::l1,l2)
```

Suppose we give the following definitions in Coq:

Declaration of the ordering relation:

```
Coq < Variable    inf : A -> A -> Prop.
Coq < Definition sup  := [x,y:A]~(inf x y).
Coq < Hypothesis inf_sup : (x,y:A){(inf x y)}+{(sup x y)}.
```

Definition of the concatenation of two lists:

```
Coq < Fixpoint app [l:list] : list -> list
Coq <      := [m:list]Case l of
Coq <      (* nil *) m
Coq <      (* cons a l1 *) [a:A][l1:list](cons a (app l1 m)) end.
```

Definition of the permutation of two lists:

```
Coq < Inductive permut : list->list->Prop :=
Coq <      permut_nil : (permut nil nil)
Coq <      |permut_tran : (l,m,n:list)(permut l m)->(permut m n)->(permut l n)
Coq <      |permut_cmil : (a:A)(l,m,n:list)
Coq <      (permut l (app m n))->(permut (cons a l) (mil a m n))
Coq <      |permut_milc : (a:A)(l,m,n:list)
Coq <      (permut (app m n) l)->(permut (mil a m n) (cons a l)).
```

The definitions `inf_list` and `sup_list` allow to know if an element is lower or greater than all the elements of a list:

```
Coq < Section Rlist_.
Coq < Variable R : A->Prop.
Coq < Inductive Rlist : list -> Prop :=
Coq <      Rnil : (Rlist nil)
Coq <      | Rcons : (x:A)(l:list)(R x)->(Rlist l)->(Rlist (cons x l)).

Coq < End Rlist_.
Coq < Hint Rnil Rcons.

Coq < Section Inf_Sup.
Coq < Hypothesis x : A.
Coq < Hypothesis l : list.
Coq < Definition inf_list := (Rlist (inf x) l).
Coq < Definition sup_list := (Rlist (sup x) l).
Coq < End Inf_Sup.
```

Definition of the property of a list to be sorted:

```
Coq < Inductive sort : list->Prop :=
Coq <      sort_nil : (sort nil)
Coq <      | sort_mil : (a:A)(l,m:list)(sup_list a l)->(inf_list a m)
Coq <      ->(sort l)->(sort m)->(sort (mil a l m)).
```

Then the goal to prove is  $\forall l \exists m (sort\ m) \wedge (permut\ l\ m)$  and the specification is  $(l:list)\{m:list\} (sort\ m) \& (permut\ l\ m)$ .

Let us first prove a preliminary lemma. Let us define `ltl` a well-founded ordering relation.



```
Coq < Definition ltl := [l,m:list](gt (length m) (length l)).
```

Let us then give a definition of `Splitting_spec` corresponding to  $\exists l_1 \exists l_2. (sup\_list\ a\ l_1) \wedge (inf\_list\ a\ l_2) \wedge (l \equiv l_1 @ l_2) \wedge (ltl\ l_1\ (a :: l)) \wedge (ltl\ l_2\ (a :: l))$  and a theorem on this definition.

```
Coq < Inductive Splitting_spec [a:A; l:list] : Set :=
Coq <       Split_intro : (l1,l2:list)(sup_list a l1)->(inf_list a l2)
Coq <       ->(permut l (app l1 l2))
Coq <       ->(ltl l1 (cons a l))->(ltl l2 (cons a l))
Coq <       ->(Splitting_spec a l).

Coq < Theorem Splitting : (a:A)(l:list)(Splitting_spec a l).

Coq < Realizer [a:A][l:list]
Coq <       Match l with
Coq <       (* nil *) (nil,nil)
Coq <       (* cons *) [b,m,ll]let (l1,l2) = ll in
Coq <               if (inf_sup a b)
Coq <               then (* inf a b *) (l1,(cons b l2))
Coq <               else (* sup a b *) ((cons b l1),l2)
Coq <       end.

Coq < Program_all.

Coq < Simpl; Auto.

Coq < Save.
```

The associated Real program to the specification we wanted to first prove and corresponding to the ML program will be:

```
Coq < Lemma Quicksort: (l:list){m:list|(sort m)&(permut l m)}.

Coq < Realizer <list>rec quick :: :: { ltl }
Coq <       [l:list]Case l of
Coq <       (* nil *) nil
Coq <       (* cons *) [a,m]let (l1,l2) = (Splitting a m) in
Coq <               (mil a (quick l1) (quick l2))
Coq <       end.
```

Then `Program_all` gives the following logical lemmas (they have to be resolved by the user):

```
Coq < Program_all.
```

#### 14.4.5 Mutual Inductive Types

This example shows the use of **mutual inductive types** with `Program`. Let us give the specification of trees and forest, and two predicate to say if a natural number is the size of a tree or a forest.

```

Coq < Section TreeForest.

Coq <
Coq < Variable A : Set.

Coq <
Coq < Mutual Inductive
Coq <      tree    : Set := node : A -> forest -> tree
Coq < with forest : Set := empty : forest
Coq <      | cons : tree -> forest -> forest.

Coq <
Coq < Mutual Inductive Tree_Size : tree -> nat -> Prop :=
Coq <   Node_Size : (n:nat)(a:A)(f:forest)(Forest_Size f n)
Coq <      ->(Tree_Size (node a f) (S n))
Coq < with Forest_Size : forest -> nat -> Prop :=
Coq <   Empty_Size : (Forest_Size empty 0)
Coq < | Cons_Size : (n,m:nat)(t:tree)(f:forest)
Coq <
Coq < (Tree_Size t n)->(Forest_Size f m)->(Forest_Size (cons t f) (plus n m)).

Coq <
Coq < Hint Node_Size Empty_Size Cons_Size.

```

Then, let us associate the two mutually dependent functions to compute the size of a forest and a tree to the the following specification:

```

Coq < Theorem tree_size_prog : (t:tree){n:nat | (Tree_Size t n)}.

Coq < Realizer [t:tree]
Coq <
Coq < (Fix tree_size{tree_size/1:tree->nat:=[t]}let (a,f) = t in (S (forest_size f))
Coq < with forest_size/1:forest->nat
Coq <      :=[f]Case f of  0
Coq <      [t,f'](plus (tree_size t) (forest_size f'))
Coq <      end)
Coq <      :: :: {(f:forest) {n:nat | (Forest_Size f n)}}}
Coq <      t).

```

It is necessary to add an annotation for the `forest_size` function. Indeed, the global specification corresponds to the specification of the `tree_size` function and the specification of `forest_size` cannot be automatically inferred from the initial one.

Then, the `Program_all` tactic can be applied:

```

Coq < Program_all.

Coq < Save.

```

# Chapter 15

## The Coq commands

There are two Coq commands :

- `coqtop` : The Coq toplevel (interactive mode) ;
- `coqc` : The Coq compiler (batch compilation).

The options are (basically) the same for the two commands, and roughly described below. You can also look at the `man` pages of `coqtop` and `coqc` for more details.

### 15.1 Interactive use (`coqtop`)

In the interactive mode, the user can develop his theories and proofs step by step in the Coq toplevel. The Coq toplevel is ran by the command `coqtop`. This toplevel is based on a Caml toplevel (to allow the dynamic link of tactics). You can switch to the Caml toplevel with the command `Drop.`, and come back to the Coq toplevel with the command `Coqtoplevel.go();;`.

When invoking `coqtop`, the byte-code version of the system is used. The command `coqtop -opt` runs a native-code version of the Coq system, and the command `coqtop -full` a native-code version with all the tactics (that is with the tactics `Linear`, `Extraction` and `Natural` added to the default tactics). Those toplevels are significantly faster than the byte-code one. Notice that it is no longer possible to access the Caml toplevel, neither to load tactics.

### 15.2 Batch compilation (`coqc`)

The `coqc` command takes a name *file* as argument. Then it looks for a vernacular file named *file.v*, and tries to compile it into a *file.vo* file (See 5.3). With the `-i` option, it compiles the specification module *file.vi*.

Notice that the `-opt` and `-full` options are still available with `coqc` and allow you to compile Coq files with an efficient version of the system.

## 15.3 Resource file

When Coq is launched, with either `coqtop` or `coqc`, the resource file `$HOME/.coqrc.6.1` is loaded, where `$HOME` is the home directory of the user. If this file is not found, then the file `$HOME/.coqrc` is searched. You can also specify an arbitrary name for the resource file (see option `-init-file` below), or the name of another user to load the resource file of someone else (see option `-user`).

This file may contain, for instance, `AddPath` commands to add directories to the load path of Coq. You can use the environment variables `$COQLIB` and `$COQTH` which refer to the Coq library and its subdirectory `theories`. Remember that the default load path contains the following directories :

```
.
$COQLIB/tactics/contrib/reflexion
$COQLIB/tactics/contrib/acdsimpl/simplify_rings
$COQLIB/tactics/contrib/acdsimpl/simplify_naturals
$COQLIB/tactics/contrib/acdsimpl/acd_simpl_def
$COQLIB/tactics/contrib/omega
$COQLIB/tactics/contrib/natural
$COQLIB/tactics/contrib/extraction
$COQLIB/tactics/contrib/linear
$COQLIB/tactics
$COQLIB/theories/SORTING
$COQLIB/theories/ARITH
$COQLIB/theories/RELATIONS/WELLFOUNDED
$COQLIB/theories/RELATIONS
$COQLIB/theories/LOGIC
$COQLIB/theories/SETS
$COQLIB/theories/BOOL
$COQLIB/theories/LISTS
$COQLIB/theories/INIT
$COQLIB/states
```

It is possible to skip the loading of the resource file with the `-q` option.

## 15.4 Options

The following command-line options are recognized by the commands `coqc` and `coqtop`. See the manual pages for more details.

`-opt`

Run the native-code version of Coq.

`-full`

Run a native-code version of Coq with all tactics.

`-I directory`, `-include directory`

Add *directory* to the searched directories when looking for a file.

**-is *file*, -inputstate *file***  
Cause Coq to use the state put in the file *file* as its input state. The default state is *tactics.coq*.  
Mainly useful to build the standard input state.

**-nois**  
Cause Coq to begin with an empty state. Mainly useful to build the standard input state.

**-notactics**  
Forbid the dynamic loading of tactics, and start on the input state *state.coq*.

**-init-file *file***  
Take *file* as resource file, instead of `$HOME/.coqrc.6.1`.

**-q**  
Cause Coq not to load the resource file.

**-user *username***  
Take resource file of user *username* (that is `~username/.coqrc.6.1`) instead of yours.

**-load-ml-source *file***  
Load the Caml file *file.ml*

**-load-ml-object *file***  
Load the Caml object file *file.zo*

**-load-vernac-source *file***  
Load Coq file *file.v*

**-load-vernac-object *file***  
Load Coq compiled file *file.vo*

**-require *file***  
Load Coq compiled file *file.vo* and import it (**Require** *file*).

**-batch**  
Batch mode : exit just after arguments parsing. This option is only used in the script `coqc`.

**-debug**  
Switch on the debug flag.

**-hash-cons**  
Switch on hash consing.

**-image *file***  
This option sets the binary image to be used to be *file* instead of the standard one. Not of general use.



# Chapter 16

## Utilities

The distribution provides utilities to simplify some tedious works beside proof development, tactics writing or documentation.

### 16.1 Building a native-code toplevel extended with user tactics

The native-code version of Coq cannot dynamically load user tactics. It is possible to build a toplevel of Coq, with Objective Caml code statically linked. The tool is `coqmktop`.

For example, one can build a Coq toplevel extended with a tactic whose source is in `tactic.ml` with `coqmktop -o mytop.out tactic.cmx` (`tactic.ml` must be compiled with the native-code compiler `ocamlopt`). This command generates an image of Coq called `mytop.out`. One can run this new toplevel with the command `coqtop -image mytop.out`.

A basic example is the native-code version of Coq (`coqtop -opt`), which can be generated by `coqmktop -o coqopt.out`.

See the man page of `coqmktop` for more details and options.

### 16.2 Modules dependencies

In order to compute modules dependencies (so to use `make`), Coq comes with an appropriate tool, `coqdep`.

`coqdep` computes inter-module dependencies for Coq and Objective Caml programs, and prints the dependencies on the standard output in a format readable by `make`. When a directory is given as argument, it is recursively looked at.

Dependencies of Coq modules are computed by looking at `Require` commands (`Require`, `Require Export`, `Require Import`, `Require Implementation`), and `Declare ML Module` commands.

Dependencies of Objective Caml modules are computed by looking at `open` commands and the dot notation `module.value`.

See the man page of `coqdep` for more details and options.

## 16.3 Makefile

When a proof development becomes large and is split into several files, it becomes crucial to use a tool like **make** to compile Coq modules.

The writing of a generic and complete **Makefile** may seem tedious and that's why Coq provides a tool to automate its creation, **do\_Makefile**. Given the files to compile, **do\_Makefile** prints a **Makefile** on the standard output. So one has just to run the command:

```
do_Makefile file1.v ... filen.v > Makefile
```

The resulted **Makefile** has a target **depend** which computes the dependencies and adds them to the end of the **Makefile**. So each time you want to update the modules dependencies, type in:

```
make depend
```

However, the **Makefile** relies on a **.depend** file in order to work. Therefore, you should create such a file before any invocation of **make**. You can for instance use the command

```
touch .depend
```

There is also a target **all** to compile all the files *file<sub>1</sub> ... file<sub>n</sub>*, and a generic target to produce a **.vo** file from the corresponding **.v** file (so you can do **make file.vo** to compile the file *file.v*).

**do\_Makefile** can also handle the case of ML files and subdirectories. For more options type

```
do_Makefile --help
```

## 16.4 Coq and L<sup>A</sup>T<sub>E</sub>X

### 16.4.1 Embedded Coq phrases inside L<sup>A</sup>T<sub>E</sub>X documents

When writing a documentation about a proof development, one may want to insert Coq phrases inside a L<sup>A</sup>T<sub>E</sub>X document, possibly together with the corresponding answers of the system. We provide a mechanical way to process such Coq phrases embedded in L<sup>A</sup>T<sub>E</sub>X files : the **coq-tex** filter. This filter extracts Coq phrases embedded in LaTeX files, evaluates them, and insert the outcome of the evaluation after each phrase.

Starting with a file *file.tex* containing Coq phrases, the **coq-tex** filter produces a file *file.v.tex* with the Coq outcome. This L<sup>A</sup>T<sub>E</sub>X file must be compiled using the **coq** or **coq-sl** document style option (provided together with **coq-tex**).

See the man page of **coq-tex** for more details and options.

**Remark.** This Reference Manual and the Tutorial have been completely produced with **coq-tex**.

### 16.4.2 Pretty printing Coq listings with L<sup>A</sup>T<sub>E</sub>X

**coq2latex** is a tool for printing Coq listings using L<sup>A</sup>T<sub>E</sub>X : keywords are printed in bold face, comments in italic, some tokens are printed in a nicer way (**->** becomes **→**, etc.) and indentations are kept at the beginning of lines. Line numbers are printed in the right margin, every 10 lines.



In regular mode, the command

`coq2latex file`

produces a  $\text{\LaTeX}$  file which is sent to the `latex` command, and the result to the `dvips` command. It is also possible to get the  $\text{\LaTeX}$  file on the standard output (see options).

See the man page of `coq2latex` for more details and options.

## 16.5 Coq and HTML

As for  $\text{\LaTeX}$ , it is also possible to pretty print Coq listing with HTML. The document looks like the  $\text{\LaTeX}$  one, with links added when possible : links to other Coq modules in `Require` commands, and links to identifiers defined in other modules (when they are found in a path given with `-I` options).

In regular mode, the command

`coq2html file.v`

produces an HTML document `file.html`.

See the man page of `coq2html` for more details and options.

## 16.6 Coq and GNU Emacs

Coq comes with a Major mode for GNU Emacs, `coq.el`. This mode provides syntax highlighting (assuming your GNU Emacs library provides `hilit19.el`) and also a rudimentary indentation facility in the style of the Caml GNU Emacs mode.

Add the following lines to your `.emacs` file:

```
(setq auto-mode-alist (cons '("\\.v$" . coq-mode) auto-mode-alist))
(autoload 'coq-mode "coq" "Major mode for editing Coq vernacular." t)
```

The Coq major mode is triggered by visiting a file with extension `.v`, or manually with the command `M-x coq-mode`. It gives you the correct syntax table for the Coq language, and also a rudimentary indentation facility:

- pressing `TAB` at the beginning of a line indents the line like the line above;
- extra `TAB`s increase the indentation level (by 2 spaces by default);
- `M-TAB` decreases the indentation level.

## 16.7 Module specification

Given a Coq vernacular file, the `gallina` filter extracts its specification (inductive types declarations, definitions, type of lemmas and theorems), removing the proofs parts of the file. The Coq file `file.v` gives birth to the specification file `file.g` (where the suffix `.g` stands for `Gallina`).

See the man page of `gallina` for more details and options.

## 16.8 Man pages

There are man pages for the commands `coqtop`, `coqc`, `coqmktop`, `coqdep`, `gallina`, `coq-tex`, `coq2latex` and `coq2html`. Man pages are installed at installation time (see installation instructions in file `INSTALL`, step 6).

# Chapter 17

## List of additional documentation

### 17.1 Tutorial

A companion volume to this reference manual, the `Coq` Tutorial, is aimed at gently introducing new users to developing proofs in `Coq` without assuming prior knowledge of type theory.

### 17.2 The `Coq` standard library

A brief description of the `Coq` standard library is given in the additional document `Library.dvi`.

### 17.3 Installation Procedures

A `INSTALL` file in the distribution explains how to install `Coq`.

### 17.4 Changes from `Coq` V5.10

This short note describes changes from `Coq` V5.10 to `Coq` V6.1. It is contained in the document `Changes.dvi`.

### 17.5 Extraction of programs

`Extraction` is a package offering some special facilities to extract ML program files. It is described in the separate document `Extraction.dvi`

### 17.6 Proof printing in Natural language

`Natural` is a tool to print proofs in natural language. It is described in the separate document `Natural.dvi`.

## 17.7 The $\Omega$ decision tactic

**$\Omega$**  is a tactic to automatically solve arithmetical goals in Presburger arithmetic (i.e. arithmetic without multiplication). It is described in the separate document  `$\Omega$ .dvi`.

## 17.8 Simplification on rings

A documentation of the package `acdsimpl` (simplification on rings) will be available soon. Please contact our hotline `coq@pauillac.inria.fr`.

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